

Non-Relational Numerical Abstract Domains

MPRI 2–6: Abstract Interpretation,
application to verification and static analysis

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Introduction

Invariant discovery

Goal: find **intermittent numerical invariants**

(at each program point, properties of numerical variables)

Example

```
X ← [0,10]; Y ← 100;
```

```
while X ≥ 0 do
    // loop invariant?
    X ← X - 1;
```

```
Y ← Y + 10
```

```
done
// value of X and Y?
```

Invariant discovery

Goal: find **intermittent numerical invariants**

(at each program point, properties of numerical variables)

Example

```
X ← [0,10]; Y ← 100;  
// X ∈ [0,10], Y = 100  
while X ≥ 0 do  
    // X ∈ [0,10], Y ∈ [100,200]  
    X ← X - 1;  
    // X ∈ [-1,9], Y ∈ [100,200]  
    Y ← Y + 10  
    // X ∈ [-1,9], Y ∈ [110,210]  
done  
// X = -1, Y ∈ [110,210]
```

Variable bounds

Invariant discovery

Hope: find **the strongest** intermittent numerical **invariants**

(at each program point, **the strongest** properties of numerical variables)

Example

```

X ← [0,10]; Y ← 100;
// X ∈ [0, 10], Y = 100
while X ≥ 0 do
    // X ∈ [0, 10], 10X + Y ∈ [100, 200] ∩ 10Z
    X ← X - 1;
    // X ∈ [-1, 9], 10X + Y ∈ [90, 190] ∩ 10Z
    Y ← Y + 10
    // X ∈ [-1, 9], 10X + Y ∈ [100, 200] ∩ 10Z
done
// X = -1, Y ∈ [110, 210] ∩ 10Z

```

Variable bounds, linear relations and congruences

Application: prove the absence of run-time error (overflow, array access, . . .)

Forward–backward analysis

sign function

```
X ← [-100,100];  
if X = 0 then Z ← 0 else  
    Y ← X;  
    if Y < 0 then Y ← -Y;  
    Z ← X / Y  
fi
```

Forward–backward analysis

sign function

```
X ← [-100,100]; ( $X \in [-100, 100]$ )
if X = 0 then Z ← 0 else ( $X \in [-100, 100]$ )
  Y ← X; ( $X, Y \in [-100, 100]$ )
    if Y < 0 then Y ← -Y; ( $X \in [-100, 100], Y \in [0, 100]$ )
      Z ← X / Y ( $X \in [-100, 100], Y \in [0, 100]$ )
  fi
```

Forward interval analysis
(possible division by 0)

Forward–backward analysis

sign function

```
X ← [-100,100]; ( $\perp$ )
if X = 0 then Z ← 0 else ( $X = 0$ )
  Y ← X; ( $Y = 0$ )
  if Y < 0 then Y ← -Y; ( $Y = 0$ )
  Z ← X / Y ( $Y = 0$ )
fi
```

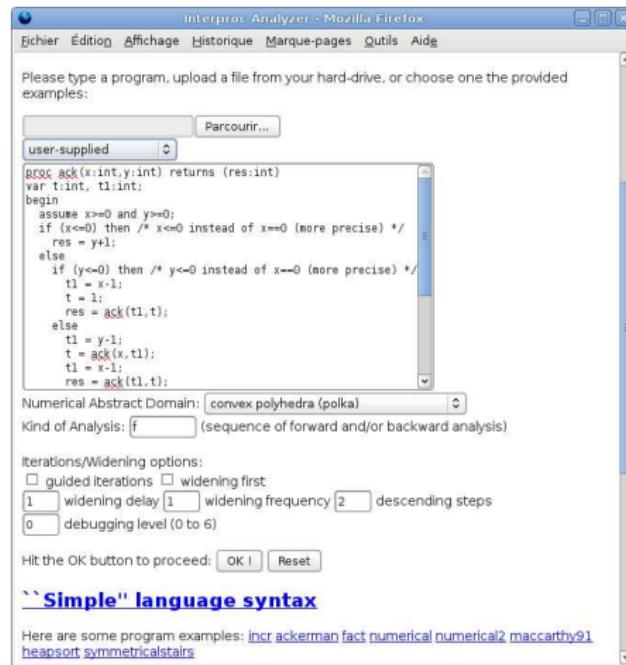
Backward interval analysis

- infer (tight) necessary conditions on inputs
to reach a given point in a given state
($Y = 0$ at the end of the program)
- refine and focus the result of a forward analysis
(prove the absence of division by zero) [Bour93b]

Academic implementation: Apron and Interproc

Apron: library of numerical abstractions [Jean09]

Interproc: on-line analyzer for a toy language, based on Apron



<http://pop-art.inrialpes.fr/interproc/interprocweb.cgi>

Outline

- Generalities, notations
- Presentation of a few numerical abstract domains
(non-relational)
 - sign domains
 - constant domain
 - interval domain
 - simple congruence domain
- Reduced products of domains
- Bibliography

Generalities and notations

Syntax

Expression syntax

Toy language:

- fixed, finite set of variables \mathbb{V} ,
- one datatype: scalars in \mathbb{I} , with $\mathbb{I} \in \{\mathbb{Z}, \mathbb{Q}, \mathbb{R}\}$
- no procedure

arithmetic expressions:

exp	$::=$	V	variable $V \in \mathbb{V}$
		$-\text{exp}$	negation
		$\text{exp} \diamond \text{exp}$	binary operation: $\diamond \in \{+, -, \times, /\}$
		$[c, c']$	constant range, $c, c' \in \mathbb{I} \cup \{\pm\infty\}$ c is a shorthand for $[c, c]$

Programs (as control-flow graphs)

commands:

$\text{com} ::= V \leftarrow \text{exp}$ assignment into $V \in \mathbb{V}$
 | $\text{exp} \bowtie 0$ test, $\bowtie \in \{=, <, >, \leq, \geq, \neq\}$

programs: as control-flow graphs

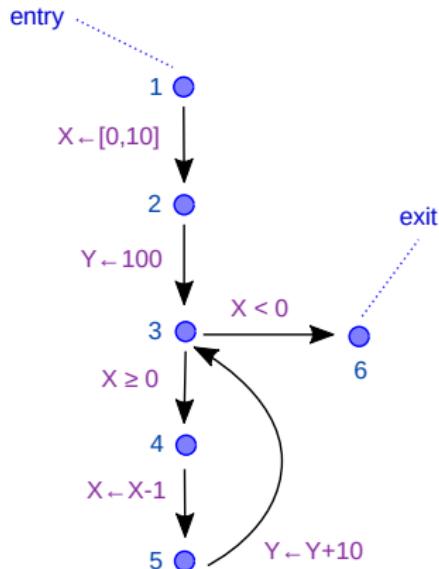
$$P \stackrel{\text{def}}{=} (L, e, x, A)$$

- L program points (labels)
- e entry point: $e \in L$
- x exit point: $x \in L$
- A arcs: $A \subseteq L \times \text{com} \times L$

Example

```

1 X ← [0,10]; 2
Y ← 100;
while 3 X ≥ 0 do 4
    X ← X - 1; 5
    Y ← Y + 10
done 6
  
```



structured program

control flow graph

Structured programs can be easily compiled into a CFG.

We use structured program as examples, but present our analysis formally on CFG.

Concrete semantics

Forward concrete semantics

Semantics of expressions: $E[\![e]\!]: (\mathbb{V} \rightarrow \mathbb{I}) \rightarrow \mathcal{P}(\mathbb{I})$

The evaluation of e in ρ gives a **set** of values:

$E[\![c, c']]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{x \in \mathbb{I} \mid c \leq x \leq c'\}$
$E[\![V]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{\rho(V)\}$
$E[\![-e]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{-v \mid v \in E[\![e]\!] \rho\}$
$E[\![e_1 + e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1 + v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho\}$
$E[\![e_1 - e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1 - v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho\}$
$E[\![e_1 \times e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1 \times v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho\}$
$E[\![e_1 / e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1/v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho, v_2 \neq 0\}$

Forward concrete semantics (cont.)

Semantics of commands: $C[\![c]\!]: \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I}) \rightarrow \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$

A **transfer function** for c defines a **relation** on environments:

$$\begin{aligned} C[\![V \leftarrow e]\!] \mathcal{X} &\stackrel{\text{def}}{=} \{ \rho[\ V \mapsto v\] \mid \rho \in \mathcal{X},\ v \in E[\![e]\!] \rho \} \\ C[\![e \bowtie 0]\!] \mathcal{X} &\stackrel{\text{def}}{=} \{ \rho \mid \rho \in \mathcal{X}, \exists v \in E[\![e]\!] \rho, v \bowtie 0 \} \end{aligned}$$

It relates the environments after the execution of a command to the environments before.

Complete **join morphism**: $C[\![c]\!] \mathcal{X} = \bigcup_{\rho \in \mathcal{X}} C[\![c]\!] \{ \rho \}$.

Forward concrete semantics (cont.)

Semantics of programs: $P[\![(L, e, x, A)]\!] : L \rightarrow \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$

$P[\![(L, e, x, A)]\!] \ell$ is the **most precise invariant** at $\ell \in L$.

It is the **smallest** solution of a recursive equation system $(\mathcal{X}_\ell)_{\ell \in L}$:

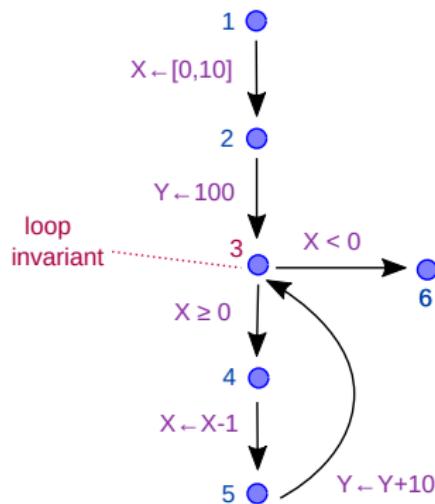
Semantic equation system

$$\begin{aligned} \mathcal{X}_e & && \text{(given initial state)} \\ \mathcal{X}_{\ell \neq e} &= \bigcup_{(\ell', c, \ell) \in A} C[\![c]\!] \mathcal{X}_{\ell'} && \text{(transfer function)} \end{aligned}$$

Tarski's Theorem: this smallest solution exists and is unique.

- $\mathcal{D} \stackrel{\text{def}}{=} (\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I}), \subseteq, \cup, \cap, \emptyset, (\mathbb{V} \rightarrow \mathbb{I}))$ is a complete lattice,
- each $M_\ell : \mathcal{X}_\ell \mapsto \bigcup_{(\ell', c, \ell) \in A} C[\![c]\!] \mathcal{X}_{\ell'}$ is monotonic in \mathcal{D} .
 \Rightarrow the solution is the least fixpoint of $(M_\ell)_{\ell \in L}$.

Forward concrete semantics (example)



control flow graph

$$\left\{ \begin{array}{l} \mathcal{X}_1 = (\{X, Y\} \rightarrow \mathbb{Z}) \\ \mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1 \\ \mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup \\ \quad C[Y \leftarrow Y + 10] \mathcal{X}_5 \\ \mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3 \\ \mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4 \\ \mathcal{X}_6 = C[X < 0] \mathcal{X}_3 \end{array} \right.$$

equation system

Loop invariant:

$$\mathcal{X}_3 = \{\rho \mid \rho(X) \in [0, 10], 10\rho(X) + \rho(Y) \in [100, 200] \cap 10\mathbb{Z}\}$$

Resolution

Resolution by increasing iterations:

$$\left\{ \begin{array}{ll} \mathcal{X}_e^0 & \stackrel{\text{def}}{=} \mathcal{X}_e \\ \mathcal{X}_{\ell \neq e}^0 & \stackrel{\text{def}}{=} \emptyset \end{array} \right. \quad \left\{ \begin{array}{ll} \mathcal{X}_e^{n+1} & \stackrel{\text{def}}{=} \mathcal{X}_e \\ \mathcal{X}_{\ell \neq e}^{n+1} & \stackrel{\text{def}}{=} \bigcup_{(\ell', c, \ell) \in A} C[\![c]\!] \mathcal{X}_{\ell'}^n \end{array} \right.$$

Converges in ω iterations to a least solution,
because each $C[\![c]\!]$ is continuous in the CPO \mathcal{D} .
(Kleene fixpoint theorem)

Resolution (example)

$$\left\{ \begin{array}{ll} \mathcal{X}_1 = \mathbb{Z}^2 & \text{iteration 0} \\ \mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1 & \mathbb{Z}^2 \\ \mathcal{X}_3 = \begin{aligned} & C[Y \leftarrow 100] \mathcal{X}_2 \cup \\ & C[Y \leftarrow Y + 10] \mathcal{X}_5 \end{aligned} & \emptyset \\ \mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3 & \emptyset \\ \\ \mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4 & \emptyset \\ \\ \mathcal{X}_6 = C[X < 0] \mathcal{X}_3 & \emptyset \end{array} \right.$$

Resolution (example)

$$\left\{ \begin{array}{ll} \mathcal{X}_1 = \mathbb{Z}^2 & \text{iteration 1} \\ \mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1 & \mathbb{Z}^2 \\ \mathcal{X}_3 = \begin{aligned} & C[Y \leftarrow 100] \mathcal{X}_2 \cup \\ & C[Y \leftarrow Y + 10] \mathcal{X}_5 \end{aligned} & \emptyset \\ \mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3 & \emptyset \\ \\ \mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4 & \emptyset \\ \\ \mathcal{X}_6 = C[X < 0] \mathcal{X}_3 & \emptyset \end{array} \right.$$

Resolution (example)

$$\left\{ \begin{array}{ll}
 \mathcal{X}_1 = \mathbb{Z}^2 & \text{iteration 2} \\
 \mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1 & \mathbb{Z}^2 \\
 \mathcal{X}_3 = \begin{aligned} & C[Y \leftarrow 100] \mathcal{X}_2 \cup \\ & C[Y \leftarrow Y + 10] \mathcal{X}_5 \end{aligned} & \{(0, 100), \dots, (10, 100)\} \\
 \mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3 & \emptyset \\
 \mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4 & \emptyset \\
 \mathcal{X}_6 = C[X < 0] \mathcal{X}_3 & \emptyset
 \end{array} \right.$$

Resolution (example)

	iteration 3
$\mathcal{X}_1 = \mathbb{Z}^2$	\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$	$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$	$\{(0, 100), \dots, (10, 100)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$	$\{(0, 100), \dots, (10, 100)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$	\emptyset
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$	\emptyset

Resolution (example)

	iteration 4
$\mathcal{X}_1 = \mathbb{Z}^2$	\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$	$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$	$\{(0, 100), \dots, (10, 100)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$	$\{(0, 100), \dots, (10, 100)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$	$\{(-1, 100), \dots, (9, 100)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$	\emptyset

Resolution (example)

	iteration 5
$\mathcal{X}_1 = \mathbb{Z}^2$	\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$	$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$	$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$	$\{(0, 100), \dots, (10, 100)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$	$\{(-1, 100), \dots, (9, 100)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$	\emptyset

Resolution (example)

	iteration 6
$\mathcal{X}_1 = \mathbb{Z}^2$	\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$	$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$	$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$	$\{(0, 100), \dots, (10, 100), (0, 110), \dots, (9, 110)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$	$\{(-1, 100), \dots, (9, 100)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$	$\{(-1, 110)\}$

Resolution (example)

		iteration 7
$\mathcal{X}_1 = \mathbb{Z}^2$		\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$		$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$		$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$		$\{(0, 100), \dots, (10, 100), (0, 110), \dots, (9, 110)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$		$\{(-1, 100), \dots, (9, 100), (-1, 110), \dots, (8, 110)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$		$\{(-1, 110)\}$

Resolution (example)

	iteration 8
$\mathcal{X}_1 = \mathbb{Z}^2$	\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$	$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$	$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110), (-1, 120), \dots, (8, 120)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$	$\{(0, 100), \dots, (10, 100), (0, 110), \dots, (9, 110)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$	$\{(-1, 100), \dots, (9, 100), (-1, 110), \dots, (8, 110)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$	$\{(-1, 110)\}$

Resolution (example)

	iteration 9
$\mathcal{X}_1 = \mathbb{Z}^2$	\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$	$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$	$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110), (-1, 120), \dots, (8, 120)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$	$\{(0, 100), \dots, (10, 100), (0, 110), \dots, (9, 110), (0, 120), \dots, (8, 120)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$	$\{(-1, 100), \dots, (9, 100), (-1, 110), \dots, (8, 110)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$	$\{(-1, 110), (-1, 120)\}$

Resolution (example)

		iteration 10
$\mathcal{X}_1 = \mathbb{Z}^2$		\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$		$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$		$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110), (-1, 120), \dots, (8, 120)\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$		$\{(0, 100), \dots, (10, 100), (0, 110), \dots, (9, 110), (0, 120), \dots, (8, 120)\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$		$\{(-1, 100), \dots, (9, 100), (-1, 110), \dots, (8, 110), (-1, 120), \dots, (7, 120)\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$		$\{(-1, 110), (-1, 120)\}$

Resolution (example)

		iteration ...
$\mathcal{X}_1 = \mathbb{Z}^2$		\mathbb{Z}^2
$\mathcal{X}_2 = C[X \leftarrow [0, 10]] \mathcal{X}_1$		$[0, 10] \times \mathbb{Z}$
$\mathcal{X}_3 = C[Y \leftarrow 100] \mathcal{X}_2 \cup C[Y \leftarrow Y + 10] \mathcal{X}_5$		$\{(0, 100), \dots, (10, 100), (-1, 110), \dots, (9, 110), (-1, 120), \dots, (8, 120), \dots\}$
$\mathcal{X}_4 = C[X \geq 0] \mathcal{X}_3$		$\{(0, 100), \dots, (10, 100), (0, 110), \dots, (9, 110), (0, 120), \dots, (8, 120), \dots\}$
$\mathcal{X}_5 = C[X \leftarrow X - 1] \mathcal{X}_4$		$\{(-1, 100), \dots, (9, 100), (-1, 110), \dots, (8, 110), (-1, 120), \dots, (7, 120), \dots\}$
$\mathcal{X}_6 = C[X < 0] \mathcal{X}_3$		$\{(-1, 110), (-1, 120), \dots\}$

Backward concrete semantics

Semantics of commands: $\overleftarrow{C}[\![c]\!]: \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I}) \rightarrow \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$

$$\begin{aligned}\overleftarrow{C}[\![V \leftarrow e]\!] \mathcal{X} &\stackrel{\text{def}}{=} \{ \rho \mid \exists v \in E[\![e]\!] \rho, \rho[V \mapsto v] \in \mathcal{X} \} \\ \overleftarrow{C}[\![e \bowtie 0]\!] \mathcal{X} &\stackrel{\text{def}}{=} C[\![e \bowtie 0]\!] \mathcal{X}\end{aligned}$$

(necessary conditions on ρ to have a successor in \mathcal{X} by c)

Refinement decreasing iterations: given:

- a solution $(\mathcal{X}_\ell)_{\ell \in L}$ of the forward system
- an output criterion \mathcal{Y}_x

compute a least fixpoint by decreasing iterations [Bour93b]

$$\begin{cases} \mathcal{Y}_x^0 & \stackrel{\text{def}}{=} \mathcal{X}_x \cap \mathcal{Y}_x \\ \mathcal{Y}_{\ell \neq x}^0 & \stackrel{\text{def}}{=} \mathcal{X}_\ell \\ \mathcal{Y}_x^{n+1} & \stackrel{\text{def}}{=} \mathcal{X}_x \cap \mathcal{Y}_x \\ \mathcal{Y}_{\ell \neq x}^{n+1} & \stackrel{\text{def}}{=} \mathcal{X}_\ell \cap \left(\bigcup_{(\ell, c, \ell') \in A} \overleftarrow{C}[\![c]\!] \mathcal{Y}_{\ell'}^n \right) \end{cases}$$

Limit to automation

We wish to perform **automatic** numerical invariant discovery.

Theoretical problems

- elements of $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$ are **not computer representable**
- transfer functions $C[\![c]\!]$, $\overleftarrow{C}[\![c]\!]$ are **not computable**
- lattice iterations in $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$ are **transfinite**

Finding the best invariant is an **undecidable problem**

Note:

Even when \mathbb{I} is finite, a concrete analysis is **not tractable**:

- representing elements in $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$ in extension is expensive
- computing $C[\![c]\!]$, $\overleftarrow{C}[\![c]\!]$ explicitly is expensive
- the lattice $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$ has a large height (\Rightarrow many iterations)

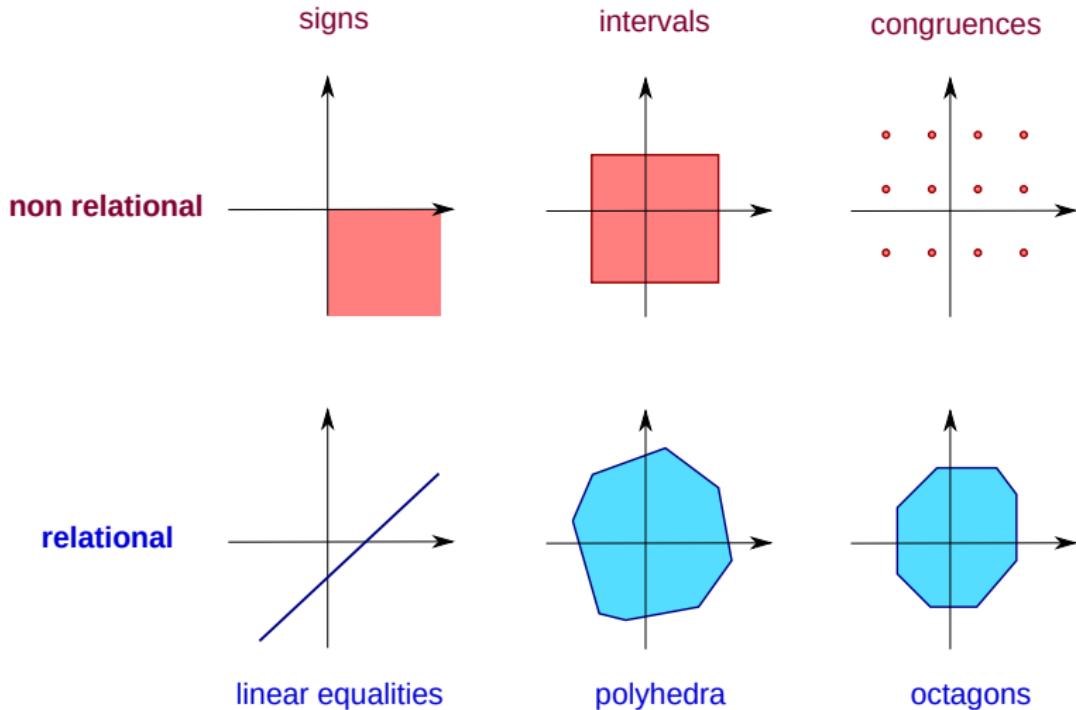
Abstraction

Numerical abstract domains

A **numerical abstract domain** is given by:

- a subset of $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$
(a set of environment sets)
together with a machine encoding,
- effective and sound abstract operators,
- an iteration strategy
ensuring convergence in finite time.

Numerical abstract domain examples



Numerical abstract domains (cont.)

Representation: given by

- a set \mathcal{D}^\sharp of machine-representable abstract values,
- a **partial order** $(\mathcal{D}^\sharp, \sqsubseteq, \perp^\sharp, \top^\sharp)$
relating the amount of information given by abstract values,
- a **concretization** function $\gamma: \mathcal{D}^\sharp \rightarrow \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$
giving a concrete meaning to each abstract element.

Required algebraic properties:

- γ should be **monotonic** for \sqsubseteq : $\mathcal{X}^\sharp \sqsubseteq \mathcal{Y}^\sharp \implies \gamma(\mathcal{X}^\sharp) \subseteq \gamma(\mathcal{Y}^\sharp)$,
- $\gamma(\perp^\sharp) = \emptyset$,
- $\gamma(\top^\sharp) = \mathbb{V} \rightarrow \mathbb{I}$.

Note: γ need not be one-to-one.

Numerical abstract domains (cont.)

Abstract operators: we require:

- sound, effective, abstract **transfer functions** $C^\sharp[c]$, $\overleftarrow{C}^\sharp[c]$ for all commands c ,
- sound, effective, abstract **set operators** \cup^\sharp , \cap^\sharp ,
- an algorithm to decide the **ordering** \sqsubseteq .

Soundness criterion:

F^\sharp is a **sound** abstraction of a n -ary operator F if:

$$\forall \mathcal{X}_1^\sharp, \dots, \mathcal{X}_n^\sharp \in \mathcal{D}^\sharp, F(\gamma(\mathcal{X}_1^\sharp), \dots, \gamma(\mathcal{X}_n^\sharp)) \subseteq \gamma(F^\sharp(\mathcal{X}_1^\sharp, \dots, \mathcal{X}_n^\sharp))$$

Both **semantic** and **algorithmic** aspects.

Abstract semantics

Abstract semantic equation system

$$\mathcal{X}^\# : L \rightarrow \mathcal{D}^\#$$

$$\mathcal{X}_\ell^\# \sqsupseteq \begin{cases} \mathcal{X}_e^\# & \text{if } \ell = e \quad (\text{where } \mathcal{X}_e \subseteq \gamma(\mathcal{X}_e^\#)) \\ \bigcup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^\# & \text{if } \ell \neq e \quad (\text{abstract transfer function}) \end{cases}$$

Soundness Theorem

Any solution $(\mathcal{X}_\ell^\#)_{\ell \in L}$ is a **sound over-approximation** of the concrete collecting semantics:

$$\forall \ell \in L, \gamma(\mathcal{X}_\ell^\#) \supseteq \mathcal{X}_\ell$$

where \mathcal{X}_ℓ is the smallest solution of

$$\left\{ \begin{array}{l} \mathcal{X}_e \\ \mathcal{X}_\ell = \bigcup_{(\ell', c, \ell) \in A} C \llbracket c \rrbracket \mathcal{X}_{\ell'} \end{array} \right. \quad \begin{array}{l} \text{given} \\ \text{if } \ell \neq e \end{array}$$

Iteration strategy

Resolution by iterations in \mathcal{D}^\sharp :

To **effectively** solve the abstract system, we require:

- an **iteration ordering** on abstract equations
(which equation(s) are applied at a given iteration)
- a **widening operator** ∇ to speed-up the convergence,
if there are infinite strictly increasing chains in \mathcal{D}^\sharp .

$\nabla : (\mathcal{D}^\sharp \times \mathcal{D}^\sharp) \rightarrow \mathcal{D}^\sharp$ is a widening if:

- it is sound: $\gamma(\mathcal{X}^\sharp) \cup \gamma(\mathcal{Y}^\sharp) \subseteq \gamma(\mathcal{X}^\sharp \nabla \mathcal{Y}^\sharp)$
- it enforces termination:

\forall sequence $(\mathcal{Y}_i^\sharp)_{i \in \mathbb{N}}$

the sequence $\mathcal{X}_0^\sharp = \mathcal{Y}_0^\sharp$, $\mathcal{X}_{i+1}^\sharp = \mathcal{X}_i^\sharp \nabla \mathcal{Y}_{i+1}^\sharp$

stabilizes in finite time: $\exists n < \omega$, $\mathcal{X}_{n+1}^\sharp = \mathcal{X}_n^\sharp$

(note: $\exists n, \forall m \geq n, \mathcal{X}_{m+1}^\sharp = \mathcal{X}_m^\sharp$ is **not** required)

Abstract analysis

$\mathcal{W} \subseteq L$ is a set of **widening points** if every CFG cycle has a point in \mathcal{W} .

Forward analysis:

$$\mathcal{X}_e^{\sharp 0} \stackrel{\text{def}}{=} \mathcal{X}_e^\sharp \quad \text{given, such that } \mathcal{X}_e \subseteq \gamma(\mathcal{X}_e^\sharp)$$

$$\mathcal{X}_{\ell \neq e}^{\sharp 0} \stackrel{\text{def}}{=} \perp^\sharp$$

$$\mathcal{X}_\ell^{\sharp n+1} \stackrel{\text{def}}{=} \begin{cases} \mathcal{X}_e^\sharp & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A} C^\sharp[\![c]\!] \mathcal{X}_{\ell'}^{\sharp n} & \text{if } \ell \notin \mathcal{W}, \ell \neq e \\ \mathcal{X}_\ell^{\sharp n} \triangleright \bigcup_{(\ell', c, \ell) \in A} C^\sharp[\![c]\!] \mathcal{X}_{\ell'}^{\sharp n} & \text{if } \ell \in \mathcal{W}, \ell \neq e \end{cases}$$

- **termination:** for some δ , $\forall \ell, \mathcal{X}_\ell^{\sharp \delta+1} = \mathcal{X}_\ell^{\sharp \delta}$
- **soundness:** $\forall \ell \in L, \mathcal{X}_\ell \subseteq \gamma(\mathcal{X}_\ell^{\sharp \delta})$
- can be refined by decreasing iterations with narrowing Δ
(presented later)
- here, apply every equation at each step, but other iteration scheme are possible (worklist, chaotic iterations, see [Bour93a])

Abstract analysis (proof)

Proof of soundness:

Suppose that $\forall \ell, \mathcal{X}_\ell^{\#\delta+1} = \mathcal{X}_\ell^{\#\delta}$.

If $\ell = e$, by definition: $\mathcal{X}_e^{\#\delta} = \mathcal{X}_e^\#$ and $\mathcal{X}_e \subseteq \gamma(\mathcal{X}_e^{\#\delta})$.

If $\ell \neq e$, $\ell \notin \mathcal{W}$, then $\mathcal{X}_\ell^{\#\delta} = \mathcal{X}_\ell^{\#\delta+1} = \cup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#\delta}$.

By soundness of $\cup^\#$ and $C^\# \llbracket c \rrbracket$, $\gamma(\mathcal{X}_\ell^{\#\delta}) \supseteq \cup_{(\ell', c, \ell) \in A} C \llbracket c \rrbracket \gamma(\mathcal{X}_{\ell'}^{\#\delta})$.

If $\ell \neq e$, $\ell \in \mathcal{W}$, then $\mathcal{X}_\ell^{\#\delta} = \mathcal{X}_\ell^{\#\delta+1} = \mathcal{X}_\ell^{\#\delta} \triangleright \cup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#\delta}$.

By soundness of \triangleright , $\gamma(\mathcal{X}_\ell^{\#\delta}) \supseteq \gamma(\cup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#\delta})$,

and so we also have $\gamma(\mathcal{X}_\ell^{\#\delta}) \supseteq \cup_{(\ell', c, \ell) \in A} C \llbracket c \rrbracket \gamma(\mathcal{X}_{\ell'}^{\#\delta})$.

We have proved that $\lambda \ell. \gamma(\mathcal{X}_\ell^{\#\delta})$ is a postfixpoint of the concrete equation system.
Hence, it is greater than its least solution.

Abstract analysis (proof)

Proof of termination:

Suppose that the iteration does not terminate in finite time.

Given a label $\ell \in L$, we denote by $i_\ell^1, \dots, i_\ell^k, \dots$ the increasing sequence of unstable indices, i.e., such that $\forall k, \mathcal{X}_\ell^{\sharp i_\ell^{k+1}} \neq \mathcal{X}_\ell^{\sharp i_\ell^k}$.

As the iteration is not stable, $\forall n, \exists \ell, \mathcal{X}_\ell^{\sharp n} \neq \mathcal{X}_\ell^{\sharp n+1}$.

Hence, the sequence $(i_\ell^k)_k$ is infinite for at least one $\ell \in L$.

We argue that $\exists \ell \in \mathcal{W}$ such that $(i_\ell^k)_k$ is infinite as, otherwise,

$N = \max \{i_\ell^k \mid \ell \in \mathcal{W}\} + |L|$ is finite and satisfies: $\forall n \geq N, \forall \ell \in L, \mathcal{X}_\ell^{\sharp n} = \mathcal{X}_\ell^{\sharp n+1}$, contradicting our assumption.

For such a $\ell \in \mathcal{W}$, consider the subsequence $\mathcal{Y}_k^\sharp = \mathcal{X}_\ell^{\sharp i_\ell^k}$ comprised of the unstable iterates of \mathcal{X}_ℓ^\sharp .

Then $\mathcal{Y}^{\sharp k+1} = \mathcal{Y}^{\sharp k} \triangleright \mathcal{Z}^{\sharp k}$ for some sequence $\mathcal{Z}^{\sharp k}$.

The subsequence is infinite and $\forall k, \mathcal{Y}^{\sharp k+1} \neq \mathcal{Y}^{\sharp k}$, which contradicts the definition of \triangleright .

Hence, the iteration must terminate in finite time.

Abstract analysis (cont.)

Backward refinement:

Given a forward analysis result $\mathcal{X}^\#$ and an abstract output $\mathcal{Y}_x^\#$.

$$\mathcal{Y}_x^{\#0} \stackrel{\text{def}}{=} \mathcal{X}_x^\# \cap^\# \mathcal{Y}_x^\#$$

$$\mathcal{Y}_{\ell \neq x}^{\#0} \stackrel{\text{def}}{=} \mathcal{X}_\ell^\#$$

$$\mathcal{Y}_\ell^{\#n+1} \stackrel{\text{def}}{=} \begin{cases} \mathcal{X}_x^\# \cap^\# \mathcal{Y}_x^\# & \text{if } \ell = x \\ \mathcal{X}_\ell^\# \cap^\# \bigcup_{(\ell, c, \ell') \in A} \overleftarrow{C}^\# \llbracket c \rrbracket \mathcal{Y}_{\ell'}^{\#n} & \text{if } \ell \notin \mathcal{W}, \ell \neq x \\ \mathcal{Y}_\ell^{\#n} \Delta (\mathcal{X}_\ell^\# \cap^\# \bigcup_{(\ell, c, \ell') \in A} \overleftarrow{C}^\# \llbracket c \rrbracket \mathcal{Y}_{\ell'}^{\#n}) & \text{if } \ell \in \mathcal{W}, \ell \neq x \end{cases}$$

Δ overapproximates \cap while enforcing the convergence of
decreasing iterations (the definition will be given later, on intervals)

Forward–backward analyses can be iterated [Bour93b].

Exact and best abstractions: Reminders

Galois connection: $(\mathcal{D}, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (\mathcal{D}^\sharp, \sqsubseteq)$

- α, γ monotonic and $\forall \mathcal{X}, \mathcal{Y}^\sharp, \alpha(\mathcal{X}) \sqsubseteq \mathcal{Y}^\sharp \iff \mathcal{X} \subseteq \gamma(\mathcal{Y}^\sharp)$
- \Rightarrow elements \mathcal{X} have a **best** abstraction: $\alpha(\mathcal{X})$
- \Rightarrow operators F have a **best** abstraction: $F^\sharp = \alpha \circ F \circ \gamma$

Sometimes, no α exists:

- $\{\gamma(\mathcal{Y}^\sharp) \mid \mathcal{X} \subseteq \gamma(\mathcal{Y}^\sharp)\}$ has no greatest lower bound
- abstract elements with the same γ have no best representation

$\alpha \circ F \circ \gamma$ may still be defined for some F (partial α)

Concretization-based optimality:

- **sound** abstraction: $\gamma \circ F^\sharp \supseteq F \circ \gamma$
- **exact** abstraction: $\gamma \circ F^\sharp = F \circ \gamma$
- **optimal** abstraction: $\gamma(\mathcal{X}^\sharp)$ minimal in $\{\gamma(\mathcal{Y}^\sharp) \mid \mathcal{X} \subseteq \gamma(\mathcal{Y}^\sharp)\}$

Non-relational domains

Value abstract domain

Idea: start from an abstraction of values $\mathcal{P}(\mathbb{I})$

Numerical value abstract domain:

\mathcal{B}^\sharp abstract values, machine-representable

$\gamma_b: \mathcal{B}^\sharp \rightarrow \mathcal{P}(\mathbb{I})$ concretization

\sqsubseteq_b partial order

$\perp_b^\sharp, \top_b^\sharp$ represent \emptyset and \mathbb{I}

$\cup_b^\sharp, \cap_b^\sharp$ abstractions of \cup and \cap

∇_b extrapolation operator

$\alpha_b: \mathcal{P}(\mathbb{I}) \rightarrow \mathcal{B}^\sharp$ abstraction (optional)

Derived abstract domain

$$\mathcal{D}^\sharp \stackrel{\text{def}}{=} (\mathbb{V} \rightarrow (\mathcal{B}^\sharp \setminus \{\perp_b^\sharp\})) \cup \{\perp^\sharp\}$$

- point-wise extension: $\mathcal{X}^\sharp \in \mathcal{D}^\sharp$ is a vector of elements in \mathcal{B}^\sharp
(e.g. using arrays of size $|\mathbb{V}|$)
- smashed \perp^\sharp (avoids redundant representations of \emptyset)

Definitions on \mathcal{D}^\sharp derived from \mathcal{B}^\sharp :

$$\gamma(\mathcal{X}^\sharp) \stackrel{\text{def}}{=} \begin{cases} \emptyset & \text{if } \mathcal{X}^\sharp = \perp^\sharp \\ \{\rho \mid \forall V, \rho(V) \in \gamma_b(\mathcal{X}^\sharp(V))\} & \text{otherwise} \end{cases}$$

$$\alpha(\mathcal{X}) \stackrel{\text{def}}{=} \begin{cases} \perp^\sharp & \text{if } \mathcal{X} = \emptyset \\ \lambda V. \alpha_b(\{\rho(V) \mid \rho \in \mathcal{X}\}) & \text{otherwise} \end{cases}$$

$$\top^\sharp \stackrel{\text{def}}{=} \lambda V. \top_b^\sharp$$

Derived abstract domain (cont.)

$$\mathcal{X}^\# \sqsubseteq \mathcal{Y}^\# \iff \mathcal{X}^\# = \perp^\# \vee (\mathcal{X}^\#, \mathcal{Y}^\# \neq \perp^\# \wedge \forall V, \mathcal{X}^\#(V) \sqsubseteq_b \mathcal{Y}^\#(V))$$

$$\begin{aligned} \mathcal{X}^\# \cup^\# \mathcal{Y}^\# &\stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \mathcal{Y}^\# & \text{if } \mathcal{X}^\# = \perp^\# \\ \mathcal{X}^\# & \text{if } \mathcal{Y}^\# = \perp^\# \\ \lambda V. \mathcal{X}^\#(V) \cup_b^\# \mathcal{Y}^\#(V) & \text{otherwise} \end{array} \right. \\ \mathcal{X}^\# \setminus \mathcal{Y}^\# &\stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \mathcal{Y}^\# & \text{if } \mathcal{X}^\# = \perp^\# \\ \mathcal{X}^\# & \text{if } \mathcal{Y}^\# = \perp^\# \\ \lambda V. \mathcal{X}^\#(V) \setminus_b \mathcal{Y}^\#(V) & \text{otherwise} \end{array} \right. \\ \mathcal{X}^\# \cap^\# \mathcal{Y}^\# &\stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \perp^\# & \text{if } \mathcal{X}^\# = \perp^\# \text{ or } \mathcal{Y}^\# = \perp^\# \\ \perp^\# & \text{if } \exists V, \mathcal{X}^\#(V) \cap_b^\# \mathcal{Y}^\#(V) = \perp_b^\# \\ \lambda V. \mathcal{X}^\#(V) \cap_b^\# \mathcal{Y}^\#(V) & \text{otherwise} \end{array} \right. \end{aligned}$$

We will see later how to derive $C^\#[\![c]\!]$, $\overleftarrow{C}^\#[\![c]\!]$ using:

- abstract operators $+_b^\#$, ... for $C^\#[\![V \leftarrow e]\!]$
- backward abstract operators $\overleftarrow{+}_b^\#$, ...
for $\overleftarrow{C}^\#[\![V \leftarrow e]\!]$ and $C^\#[\![e \bowtie 0]\!]^\#$

Cartesian abstraction

Non-relational domains “forget” all relationships between variables.

Cartesian abstraction:

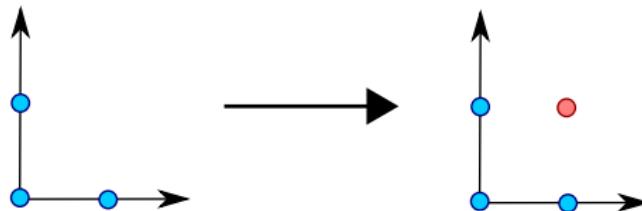
Upper closure operator $\rho_c : \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I}) \rightarrow \mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$

$$\rho_c(\mathcal{X}) \stackrel{\text{def}}{=} \{ \rho \in \mathbb{V} \rightarrow \mathbb{I} \mid \forall V \in \mathbb{V}, \exists \rho' \in \mathcal{X}, \rho(V) = \rho'(V) \}$$

A domain is non relational if $\rho \circ \gamma = \gamma$,

i.e. it cannot distinguish between \mathcal{X} and \mathcal{X}' if $\rho_c(\mathcal{X}) = \rho_c(\mathcal{X}')$.

Example: $\rho_c(\{(X, Y) \mid X \in \{0, 2\}, Y \in \{0, 2\}, X + Y \leq 2\}) = \{0, 2\} \times \{0, 2\}$.



Generic non-relational abstract assignments

Given: sound abstract versions in \mathcal{B}^\sharp of all arithmetic operators:

$$\begin{aligned}
 [c, c']_b^\sharp &: \{x \mid c \leq x \leq c'\} &\subseteq \gamma_b([c, c']_b^\sharp) \\
 -_b^\sharp &: \{-x \mid x \in \gamma_b(\mathcal{X}_b^\sharp)\} &\subseteq \gamma_b(-_b^\sharp \mathcal{X}_b^\sharp) \\
 +_b^\sharp &: \{x+y \mid x \in \gamma_b(\mathcal{X}_b^\sharp), y \in \gamma_b(\mathcal{Y}_b^\sharp)\} &\subseteq \gamma_b(\mathcal{X}_b^\sharp +_b^\sharp \mathcal{Y}_b^\sharp) \\
 &\vdots
 \end{aligned}$$

We can define:

- an abstract semantics of expressions: $E^\sharp[e] : \mathcal{D}^\sharp \rightarrow \mathcal{B}^\sharp$

$$E^\sharp[e] \perp^\sharp \stackrel{\text{def}}{=} \perp_b^\sharp$$

if $\mathcal{X}^\sharp \neq \perp^\sharp$:

$$E^\sharp[[c, c']] \mathcal{X}^\sharp \stackrel{\text{def}}{=} [c, c']_b^\sharp$$

$$E^\sharp[V] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \mathcal{X}^\sharp(V)$$

$$E^\sharp[-e] \mathcal{X}^\sharp \stackrel{\text{def}}{=} -_b^\sharp E^\sharp[e] \mathcal{X}^\sharp$$

$$E^\sharp[e_1 + e_2] \mathcal{X}^\sharp \stackrel{\text{def}}{=} E^\sharp[e_1] \mathcal{X}^\sharp +_b^\sharp E^\sharp[e_2] \mathcal{X}^\sharp$$

\vdots

Generic non-relational abstract assignments (cont.)

We can then define:

- an abstract assignment:

$$C^\sharp[\![V \leftarrow e]\!] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \begin{cases} \perp^\sharp & \text{if } \mathcal{V}_b^\sharp = \perp_b^\sharp \\ \mathcal{X}^\sharp[V \mapsto \mathcal{V}_b^\sharp] & \text{otherwise} \end{cases}$$

where $\mathcal{V}_b^\sharp = E^\sharp[\![e]\!] \mathcal{X}^\sharp$.

Using a Galois connection (α_b, γ_b) :

We can define **best** abstract arithmetic operators:

$$\begin{aligned} [c, c']_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{x \mid c \leq x \leq c'\}) \\ -_b^\sharp \mathcal{X}_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{-x \mid x \in \gamma(\mathcal{X}_b^\sharp)\}) \\ \mathcal{X}_b^\sharp +_b^\sharp \mathcal{Y}_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{x+y \mid x \in \gamma(\mathcal{X}_b^\sharp), y \in \gamma(\mathcal{Y}_b^\sharp)\}) \\ &\vdots \end{aligned}$$

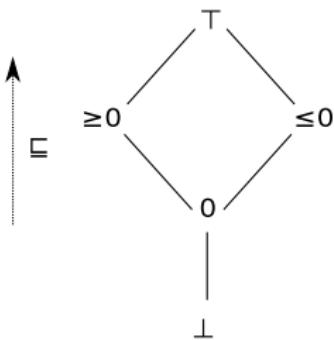
Note: in general, $E^\sharp[\![e]\!]$ is less precise than $\alpha_b \circ E[\![e]\!] \circ \gamma$

e.g. $e = V - V$ and $\gamma_b(\mathcal{X}^\sharp(V)) = [0, 1]$

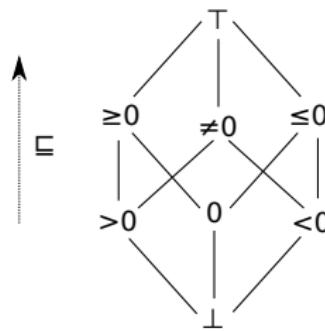
The sign domains

The sign lattices

Hasse diagram: for the lattice $(\mathcal{B}^\sharp, \sqsubseteq_b, \perp_b^\sharp, T_b^\sharp)$



simple signs



extended signs

The extended sign domain is a refinement of the simple sign domain.

The diagram implicitly defines U^\sharp and N^\sharp as the least upper bound and greatest lower bound for \sqsubseteq .

Operations on simple signs

Abstraction α : there is a **Galois connection** between \mathcal{B}^\sharp and $\mathcal{P}(\mathbb{I})$:

$$\alpha_b(S) \stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } S = \emptyset \\ 0 & \text{if } S = \{0\} \\ \geq 0 & \text{else if } \forall s \in S, s \geq 0 \\ \leq 0 & \text{else if } \forall s \in S, s \leq 0 \\ \top_b^\sharp & \text{otherwise} \end{cases}$$

Derived abstract arithmetic operators:

$$\begin{aligned} c_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{c\}) = \begin{cases} 0 & \text{if } c = 0 \\ \leq 0 & \text{if } c < 0 \\ \geq 0 & \text{if } c > 0 \end{cases} \\ X^\sharp +_b^\sharp Y^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{x + y \mid x \in \gamma_b(X^\sharp), y \in \gamma_b(Y^\sharp)\}) \\ &= \begin{cases} \perp_b^\sharp & \text{if } X \text{ or } Y^\sharp = \perp_b^\sharp \\ 0 & \text{if } X^\sharp = Y^\sharp = 0 \\ \leq 0 & \text{else if } X^\sharp \text{ and } Y^\sharp \in \{0, \leq 0\} \\ \geq 0 & \text{else if } X^\sharp \text{ and } Y^\sharp \in \{0, \geq 0\} \\ \top_b^\sharp & \text{otherwise} \end{cases} \end{aligned}$$

Operations on simple signs (cont.)

Abstract test examples:

$$C^\# \llbracket X \leq 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \left(\begin{cases} \mathcal{X}^\# [X \mapsto 0] & \text{if } \mathcal{X}^\#(X) \in \{0, \geq 0\} \\ \mathcal{X}^\# [X \mapsto \leq 0] & \text{if } \mathcal{X}^\#(X) \in \{T_b^\#, \leq 0\} \\ \perp^\# & \text{otherwise} \end{cases} \right)$$

$$C^\# \llbracket X - c \leq 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \left(\begin{cases} C^\# \llbracket X \leq 0 \rrbracket \mathcal{X}^\# & \text{if } c \leq 0 \\ \mathcal{X}^\# & \text{otherwise} \end{cases} \right)$$

$$C^\# \llbracket X - Y \leq 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \left(\begin{array}{ll} \left\{ \begin{array}{ll} C^\# \llbracket X \leq 0 \rrbracket \mathcal{X}^\# & \text{if } \mathcal{X}^\#(Y) \in \{0, \leq 0\} \\ \mathcal{X}^\# & \text{otherwise} \end{array} \right. & \cap^\# \\ \left\{ \begin{array}{ll} C^\# \llbracket Y \geq 0 \rrbracket \mathcal{X}^\# & \text{if } \mathcal{X}^\#(X) \in \{0, \geq 0\} \\ \mathcal{X}^\# & \text{otherwise} \end{array} \right. & \end{array} \right)$$

Other cases: $C^\# \llbracket \text{expr} \bowtie 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \mathcal{X}^\#$ is always a sound abstraction.

Simple sign analysis example

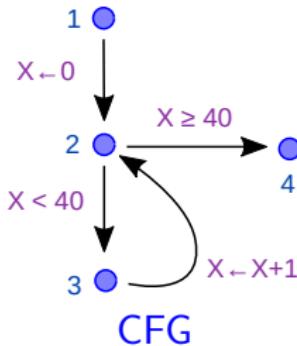
Example analysis using the simple sign domain:

```
X ← 0;
while X < 40 do
    X ← X + 1
done
```

Program

$$\left\{ \begin{array}{l} \mathcal{X}_2^{\#i+1} = C^\sharp[X \leftarrow 0] \mathcal{X}_1^{\#i} \cup \\ \quad C^\sharp[X \leftarrow X + 1] \mathcal{X}_3^{\#i} \\ \mathcal{X}_3^{\#i+1} = C^\sharp[X < 40] \mathcal{X}_2^{\#i} \\ \mathcal{X}_4^{\#i+1} = C^\sharp[X \geq 40] \mathcal{X}_2^{\#i} \end{array} \right.$$

Iteration system



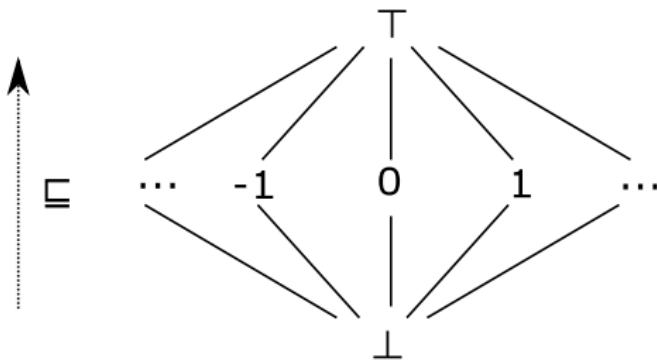
ℓ	$\mathcal{X}_\ell^{\#0}$	$\mathcal{X}_\ell^{\#1}$	$\mathcal{X}_\ell^{\#2}$	$\mathcal{X}_\ell^{\#3}$	$\mathcal{X}_\ell^{\#4}$	$\mathcal{X}_\ell^{\#5}$
1	T $^\sharp$					
2	\perp^\sharp	$X = 0$	$X = 0$	$X \geq 0$	$X \geq 0$	$X \geq 0$
3	\perp^\sharp	\perp^\sharp	$X = 0$	$X = 0$	$X \geq 0$	$X \geq 0$
4	\perp^\sharp	\perp^\sharp	$X = 0$	$X = 0$	$X \geq 0$	$X \geq 0$

Iterations

The constant domain

The constant lattice

Hasse diagram:



$$\mathcal{B}^\sharp = \mathbb{I} \cup \{T_b^\sharp; \perp_b^\sharp\}$$

The lattice is **flat** but **infinite**.

Operations on constants

Abstraction α : there is a Galois connection:

$$\alpha_b(S) \stackrel{\text{def}}{=} \begin{cases} \perp_b^\# & \text{if } S = \emptyset \\ c & \text{if } S = \{c\} \\ T_b^\# & \text{otherwise} \end{cases}$$

Derived abstract arithmetic operators:

$$\begin{array}{lll} c_b^\# & \stackrel{\text{def}}{=} & c \\ (X^\#) +_b^\# (Y^\#) & \stackrel{\text{def}}{=} & \begin{cases} \perp_b^\# & \text{if } X^\# \text{ or } Y^\# = \perp_b^\# \\ T_b^\# & \text{else if } X^\# \text{ or } Y^\# = T_b^\# \\ X^\# + Y^\# & \text{otherwise} \end{cases} \\ (X^\#) \times_b^\# (Y^\#) & \stackrel{\text{def}}{=} & \begin{cases} \perp_b^\# & \text{if } X^\# \text{ or } Y^\# = \perp_b^\# \\ 0 & \text{else if } X^\# \text{ or } Y^\# = 0 \\ T_b^\# & \text{else if } X^\# \text{ or } Y^\# = T_b^\# \\ X^\# \times Y^\# & \text{otherwise} \end{cases} \end{array}$$

Operations on constants (cont.)

Abstract test examples:

$$C^\sharp[\![X - c = 0]\!] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } \mathcal{X}^\sharp(X) \notin \{c, \top_b^\sharp\} \\ \mathcal{X}^\sharp[X \mapsto c] & \text{otherwise} \end{cases}$$

$$C^\sharp[\![X - Y - c = 0]\!] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \left(\begin{cases} C^\sharp[\![X - (\mathcal{X}^\sharp(Y) + c) = 0]\!] \mathcal{X}^\sharp & \text{if } \mathcal{X}^\sharp(Y) \notin \{\perp_b^\sharp, \top_b^\sharp\} \\ \mathcal{X}^\sharp & \text{otherwise} \end{cases} \right) \cap^\sharp \left(\begin{cases} C^\sharp[\![Y - (\mathcal{X}^\sharp(X) - c) = 0]\!] \mathcal{X}^\sharp & \text{if } \mathcal{X}^\sharp(X) \notin \{\perp_b^\sharp, \top_b^\sharp\} \\ \mathcal{X}^\sharp & \text{otherwise} \end{cases} \right)$$

Constant analysis example

\mathcal{B}^\sharp has finite height, the $(\mathcal{X}_\ell^{\sharp i})$ converge in finite time.
(even though \mathcal{B}^\sharp is infinite...)

Analysis example:

```
X ← 0; Y ← 10;  
while X < 100 do  
    Y ← Y - 3;  
    X ← X + Y; •  
    Y ← Y + 3  
done
```

The constant analysis finds, at •, the invariant: $\begin{cases} X = T_b^\sharp \\ Y = 7 \end{cases}$

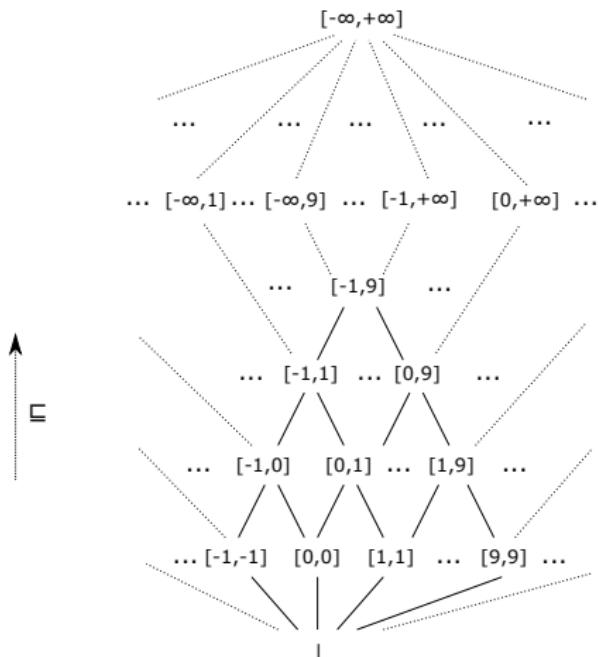
Note: the analysis can find constants that do not appear syntactically in the program.

The interval domain

The interval lattice

Introduced by [Cous76].

$$\mathcal{B}^\# \stackrel{\text{def}}{=} \{ [a, b] \mid a \in \mathbb{I} \cup \{-\infty\}, b \in \mathbb{I} \cup \{+\infty\}, a \leq b \} \cup \{ \perp_b^\# \}$$



Note: intervals are open at infinite bounds $+\infty, -\infty$.

The interval lattice (cont.)

Galois connection (α_b, γ_b):

$$\begin{aligned}\gamma_b([a, b]) &\stackrel{\text{def}}{=} \{x \in \mathbb{I} \mid a \leq x \leq b\} \\ \alpha_b(\mathcal{X}) &\stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } \mathcal{X} = \emptyset \\ [\min \mathcal{X}, \max \mathcal{X}] & \text{otherwise} \end{cases}\end{aligned}$$

If $\mathbb{I} = \mathbb{Q}$, α_b is not always defined...

Partial order:

$$\begin{aligned}[a, b] \sqsubseteq_b [c, d] &\stackrel{\text{def}}{\iff} a \geq c \text{ and } b \leq d \\ T_b^\sharp &\stackrel{\text{def}}{=} [-\infty, +\infty] \\ [a, b] \sqcup_b^\sharp [c, d] &\stackrel{\text{def}}{=} [\min(a, c), \max(b, d)] \\ [a, b] \sqcap_b^\sharp [c, d] &\stackrel{\text{def}}{=} \begin{cases} [\max(a, c), \min(b, d)] & \text{if } \max \leq \min \\ \perp_b^\sharp & \text{otherwise} \end{cases}\end{aligned}$$

If $\mathbb{I} \neq \mathbb{Q}$, it is a **complete lattice**.

Interval abstract arithmetic operators

$$\begin{aligned}
 [c, c']_b^\# &\stackrel{\text{def}}{=} [c, c'] \\
 -_b^\# [a, b] &\stackrel{\text{def}}{=} [-b, -a] \\
 [a, b] +_b^\# [c, d] &\stackrel{\text{def}}{=} [a + c, b + d] \\
 [a, b] -_b^\# [c, d] &\stackrel{\text{def}}{=} [a - d, b - c] \\
 [a, b] \times_b^\# [c, d] &\stackrel{\text{def}}{=} [\min(ac, ad, bc, bd), \max(ac, ad, bc, bd)] \\
 [a, b] /_b^\# [c, d] &\stackrel{\text{def}}{=} \begin{cases} \perp_b^\# & \text{if } c = d = 0 \\ [\min(a/c, a/d, b/c, b/d), \max(a/c, a/d, b/c, b/d)] & \text{else if } 0 \leq c \\ [-b, -a]/_b^\# [-d, -c] & \text{else if } d \leq 0 \\ ([a, b]/_b^\# [c, 0]) \cup_b^\# ([a, b]/_b^\# [0, d]) & \text{otherwise} \end{cases}
 \end{aligned}$$

where $\left| \begin{array}{l} \pm\infty \times 0 = 0, \quad 0/0 = 0, \quad \forall x, x/\pm\infty = 0 \\ \forall x > 0, x/0 = +\infty, \quad \forall x < 0, x/0 = -\infty \end{array} \right.$

Operators are **strict**: $-_b^\# \perp_b^\# = \perp_b^\#$, $[a, b] +_b^\# \perp_b^\# = \perp_b^\#$, etc.

Exactness and optimality: Example proofs

Proof: exactness of $+_b^\sharp$

$$\begin{aligned}
 & \{x + y \mid x \in \gamma_b([a, b]), y \in \gamma_b([c, d])\} \\
 = & \{x + y \mid a \leq x \leq b \wedge c \leq y \leq d\} \\
 = & \{z \mid a + c \leq z \leq b + d\} \\
 = & \gamma_b([a + c, b + d]) \\
 = & \gamma_b([a, b] +_b^\sharp [c, d])
 \end{aligned}$$

Proof optimality of \cup_b^\sharp

$$\begin{aligned}
 & \alpha_b(\gamma_b([a, b]) \cup \gamma_b([c, d])) \\
 = & \alpha_b(\{x \mid a \leq x \leq b\} \cup \{x \mid c \leq x \leq d\}) \\
 = & \alpha_b(\{x \mid a \leq x \leq b \vee c \leq x \leq d\}) \\
 = & [\min \{x \mid a \leq x \leq b \vee c \leq x \leq d\}, \max \{x \mid a \leq x \leq b \vee c \leq x \leq d\}] \\
 = & [\min(a, c), \max(b, d)] \\
 = & [a, b] \cup_b^\sharp [c, d]
 \end{aligned}$$

but \cup_b^\sharp is not exact

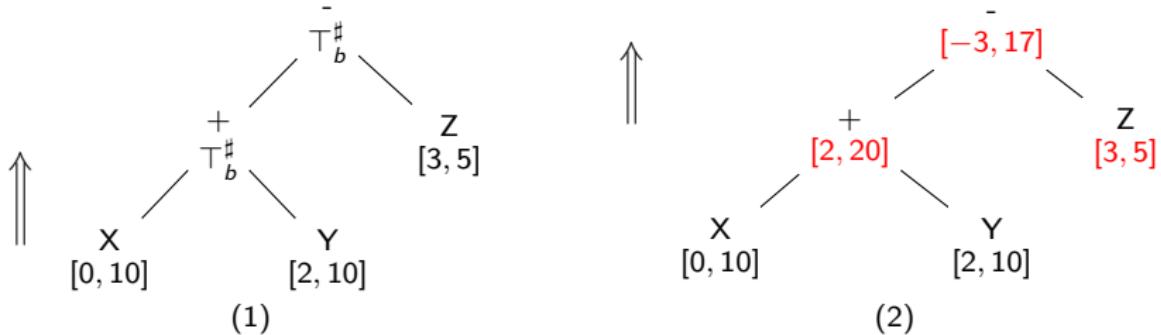
...

Generic interval abstract tests, step 1

Example: $C^\# \llbracket X + Y - Z \leq 0 \rrbracket \mathcal{X}^\#$

with $\mathcal{X}^\# = \{ X \mapsto [0, 10], Y \mapsto [2, 10], Z \mapsto [3, 5] \}$

First step: annotate the expression tree with intervals



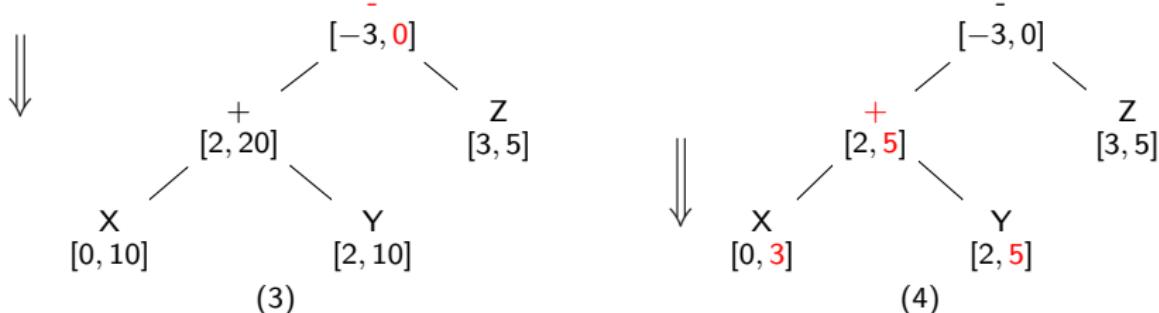
Bottom-up evaluation similar to interval expression evaluation using $+_\#_b$, $-_\#_b$, etc. but storing intervals at each node.

Generic interval abstract tests, step 2

Example: $C^\# \llbracket X + Y - Z \leq 0 \rrbracket x^\#$

with $\mathcal{X}^\sharp = \{ X \mapsto [0, 10], Y \mapsto [2, 10], Z \mapsto [3, 5] \}$

Second step: top-down expression refinement.



- refine the **root** interval, knowing that the result should be negative;
 - propagate** refined intervals **downwards**;
 - intervals at **leaf variables** provide new information to store into $\mathcal{X}^\#$.
 $\{ X \mapsto [0, 3], Y \mapsto [2, 5], Z \mapsto [3, 5] \}$

Backward arithmetic and comparison operators

In general, we need **sound backward** arithmetic and comparison operators that **refine** their arguments given a result.

Soundness condition: for $\overleftarrow{\leq}_b^\sharp, \overleftarrow{+}_b^\sharp, \overleftarrow{-}_b^\sharp, \dots$

$$\mathcal{X}_b^{\sharp\prime} = \overleftarrow{\leq}_b^\sharp(\mathcal{X}_b^\sharp) \implies \{x \in \gamma_b(\mathcal{X}_b^\sharp) \mid x \leq 0\} \subseteq \gamma_b(\mathcal{X}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{X}_b^\sharp)$$

$$\mathcal{X}_b^{\sharp\prime} = \overleftarrow{-}_b^\sharp(\mathcal{X}_b^\sharp, \mathcal{R}_b^\sharp) \implies \{x \mid x \in \gamma_b(\mathcal{X}_b^\sharp), -x \in \gamma_b(\mathcal{R}_b^\sharp)\} \subseteq \gamma_b(\mathcal{X}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{X}_b^\sharp)$$

$$(\mathcal{X}_b^{\sharp\prime}, \mathcal{Y}_b^{\sharp\prime}) = \overleftarrow{+}_b^\sharp(\mathcal{X}_b^\sharp, \mathcal{Y}_b^\sharp, \mathcal{R}_b^\sharp) \implies \begin{aligned} & \{x \in \gamma_b(\mathcal{X}_b^\sharp) \mid \exists y \in \gamma_b(\mathcal{Y}_b^\sharp), x + y \in \gamma_b(\mathcal{R}_b^\sharp)\} \subseteq \gamma_b(\mathcal{X}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{X}_b^\sharp) \\ & \{y \in \gamma_b(\mathcal{Y}_b^\sharp) \mid \exists x \in \gamma_b(\mathcal{X}_b^\sharp), x + y \in \gamma_b(\mathcal{R}_b^\sharp)\} \subseteq \gamma_b(\mathcal{Y}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{Y}_b^\sharp) \end{aligned}$$

⋮

Note: **best** backward operators can be designed with α_b :

e.g. for $\overleftarrow{+}_b^\sharp$: $\mathcal{X}_b^{\sharp\prime} = \alpha_b(\{x \in \gamma_b(\mathcal{X}_b^\sharp) \mid \exists y \in \gamma_b(\mathcal{Y}_b^\sharp), x + y \in \gamma_b(\mathcal{R}_b^\sharp)\})$

Generic backward operator construction

Synthesizing (non optimal) **backward** arithmetic operators from **forward** arithmetic operators.

$$\overleftarrow{\leq}_b^{\#}(\mathcal{X}_b^{\#}) \stackrel{\text{def}}{=} \mathcal{X}_b^{\#} \cap_b^{\#} [-\infty, 0]_b^{\#}$$

$$\overleftarrow{-}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} \mathcal{X}_b^{\#} \cap_b^{\#} (-_b^{\#} \mathcal{R}_b^{\#})$$

$$\overleftarrow{+}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} -_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} -_b^{\#} \mathcal{X}_b^{\#}))$$

$$\overleftarrow{-}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} +_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} (\mathcal{X}_b^{\#} -_b^{\#} \mathcal{R}_b^{\#}))$$

$$\overleftarrow{\times}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} /_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} /_b^{\#} \mathcal{X}_b^{\#}))$$

$$\overleftarrow{\wedge}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{S}_b^{\#} \times_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} ((\mathcal{X}_b^{\#} /_b^{\#} \mathcal{S}_b^{\#}) \cup_b^{\#} [0, 0]_b^{\#}))$$

$$\text{where } \mathcal{S}_b^{\#} = \begin{cases} \mathcal{R}_b^{\#} & \text{if } \mathbb{I} \neq \mathbb{Z} \\ \mathcal{R}_b^{\#} +_b^{\#} [-1, 1]_b^{\#} & \text{if } \mathbb{I} = \mathbb{Z} \text{ (as / rounds)} \end{cases}$$

Note: $\overleftarrow{\diamond}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) = (\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#})$ is always sound (no refinement).

Interval backward operators

Applying the generic construction to the interval domain:

$$\overleftarrow{\leq}_b^\sharp([a, b]) \stackrel{\text{def}}{=} \begin{cases} [a, \min(b, 0)] & \text{if } a \geq 0 \\ \perp_b^\sharp & \text{otherwise} \end{cases}$$

$$\overleftarrow{-}_b^\sharp([a, b], [r, s]) \stackrel{\text{def}}{=} [a, b] \cap_b^\sharp [-s, -r]$$

$$\overleftarrow{+}_b^\sharp([a, b], [c, d], [r, s]) \stackrel{\text{def}}{=} ([a, b] \cap_b^\sharp [r - d, s - c], [c, d] \cap_b^\sharp [r - b, s - a])$$

...

Generic non-relational backward assignment

Abstract function: $\overleftarrow{C}^\# \llbracket V \leftarrow e \rrbracket (\mathcal{X}^\#, \mathcal{R}^\#)$

over-approximates $\gamma(\mathcal{X}^\#) \cap \overleftarrow{C} \llbracket V \leftarrow e \rrbracket \gamma(\mathcal{R}^\#)$ given:

- an abstract pre-condition $\mathcal{X}^\#$ to refine,
- according to a given abstract post-condition $\mathcal{R}^\#$.

Algorithm: similar to the abstract test

- annotate **variable leaves** based on $\mathcal{X}^\# \cap^\# (\mathcal{R}^\# [V \mapsto T_b^\#])$;
- **evaluate** bottom-up using forward operators $\diamond_b^\#$;
- **intersect** the root with $\mathcal{R}^\#(V)$;
- **refine** top-down using backward operators $\overleftarrow{\diamond}_b^\#$;
- **return** $\mathcal{X}^\#$ intersected with values at variable leaves.

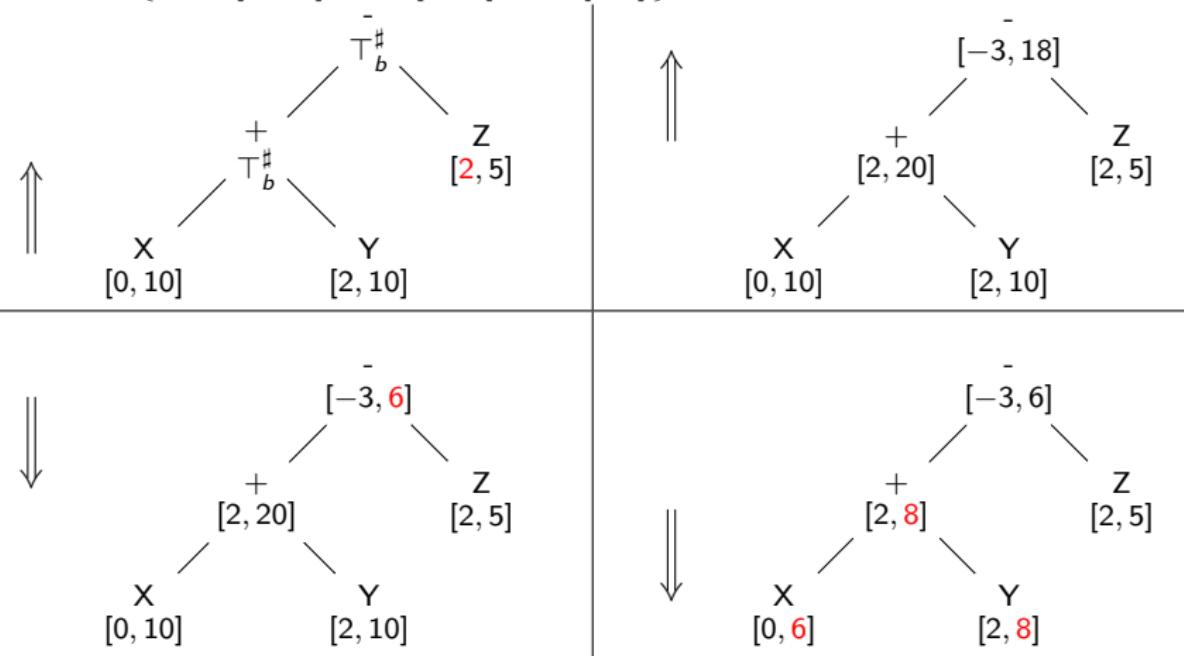
Note:

- local iterations can also be used
- fallback: $\overleftarrow{C}^\# \llbracket V \leftarrow e \rrbracket (\mathcal{X}^\#, \mathcal{R}^\#) = \mathcal{X}^\# \cap^\# (\mathcal{R}^\# [V \mapsto T_b^\#])$

Interval backward assignment example

Example: $\overleftarrow{C}^\sharp \llbracket X \leftarrow X + Y - Z \rrbracket (\mathcal{X}^\sharp, \mathcal{R}^\sharp)$

with $\mathcal{X}^\sharp = \{ X \mapsto [0, 10], Y \mapsto [2, 10], Z \mapsto [1, 5] \}$
 and $\mathcal{R}^\sharp = \{ X \mapsto [-6, 6], Y \mapsto [2, 10], Z \mapsto [2, 6] \}$



Interval widening

Widening on non-relational domains:

Given a value widening $\nabla_b: \mathcal{B}^\sharp \times \mathcal{B}^\sharp \rightarrow \mathcal{B}^\sharp$,

we extend it point-wisely into a widening $\nabla: \mathcal{D}^\sharp \times \mathcal{D}^\sharp \rightarrow \mathcal{D}^\sharp$:

$$\mathcal{X}^\sharp \nabla \mathcal{Y}^\sharp \stackrel{\text{def}}{=} \lambda V. (\mathcal{X}^\sharp(V) \nabla_b \mathcal{Y}^\sharp(V))$$

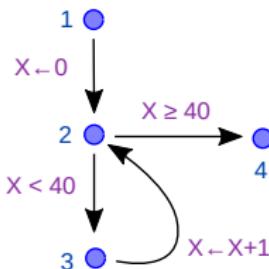
Interval widening example:

$$\begin{array}{lllll} \perp^\sharp & \nabla_b & X^\sharp & \stackrel{\text{def}}{=} & X^\sharp \\ [a, b] & \nabla_b & [c, d] & \stackrel{\text{def}}{=} & \left[\begin{cases} a & \text{if } a \leq c \\ -\infty & \text{otherwise} \end{cases}, \begin{cases} b & \text{if } b \geq d \\ +\infty & \text{otherwise} \end{cases} \right] \end{array}$$

Unstable bounds are set to $\pm\infty$.

Analysis with widening example

Analysis example with $\mathcal{W} = \{2\}$



ℓ	$x_\ell^{\#0}$	$x_\ell^{\#1}$	$x_\ell^{\#2}$	$x_\ell^{\#3}$	$x_\ell^{\#4}$	$x_\ell^{\#5}$
1	T $^\sharp$					
2 \triangleright	\perp^\sharp	= 0	= 0	≥ 0	≥ 0	≥ 0
3	\perp^\sharp	\perp^\sharp	= 0	= 0	$\in [0, 39]$	$\in [0, 39]$
4	\perp^\sharp	\perp^\sharp	\perp^\sharp	\perp^\sharp	≥ 40	≥ 40

More precisely, at the widening point:

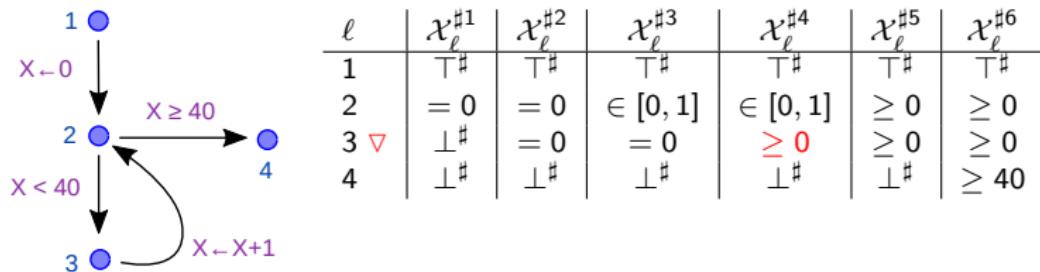
$$\begin{aligned}
 x_2^{\#1} &= \perp^\sharp & \nabla_b ([0, 0] \cup_b^\# \perp^\sharp) &= \perp^\sharp & \nabla_b [0, 0] &= [0, 0] \\
 x_2^{\#2} &= [0, 0] & \nabla_b ([0, 0] \cup_b^\# \perp^\sharp) &= [0, 0] & \nabla_b [0, 0] &= [0, 0] \\
 x_2^{\#3} &= [0, 0] & \nabla_b ([0, 0] \cup_b^\# [1, 1]) &= [0, 0] & \nabla_b [0, 1] &= [0, +\infty[\\
 x_2^{\#4} &= [0, +\infty] & \nabla_b ([0, 0] \cup_b^\# [1, 40]) &= [0, +\infty] & \nabla_b [0, 40] &= [0, +\infty]
 \end{aligned}$$

Note that the most precise interval abstraction would be $X \in [0, 40]$ at 2, and $X = 40$ at 4.

Influence of the widening point and iteration strategy

Changing \mathcal{W} changes the analysis result

Example: The analysis is less precise for $\mathcal{W} = \{3\}$.



Intuition: extrapolation to $+\infty$ is no longer contained by the tests.

Chaotic iterations

Changing the iteration order changes the analysis result in the presence of a widening.

Narrowing

Using a widening makes the analysis less precise.

Some precision can be retrieved by using a **narrowing** Δ .

Definition: narrowing Δ

Binary operator $\mathcal{D}^\# \times \mathcal{D}^\# \rightarrow \mathcal{D}^\#$ such that:

- $(\mathcal{X}^\# \cap^\# \mathcal{Y}^\#) \sqsubseteq (\mathcal{X}^\# \Delta \mathcal{Y}^\#) \sqsubseteq \mathcal{X}^\#$,
- for all sequences $(\mathcal{X}_i^\#)$, the decreasing sequence $(\mathcal{Y}_i^\#)$ defined by
$$\begin{cases} \mathcal{Y}_0^\# & \stackrel{\text{def}}{=} \mathcal{X}_0^\# \\ \mathcal{Y}_{i+1}^\# & \stackrel{\text{def}}{=} \mathcal{Y}_i^\# \Delta \mathcal{X}_{i+1}^\# \end{cases}$$
 is **stationary**.

This is not the dual of a widening!

Narrowing examples

Trivial narrowing:

$\mathcal{X}^\# \Delta \mathcal{Y}^\# \stackrel{\text{def}}{=} \mathcal{X}^\#$ is a correct narrowing.

Finite-time intersection narrowing:

$$\mathcal{X}^{\#i} \Delta \mathcal{Y}^\# \stackrel{\text{def}}{=} \begin{cases} \mathcal{X}^{\#i} \cap^\# \mathcal{Y}^\# & \text{if } i \leq N \\ \mathcal{X}^{\#i} & \text{if } i > N \end{cases}$$

Interval narrowing:

$$[a, b] \Delta_b [c, d] \stackrel{\text{def}}{=} \left[\begin{cases} c & \text{if } a = -\infty \\ a & \text{otherwise} \end{cases}, \begin{cases} d & \text{if } b = +\infty \\ b & \text{otherwise} \end{cases} \right]$$

(refine only infinite bounds)

Point-wise extension to $\mathcal{D}^\#$: $\mathcal{X}^\# \Delta \mathcal{Y}^\# \stackrel{\text{def}}{=} \lambda V. (\mathcal{X}^\#(V) \Delta_b \mathcal{Y}^\#(V))$

Iterations with narrowing

Let $\mathcal{X}_\ell^{\#\delta}$ be the result after widening stabilisation, i.e.:

$$\mathcal{X}_\ell^{\#\delta} \triangleq \begin{cases} T^\# & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A}^\# C^\# [c] \mathcal{X}_{\ell'}^{\#\delta} & \text{if } \ell \neq e \end{cases}$$

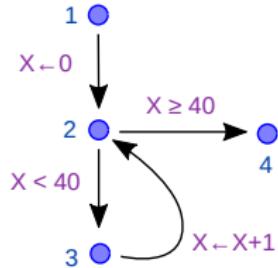
The following sequence is computed:

$$\mathcal{Y}_\ell^{\#0} \stackrel{\text{def}}{=} \mathcal{X}_\ell^{\#\delta} \quad \mathcal{Y}_\ell^{\#i+1} \stackrel{\text{def}}{=} \begin{cases} T^\# & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A}^\# C^\# [c] \mathcal{Y}_{\ell'}^{\#i} & \text{if } \ell \notin \mathcal{W} \\ \mathcal{Y}_\ell^{\#i} \Delta \bigcup_{(\ell', c, \ell) \in A}^\# C^\# [c] \mathcal{Y}_{\ell'}^{\#i} & \text{if } \ell \in \mathcal{W} \end{cases}$$

- the sequence $(\mathcal{Y}_\ell^{\#i})$ is **decreasing** and **converges in finite time**,
- all $(\mathcal{Y}_\ell^{\#i})$ are **solutions of the abstract semantic system**.

Analysis with narrowing example

Example with $\mathcal{W} = \{2\}$



ℓ	$\mathcal{Y}_\ell^{\sharp 0}$	$\mathcal{Y}_\ell^{\sharp 1}$	$\mathcal{Y}_\ell^{\sharp 2}$	$\mathcal{Y}_\ell^{\sharp 3}$
1	\top^\sharp	\top^\sharp	\top^\sharp	\top^\sharp
2 Δ	≥ 0	$\in [0, 40]$	$\in [0, 40]$	$\in [0, 40]$
3	$\in [0, 39]$	$\in [0, 39]$	$\in [0, 39]$	$\in [0, 39]$
4	≥ 40	≥ 40	$= 40$	$= 40$

Narrowing at 2 gives:

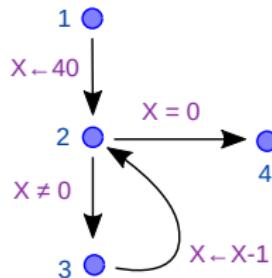
$$\begin{aligned}\mathcal{Y}_2^{\sharp 1} &= [0, +\infty] \Delta_b ([0, 0] \cup_b^{\sharp} [1, 40]) = [0, +\infty[\Delta_b [0, 40] = [0, 40] \\ \mathcal{Y}_2^{\sharp 2} &= [0, 40] \Delta_b ([0, 0] \cup_b^{\sharp} [1, 40]) = [0, 40] \Delta_b [0, 40] = [0, 40]\end{aligned}$$

Then $\mathcal{Y}_2^{\sharp 2} : X \in [0, 40]$ gives $\mathcal{Y}_4^{\sharp 3} : X = 40$.

We found the most precise invariants!

Improving the widening

Example of imprecise analysis



ℓ	intervals with ∇_b	extended signs	intervals with ∇'_b
1	$T^\#$	$T^\#$	$T^\#$
2 \triangleright	$X \leq 40$	$X \geq 0$	$X \in [0, 40]$
3	$X \leq 40$	$X > 0$	$X \in [0, 40]$
4	$X = 0$	$X = 0$	$X = 0$

The interval domain cannot prove that $X \geq 0$ at 2, while the (less powerful) sign domain can!

Solution: improve the interval widening

$$[a, b] \nabla'_b [c, d] \stackrel{\text{def}}{=} \left[\begin{cases} a & \text{if } a \leq c \\ 0 & \text{if } 0 \leq c < a \\ -\infty & \text{otherwise} \end{cases}, \begin{cases} b & \text{if } b \geq d \\ 0 & \text{if } 0 \geq b > d \\ +\infty & \text{otherwise} \end{cases} \right]$$

(∇'_b checks the stability of 0)

Widening with thresholds

Analysis problem:

```

X ← 0;
while • 1 = 1 do
    if [0,1] = 0 then
        X ← X + 1;
        if X > 40 then X ← 0 fi
    fi
done

```

We wish to prove that $X \in [0, 40]$ at •.

- Widening at • finds the loop invariant $X \in [0, +\infty]$.

$$\mathcal{X}_\bullet^\# = [0, 0] \nabla_b ([0, 0] \cup^\# [0, 1]) = [0, 0] \nabla_b [0, 1] = [0, +\infty[$$

- Narrowing is unable to refine the invariant:

$$\mathcal{Y}_\bullet^\# = [0, +\infty] \Delta_b ([0, 0] \cup^\# [0, +\infty[) = [0, +\infty[$$

(the code that limits X is not executed at every loop iteration)

Widening with thresholds (cont.)

Solution:

Choose a **finite set T of thresholds** containing $+\infty$ and $-\infty$.

Definition: widening with thresholds ∇_b^T

$$[a, b] \nabla_b^T [c, d] \stackrel{\text{def}}{=} \left[\begin{cases} a & \text{if } a \leq c \\ \max \{x \in T \mid x \leq c\} & \text{otherwise} \end{cases} \right] ,$$

$$\left[\begin{cases} b & \text{if } b \geq d \\ \min \{x \in T \mid x \geq d\} & \text{otherwise} \end{cases} \right]$$

The widening tests and stops at the first stable bound in T .

Widening with thresholds (cont.)

Applications:

- On the previous example, we find:

$$X \in [0, \min \{x \in T \mid x \geq 40\}].$$

- Useful when it is **easy to find a 'good' set T .**

Example: array bound-checking

- Useful if an **over-approximation of the bound is sufficient.**

Example: arithmetic overflow checking

Limitations: only works if some non- ∞ bound in T is stable.

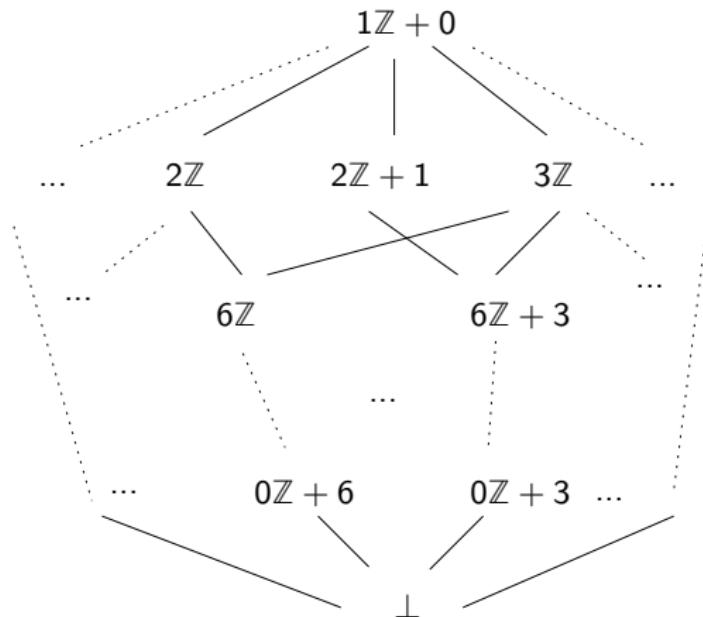
Example: with $T = \{5, 15\}$

<pre> while 1 = 1 do X ← X + 1; if X > 10 then X ← 0 fi done </pre>	<pre> while 1 = 1 do X ← X + 1; if X ≠ 10 then X ← 0 fi done </pre>
15 is stable	no stable bound

The congruence domain

The congruence lattice

$$\mathcal{B}^\sharp \stackrel{\text{def}}{=} \{(a\mathbb{Z} + b) \mid a \in \mathbb{N}, b \in \mathbb{Z}\} \cup \{\perp_b^\sharp\}$$



Introduced by Granger [Gran89].
We take $\mathbb{I} = \mathbb{Z}$.

The congruence lattice (cont.)

Concretization:

$$\gamma_b(\mathcal{X}_b^\sharp) \stackrel{\text{def}}{=} \begin{cases} \{ ak + b \mid k \in \mathbb{Z} \} & \text{if } \mathcal{X}_b^\sharp = (a\mathbb{Z} + b) \\ \emptyset & \text{if } \mathcal{X}_b^\sharp = \perp_b^\sharp \end{cases}$$

Note that $\gamma(0\mathbb{Z} + b) = \{b\}$.

γ_b is **not injective**: $\gamma_b(2\mathbb{Z} + 1) = \gamma_b(2\mathbb{Z} + 3)$.

Definitions:

Given $x, x' \in \mathbb{Z}$, $y, y' \in \mathbb{N}$, we define:

- y/y' $\stackrel{\text{def}}{\iff}$ y divides y' ($\exists k \in \mathbb{N}, y' = ky$) (note that $\forall y: y/0$)
- $x \equiv x' [y]$ $\stackrel{\text{def}}{\iff}$ $y/|x - x'|$ (in particular, $x \equiv x' [0] \iff x = x'$)
- \vee is the LCM, extended with $y \vee 0 \stackrel{\text{def}}{=} 0 \vee y \stackrel{\text{def}}{=} y$
- \wedge is the GCD, extended with $y \wedge 0 \stackrel{\text{def}}{=} 0 \wedge y \stackrel{\text{def}}{=} y$

$(\mathbb{N}, /, \vee, \wedge, 1, 0)$ is a **complete distributive lattice**.

Abstract congruence operators

Complete lattice structure on \mathcal{B}^\sharp :

- $(a\mathbb{Z} + b) \sqsubseteq_b (a'\mathbb{Z} + b') \iff a'/a \text{ and } b \equiv b' [a']$
- $T_b^\sharp \stackrel{\text{def}}{=} (1\mathbb{Z} + 0)$
- $(a\mathbb{Z} + b) \sqcup_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (a \wedge a' \wedge |b - b'|)\mathbb{Z} + b$
- $(a\mathbb{Z} + b) \sqcap_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} \begin{cases} (a \vee a')\mathbb{Z} + b'' & \text{if } b \equiv b' [a \wedge a'] \\ \perp_b^\sharp & \text{otherwise} \end{cases}$
 b'' such that $b'' \equiv b [a \vee a'] \equiv b' [a \vee a']$ is given by Bezout's Theorem.

Galois connection: $\alpha_b(\mathcal{X}) = \bigcup_{c \in \mathcal{X}}_b (0\mathbb{Z} + c)$

(up to equivalence $a\mathbb{Z} + b \equiv a'\mathbb{Z} + b' \iff a = a' \wedge b \equiv b' [a]$)

Abstract congruence operators (cont.)

Arithmetic operators:

$$[c, c']_b^{\sharp} \stackrel{\text{def}}{=} \begin{cases} 0\mathbb{Z} + c & \text{if } c = c' \\ T_b^{\sharp} & \text{otherwise} \end{cases}$$

$$-_b^{\sharp} (a\mathbb{Z} + b) \stackrel{\text{def}}{=} a\mathbb{Z} + (-b)$$

$$(a\mathbb{Z} + b) +_b^{\sharp} (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (a \wedge a')\mathbb{Z} + (b + b')$$

$$(a\mathbb{Z} + b) -_b^{\sharp} (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (a \wedge a')\mathbb{Z} + (b - b')$$

$$(a\mathbb{Z} + b) \times_b^{\sharp} (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (aa' \wedge ab' \wedge a'b)\mathbb{Z} + bb'$$

$$(a\mathbb{Z} + b) /_b^{\sharp} (a'\mathbb{Z} + b') \stackrel{\text{def}}{=}$$

$$\begin{cases} \perp_b^{\sharp} & \text{if } a'\mathbb{Z} + b' = 0\mathbb{Z} + 0 \\ (a/|b'|)\mathbb{Z} + (b/b') & \text{if } a' = 0, b' \neq 0, b'|a, \text{ and } b'|b \\ T_b^{\sharp} & \text{otherwise (not optimal)} \end{cases}$$

Abstract congruence operators (cont.)

Test operators:

$$\leq_0^\sharp_b (a\mathbb{Z} + b) \stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } a = 0, b > 0 \\ a\mathbb{Z} + b & \text{otherwise} \end{cases}$$

⋮

Note: better than the generic $\overleftarrow{0}_b^\sharp (\mathcal{X}_b^\sharp) \stackrel{\text{def}}{=} \mathcal{X}_b^\sharp \cap_b^\sharp [-\infty, 0]_b^\sharp = \mathcal{X}_b^\sharp$

Extrapolation operators:

- no infinite increasing chain \implies no need for ∇
- infinite decreasing chains \implies Δ needed

$$(a\mathbb{Z} + b) \Delta_b (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} \begin{cases} a'\mathbb{Z} + b' & \text{if } a = 1 \\ a\mathbb{Z} + b & \text{otherwise} \end{cases}$$

Note: $\mathcal{X}^\sharp \Delta \mathcal{Y}^\sharp \stackrel{\text{def}}{=} \mathcal{X}^\sharp$ is always a narrowing.

Reduced products of domains

Non-reduced product of domains

Product representation:

Cartesian product $\mathcal{D}_{1 \times 2}^\#$ of $\mathcal{D}_1^\#$ and $\mathcal{D}_2^\#$:

- $\mathcal{D}_{1 \times 2}^\# \stackrel{\text{def}}{=} \mathcal{D}_1^\# \times \mathcal{D}_2^\#$
- $\gamma_{1 \times 2}(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \stackrel{\text{def}}{=} \gamma_1(\mathcal{X}_1^\#) \cap \gamma_2(\mathcal{X}_2^\#)$
- $\alpha_{1 \times 2}(\mathcal{X}) \stackrel{\text{def}}{=} (\alpha_1(\mathcal{X}), \alpha_2(\mathcal{X}))$
- $(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \sqsubseteq_{1 \times 2} (\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) \iff \mathcal{X}_1^\# \sqsubseteq_1 \mathcal{Y}_1^\# \text{ and } \mathcal{X}_2^\# \sqsubseteq_2 \mathcal{Y}_2^\#$

Abstract operators: performed in parallel on both components:

- $(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \cup_{1 \times 2}^\# (\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) \stackrel{\text{def}}{=} (\mathcal{X}_1^\# \cup_1^\# \mathcal{Y}_1^\#, \mathcal{X}_2^\# \cup_2^\# \mathcal{Y}_2^\#)$
- $(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \nabla_{1 \times 2} (\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) \stackrel{\text{def}}{=} (\mathcal{X}_1^\# \nabla_1 \mathcal{Y}_1^\#, \mathcal{X}_2^\# \nabla_2 \mathcal{Y}_2^\#)$
- $C^\# \llbracket c \rrbracket_{1 \times 2}(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \stackrel{\text{def}}{=} (C^\# \llbracket c \rrbracket_1(\mathcal{X}_1^\#), C^\# \llbracket c \rrbracket_2(\mathcal{X}_2^\#))$

Non-reduced product example

The product analysis is no more precise than two separate analyses.

Example: interval-congruence product:

```

X ← 1;
while X - 10 ≤ 0 do
    X ← X + 2
done;
• if X - 12 ≥ 0 then♦ X ← 0★ fi

```

	interval	congruence	product
●	$X \in [11, 12]$	$X \equiv 1 [2]$	$X = 11$
♦	$X = 12$	$X \equiv 1 [2]$	\emptyset
★	$X = 0$	$X = 0$	$X = 0$

We **cannot** prove that the **if** branch is never taken!

Fully-reduced product

Definition:

Given the Galois connections (α_1, γ_1) and (α_2, γ_2) on \mathcal{D}_1^\sharp and \mathcal{D}_2^\sharp we define the **reduction operator ρ** as:

$$\rho : \mathcal{D}_{1 \times 2}^\sharp \rightarrow \mathcal{D}_{1 \times 2}^\sharp$$

$$\rho(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \stackrel{\text{def}}{=} (\alpha_1(\gamma_1(\mathcal{X}_1^\sharp) \cap \gamma_2(\mathcal{X}_2^\sharp)), \alpha_2(\gamma_1(\mathcal{X}_1^\sharp) \cap \gamma_2(\mathcal{X}_2^\sharp)))$$

ρ propagates information between domains.

Application:

We can reduce the result of each abstract operator, except ∇ :

- $(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \cup_{1 \times 2}^\sharp (\mathcal{Y}_1^\sharp, \mathcal{Y}_2^\sharp) \stackrel{\text{def}}{=} \rho(\mathcal{X}_1^\sharp \cup_1^\sharp \mathcal{Y}_1^\sharp, \mathcal{X}_2^\sharp \cup_2^\sharp \mathcal{Y}_2^\sharp),$
- $\mathbf{C}^\sharp[\![c]\!]_{1 \times 2}(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \stackrel{\text{def}}{=} \rho(\mathbf{C}^\sharp[\![c]\!]_1(\mathcal{X}_1^\sharp), \mathbf{C}^\sharp[\![c]\!]_2(\mathcal{X}_2^\sharp)).$

We refrain from reducing after a widening ∇ ,
this may jeopardize the convergence (octagon domain example).

Fully-reduced product example

Reduction example: between the interval and congruence domains:

$$\text{Noting: } a' \stackrel{\text{def}}{=} \min \{x \geq a \mid x \equiv d [c]\}$$

$$b' \stackrel{\text{def}}{=} \max \{x \leq b \mid x \equiv d [c]\}$$

We get:

$$\rho_b([a, b], c\mathbb{Z} + d) \stackrel{\text{def}}{=} \begin{cases} (\perp_b^\sharp, \perp_b^\sharp) & \text{if } a' > b' \\ ([a', a'], 0\mathbb{Z} + a') & \text{if } a' = b' \\ ([a', b'], c\mathbb{Z} + d) & \text{if } a' < b' \end{cases}$$

extended point-wisely to ρ on \mathcal{D}^\sharp .

Application:

- $\rho_b([10, 11], 2\mathbb{Z} + 1) = ([11, 11], 0\mathbb{Z} + 11)$
(proves that the branch is never taken on our example)
- $\rho_b([1, 3], 4\mathbb{Z}) = (\perp_b^\sharp, \perp_b^\sharp)$

Partially-reduced product

Definition: of a **partial** reduction:

any function $\rho : \mathcal{D}_{1 \times 2}^\# \rightarrow \mathcal{D}_{1 \times 2}^\#$ such that:

$$(\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) = \rho(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \Rightarrow \begin{cases} \gamma_{1 \times 2}(\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) = \gamma_{1 \times 2}(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \\ \gamma_1(\mathcal{Y}_1^\#) \subseteq \gamma_1(\mathcal{X}_1^\#) \\ \gamma_2(\mathcal{Y}_2^\#) \subseteq \gamma_2(\mathcal{X}_2^\#) \end{cases}$$

Useful when:

- there is no Galois connection, or
- a full reduction exists but is expensive to compute.

Partial reduction example:

$$\rho(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \stackrel{\text{def}}{=} \begin{cases} (\perp^\#, \perp^\#) & \text{if } \mathcal{X}_1^\# = \perp^\# \text{ or } \mathcal{X}_2^\# = \perp^\# \\ (\mathcal{X}_1^\#, \mathcal{X}_2^\#) & \text{otherwise} \end{cases}$$

(works on all domains)

For more complex examples, see [Blan03].

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