

# **Non-Relational Numerical Abstract Domains**

MPRI 2–6: Abstract Interpretation,  
application to verification and static analysis

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# Outline

- Concrete semantics (reminders)
- Abstract domains and abstract solving
- Non-relational numerical abstract domains
  - generic **Cartesian** abstraction
  - **sign** domain
  - **constant** domain
  - **interval** domain
  - **congruence** domain
- Reduced product of domains
- Bibliography

Next week: **relational** abstract domains

# Concrete semantics (reminders)

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# Syntax of a toy-language

## Simple numeric programs:

- fixed, **finite** set of variables  $\mathbb{V}$
- with value in some **numeric set**  $\mathbb{I} \stackrel{\text{def}}{=} \{\mathbb{Z}, \mathbb{Q}, \mathbb{R}\}$
- programs as **CFG**:  $(\mathcal{L}, e, x, A)$   
with nodes  $\mathcal{L}$ , entry  $e \in \mathcal{L}$ , exit  $x \in \mathcal{L}$ , and arcs  $A \subseteq \mathcal{L} \times \text{com} \times \mathcal{L}$

## Atomic commands:

**com** ::=  $V \leftarrow \text{exp}$  assignment into  $V \in \mathbb{V}$   
           |  $\text{exp} \bowtie 0$  test,  $\bowtie \in \{=, <, >, \leq, \geq, \neq\}$

## Arithmetic expressions:

**exp** ::=  $V$  variable  $V \in \mathbb{V}$   
           |  $-\text{exp}$  negation  
           |  $\text{exp} \diamond \text{exp}$  binary operation:  $\diamond \in \{+, -, \times, /\}$   
           |  $[c, c']$  constant range,  $c, c' \in \mathbb{I} \cup \{\pm\infty\}$   
           |  $c$  constant, shorthand for  $[c, c]$

# Expression semantics

Expression semantics:  $E[\![e]\!]: \mathcal{E} \rightarrow \mathcal{P}(\mathbb{I})$

where  $\mathcal{E} \stackrel{\text{def}}{=} \mathbb{V} \rightarrow \mathbb{I}$ .

The evaluation of  $e$  in  $\rho \in \mathcal{E}$  gives a **set** of values:

$E[\![c, c']]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{x \in \mathbb{I} \mid c \leq x \leq c'\}$
$E[\![V]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{\rho(V)\}$
$E[\![-e]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{-v \mid v \in E[\![e]\!] \rho\}$
$E[\![e_1 + e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1 + v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho\}$
$E[\![e_1 - e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1 - v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho\}$
$E[\![e_1 \times e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1 \times v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho\}$
$E[\![e_1 / e_2]\!] \rho$	$\stackrel{\text{def}}{=}$	$\{v_1/v_2 \mid v_1 \in E[\![e_1]\!] \rho, v_2 \in E[\![e_2]\!] \rho, v_2 \neq 0\}$

# Forward semantics: state reachability

Transfer functions:  $C[\![ \text{com} ]\!]: \mathcal{P}(\mathcal{E}) \rightarrow \mathcal{P}(\mathcal{E})$

- $C[\![ V \leftarrow e ]\!] \mathcal{X} \stackrel{\text{def}}{=} \{ \rho[\ V \mapsto v\ ] \mid \rho \in \mathcal{X}, v \in E[\![ e ]\!] \rho \}$
- $C[\![ e \bowtie 0 ]\!] \mathcal{X} \stackrel{\text{def}}{=} \{ \rho \mid \rho \in \mathcal{X}, \exists v \in E[\![ e ]\!] \rho, v \bowtie 0 \}$

Fixpoint semantics:  $(\mathcal{X}_\ell)_{\ell \in \mathcal{L}} : \mathcal{P}(\mathcal{E})$

$$\begin{cases} \mathcal{X}_e = \mathcal{E} & \text{(entry)} \\ \mathcal{X}_\ell = \bigcup_{(\ell', c, \ell) \in A} C[\![ c ]\!] \mathcal{X}_{\ell'} & \text{if } \ell \neq e \end{cases}$$

Tarski's Theorem: this smallest solution exists and is unique.

$\mathcal{D} \stackrel{\text{def}}{=} (\mathcal{P}(\mathcal{E}), \subseteq, \cup, \cap, \emptyset, \mathcal{E})$  is a complete lattice,

each  $M_\ell : \mathcal{X}_\ell \mapsto \bigcup_{(\ell', c, \ell) \in A} C[\![ c ]\!] \mathcal{X}_{\ell'}$  is monotonic in  $\mathcal{D}$ .

$\Rightarrow$  the solution is the least fixpoint of  $(M_\ell)_{\ell \in \mathcal{L}}$ .

# Resolution

## Resolution by increasing iterations:

$$\left\{ \begin{array}{ll} \mathcal{X}_e^0 & \stackrel{\text{def}}{=} \mathcal{E} \\ \mathcal{X}_{\ell \neq e}^0 & \stackrel{\text{def}}{=} \emptyset \end{array} \right. \quad \left\{ \begin{array}{ll} \mathcal{X}_e^{n+1} & \stackrel{\text{def}}{=} \mathcal{E} \\ \mathcal{X}_{\ell \neq e}^{n+1} & \stackrel{\text{def}}{=} \bigcup_{(\ell', c, \ell) \in A} C[\![c]\!] \mathcal{X}_{\ell'}^n \end{array} \right.$$

## Kleene theorem:

Converges in  $\omega$  iterations to a least solution,  
because each  $C[\![c]\!]$  is continuous in the CPO  $\mathcal{D}$ .

# Backward refinement: state co-reachability

Semantics of commands:  $\overleftarrow{C}[\![c]\!]: \mathcal{P}(\mathcal{E}) \rightarrow \mathcal{P}(\mathcal{E})$

- $\overleftarrow{C}[\![V \leftarrow e]\!] \mathcal{X} \stackrel{\text{def}}{=} \{ \rho \mid \exists v \in E[\![e]\!] \rho, \rho[V \mapsto v] \in \mathcal{X} \}$
- $\overleftarrow{C}[\![e \bowtie 0]\!] \mathcal{X} \stackrel{\text{def}}{=} C[\![e \bowtie 0]\!] \mathcal{X}$

(necessary conditions on  $\rho$  to have a successor in  $\mathcal{X}$  by  $c$ )

Refinement: given:

- a solution  $(\mathcal{X}_\ell)_{\ell \in \mathcal{L}}$  of the forward system
- an output criterion  $\mathcal{Y}$  at exit node  $x$

compute a least fixpoint by decreasing iterations [Bour93b]

$$\begin{cases} \mathcal{Y}_x^0 & \stackrel{\text{def}}{=} \mathcal{X}_x \cap \mathcal{Y} \\ \mathcal{Y}_{\ell \neq x}^0 & \stackrel{\text{def}}{=} \mathcal{X}_\ell \end{cases}$$

$$\begin{cases} \mathcal{Y}_x^{n+1} & \stackrel{\text{def}}{=} \mathcal{X}_x \cap \mathcal{Y} \\ \mathcal{Y}_{\ell \neq x}^{n+1} & \stackrel{\text{def}}{=} \mathcal{X}_\ell \cap \left( \bigcup_{(\ell, c, \ell') \in A} \overleftarrow{C}[\![c]\!] \mathcal{Y}_{\ell'}^n \right) \end{cases}$$

# Limit to automation

We wish to perform **automatic** numerical invariant discovery.

## Theoretical problems

- the elements of  $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$  are **not** computer representable
- the transfer functions  $C[\![c]\!]$ ,  $\overleftarrow{C}[\![c]\!]$  are **not computable**
- the lattice iterations in  $\mathcal{P}(\mathcal{E})$  are **transfinite**

**Finding the best invariant is an **undecidable** problem**

## Note:

Even when  $\mathbb{I}$  is finite, a concrete analysis is **not tractable**:

- representing elements in  $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$  in extension is expensive
- computing  $C[\![c]\!]$ ,  $\overleftarrow{C}[\![c]\!]$  explicitly is expensive
- the lattice  $\mathcal{P}(\mathbb{V} \rightarrow \mathbb{I})$  has a large height ( $\Rightarrow$  many iterations)

# Abstraction

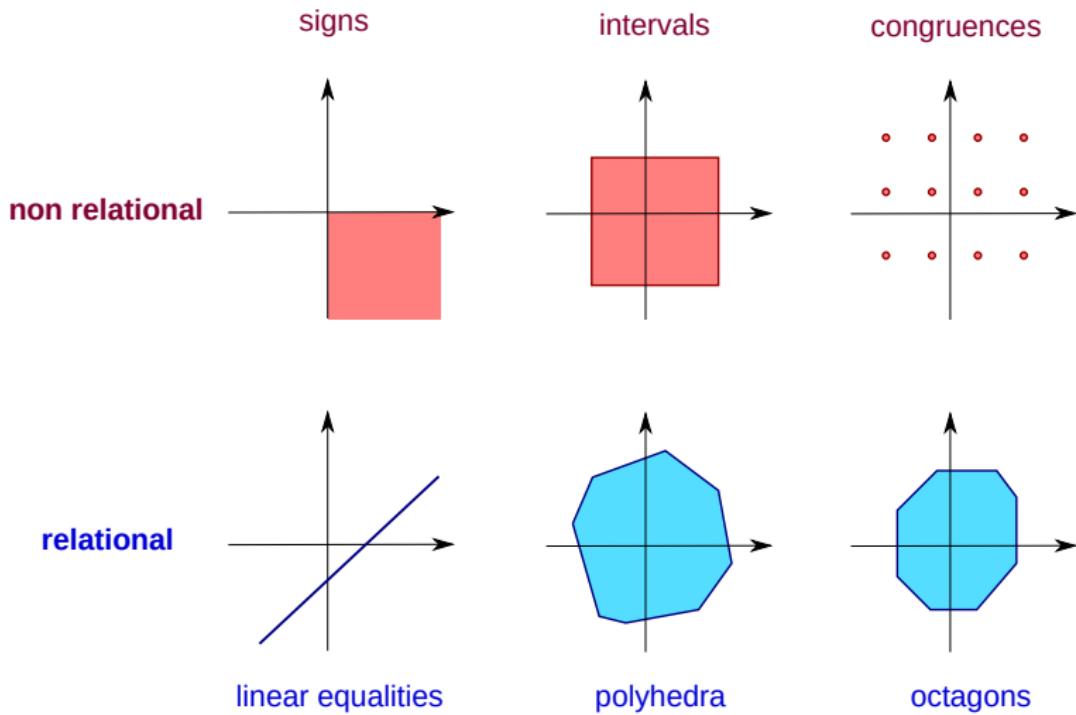
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# Numerical abstract domains

A **numerical abstract domain** is given by:

- a subset of  $\mathcal{P}(\mathcal{E})$   
(a set of environment sets)  
together with a machine encoding,
- effective and sound abstract operators,
- an iteration strategy  
ensuring convergence in finite time.

# Numerical abstract domain examples



# Academic implementation: Apron and Interproc

Apron: library of numerical abstractions [Jean09]

Interproc: on-line analyzer for a toy language, based on Apron

The screenshot shows the 'Interproc Analyzer - Mozilla Firefox' window. The main area contains a code editor with the following C-like pseudocode:

```

prog ack(x:int,y:int) returns (res:int)
var t:int, t1:int;
begin
    assume x>=0 and y>=0;
    if (x<=0) then /* x<=0 instead of x==0 (more precise) */
        res = y+1;
    else
        if (y<=0) then /* y<=0 instead of x==0 (more precise) */
            t1 = x-1;
            t = 1;
            res = ack(t1,t);
        else
            t1 = y-1;
            t = ack(x,t1);
            t1 = x-1;
            res = ack(t1,t);

```

Below the code editor are several configuration fields:

- Numerical Abstract Domain:
- Kind of Analysis:  (sequence of forward and/or backward analysis)
- Iterations/Widening options:
  - guided iterations  widening first
  - widening delay  widening frequency  descending steps
  - debugging level (0 to 6)
- Hit the OK button to proceed:

**``Simple'' language syntax**

Here are some program examples: [incr](#) [ackerman](#) [fact](#) [numerical](#) [numerical2](#) [mccarthy91](#) [heapsort](#) [symmetricalstairs](#)

<http://pop-art.inrialpes.fr/interproc/interprocweb.cgi>

# Numerical abstract domains (cont.)

**Representation:** given by

- a set  $\mathcal{D}^\sharp$  of machine-representable **abstract environments**,
- a **partial order**  $(\mathcal{D}^\sharp, \sqsubseteq, \perp^\sharp, \top^\sharp)$   
relating the amount of information given by abstract elements,
- a **concretization** function  $\gamma: \mathcal{D}^\sharp \rightarrow \mathcal{P}(\mathcal{E})$   
giving a concrete meaning to each abstract element,
- an abstraction function  $\alpha$  forming a Galois connection  $(\alpha, \gamma)$  is optional.

Required algebraic properties:

- $\gamma$  should be **monotonic**:  $\mathcal{X}^\sharp \sqsubseteq \mathcal{Y}^\sharp \implies \gamma(\mathcal{X}^\sharp) \subseteq \gamma(\mathcal{Y}^\sharp)$ ,
- $\gamma(\perp^\sharp) = \emptyset$ ,
- $\gamma(\top^\sharp) = \mathcal{E}$ .

Note:  $\gamma$  need not be one-to-one.

# Numerical abstract domains (cont.)

Abstract operators: we require:

- sound, effective, abstract transfer functions  $C^\sharp[\![c]\!]$ ,  $\overleftarrow{C}^\sharp[\![c]\!]$  for all commands  $c$ ,
- sound, effective, abstract set operators  $\cup^\sharp$ ,  $\cap^\sharp$ ,
- an algorithm to decide the ordering  $\sqsubseteq$ .

Soundness criterion:

$F^\sharp$  is a **sound** abstraction of a  $n$ -ary operator  $F$  if:

$$\forall \mathcal{X}_1^\sharp, \dots, \mathcal{X}_n^\sharp \in \mathcal{D}^\sharp, F(\gamma(\mathcal{X}_1^\sharp), \dots, \gamma(\mathcal{X}_n^\sharp)) \subseteq \gamma(F^\sharp(\mathcal{X}_1^\sharp, \dots, \mathcal{X}_n^\sharp))$$

$F^\sharp(\mathcal{X}_1^\sharp, \dots, \mathcal{X}_n^\sharp) = \alpha(F(\gamma(\mathcal{X}_1^\sharp), \dots, \gamma(\mathcal{X}_n^\sharp)))$  is optional.

Both **semantic** and **algorithmic** aspects.

## Abstract semantics

## Abstract semantic equation system

$$\mathcal{X}^\# : \mathcal{L} \rightarrow \mathcal{D}^\#$$

$$\mathcal{X}_\ell^\# \sqsupseteq \begin{cases} T^\# & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^\# & \text{if } \ell \neq e \end{cases} \quad \begin{matrix} \text{(entry)} \\ \text{(abstract transfer function)} \end{matrix}$$

# Soundness Theorem

Any solution  $(\mathcal{X}_\ell^\sharp)_{\ell \in \mathcal{L}}$  is a **sound over-approximation** of the concrete collecting semantics:

$$\forall \ell \in \mathcal{L}, \gamma(\mathcal{X}_\ell^\#) \supseteq \mathcal{X}_\ell$$

$$\text{where } \mathcal{X}_\ell \text{ is the smallest solution of} \\ \left\{ \begin{array}{ll} \mathcal{E} & \text{entry} \\ \mathcal{X}_\ell = \bigcup_{(\ell', c, \ell) \in A} C[c] \mathcal{X}_{\ell'} & \text{if } \ell \neq e \end{array} \right.$$

# Iteration strategy

Resolution by iterations in  $\mathcal{D}^\sharp$ :

To **effectively** solve the abstract system, we require:

- an **iteration ordering** on abstract equations  
(which equation(s) are applied at a given iteration)
- a **widening operator**  $\nabla$  to speed-up the convergence,  
if there are infinite strictly increasing chains in  $\mathcal{D}^\sharp$ .

$\nabla : (\mathcal{D}^\sharp \times \mathcal{D}^\sharp) \rightarrow \mathcal{D}^\sharp$  is a widening if:

- it is sound:  $\gamma(\mathcal{X}^\sharp) \cup \gamma(\mathcal{Y}^\sharp) \subseteq \gamma(\mathcal{X}^\sharp \nabla \mathcal{Y}^\sharp)$

- it enforces termination:

$\forall$  sequence  $(\mathcal{Y}_i^\sharp)_{i \in \mathbb{N}}$

the sequence  $\mathcal{X}_0^\sharp = \mathcal{Y}_0^\sharp$ ,  $\mathcal{X}_{i+1}^\sharp = \mathcal{X}_i^\sharp \nabla \mathcal{Y}_{i+1}^\sharp$

stabilizes in finite time:  $\exists n < \omega$ ,  $\mathcal{X}_{n+1}^\sharp = \mathcal{X}_n^\sharp$

(note:  $\exists n, \forall m \geq n, \mathcal{X}_{m+1}^\sharp = \mathcal{X}_m^\sharp$  is **not** required)

# Abstract analysis

$\mathcal{W} \subseteq \mathcal{L}$  is a set of **widening points** if every CFG cycle has a point in  $\mathcal{W}$ .

## Forward analysis:

$$\mathcal{X}_e^{\#0} \stackrel{\text{def}}{=} T^\#$$

$$\mathcal{X}_{\ell \neq e}^{\#0} \stackrel{\text{def}}{=} \perp^\#$$

$$\mathcal{X}_\ell^{\#n+1} \stackrel{\text{def}}{=} \begin{cases} T^\# & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#n} & \text{if } \ell \notin \mathcal{W}, \ell \neq e \\ \mathcal{X}_\ell^{\#n} \downarrow \bigcup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#n} & \text{if } \ell \in \mathcal{W}, \ell \neq e \end{cases}$$

- **termination:** for some  $\delta$ ,  $\forall \ell \in \mathcal{L}$ ,  $\mathcal{X}_\ell^{\#\delta+1} = \mathcal{X}_\ell^{\#\delta}$
- **soundness:**  $\forall \ell \in \mathcal{L}$ ,  $\mathcal{X}_\ell \subseteq \gamma(\mathcal{X}_\ell^{\#\delta})$
- can be refined by decreasing iterations with narrowing  $\Delta$   
(presented later)
- here, we apply every equation at each step, but other iteration schemes are possible (worklist, chaotic iterations, see [Bour93a])

# Abstract analysis (proof)

Proof of soundness:

Suppose that  $\forall \ell, \mathcal{X}_\ell^{\#\delta+1} = \mathcal{X}_\ell^{\#\delta}$ .

If  $\ell = e$ , by definition:  $\mathcal{X}_e^{\#\delta} = \top^\#$  and  $\gamma(\top^\#) = \mathcal{E}$ .

If  $\ell \neq e$ ,  $\ell \notin \mathcal{W}$ , then  $\mathcal{X}_\ell^{\#\delta} = \mathcal{X}_\ell^{\#\delta+1} = \cup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#\delta}$ .

By soundness of  $\cup^\#$  and  $C^\# \llbracket c \rrbracket$ ,  $\gamma(\mathcal{X}_\ell^{\#\delta}) \supseteq \cup_{(\ell', c, \ell) \in A} C \llbracket c \rrbracket \gamma(\mathcal{X}_{\ell'}^{\#\delta})$ .

If  $\ell \neq e$ ,  $\ell \in \mathcal{W}$ , then  $\mathcal{X}_\ell^{\#\delta} = \mathcal{X}_\ell^{\#\delta+1} = \mathcal{X}_\ell^{\#\delta} \triangleright \cup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#\delta}$ .

By soundness of  $\triangleright$ ,  $\gamma(\mathcal{X}_\ell^{\#\delta}) \supseteq \gamma(\cup_{(\ell', c, \ell) \in A} C^\# \llbracket c \rrbracket \mathcal{X}_{\ell'}^{\#\delta})$ ,

and so we also have  $\gamma(\mathcal{X}_\ell^{\#\delta}) \supseteq \cup_{(\ell', c, \ell) \in A} C \llbracket c \rrbracket \gamma(\mathcal{X}_{\ell'}^{\#\delta})$ .

We have proved that  $\lambda \ell. \gamma(\mathcal{X}_\ell^{\#\delta})$  is a postfixpoint of the concrete equation system.  
Hence, it is greater than its least solution.

# Abstract analysis (proof)

## Proof of termination:

Suppose that the iteration does not terminate in finite time.

Given a label  $\ell \in \mathcal{L}$ , we denote by  $i_\ell^1, \dots, i_\ell^k, \dots$  the increasing sequence of unstable indices, i.e., such that  $\forall k, \mathcal{X}_\ell^{\#i_\ell^{k+1}} \neq \mathcal{X}_\ell^{\#i_\ell^k}$ .

As the iteration is not stable,  $\forall n, \exists \ell, \mathcal{X}_\ell^{\#n} \neq \mathcal{X}_\ell^{\#n+1}$ .

Hence, the sequence  $(i_\ell^k)_k$  is infinite for at least one  $\ell \in \mathcal{L}$ .

We argue that  $\exists \ell \in \mathcal{W}$  such that  $(i_\ell^k)_k$  is infinite as, otherwise,

$N = \max \{ i_\ell^k \mid \ell \in \mathcal{W} \} + |\mathcal{L}|$  is finite and satisfies:  $\forall n \geq N, \forall \ell \in \mathcal{L}, \mathcal{X}_\ell^{\#n} = \mathcal{X}_\ell^{\#n+1}$ , contradicting our assumption.

For such a  $\ell \in \mathcal{W}$ , consider the subsequence  $\mathcal{Y}_k^\# = \mathcal{X}_\ell^{\#i_\ell^k}$  comprised of the unstable iterates of  $\mathcal{X}_\ell^\#$ .

Then  $\mathcal{Y}^{\#k+1} = \mathcal{Y}^{\#k} \downarrow \mathcal{Z}^{\#k}$  for some sequence  $\mathcal{Z}^{\#k}$ .

The subsequence is infinite and  $\forall k, \mathcal{Y}^{\#k+1} \neq \mathcal{Y}^{\#k}$ , which contradicts the definition of  $\downarrow$ .

Hence, the iteration must terminate in finite time.

# Abstract analysis (cont.)

## Backward refinement:

Given a forward analysis result  $(\mathcal{X}_\ell^\#)_{\ell \in \mathcal{L}}$  and an abstract output  $\mathcal{Y}^\#$  at  $x$ , we compute  $(\mathcal{Y}_\ell^\#)_{\ell \in \mathcal{L}}$ .

$$\mathcal{Y}_x^{\#0} \stackrel{\text{def}}{=} \mathcal{X}_x^\# \cap^\# \mathcal{Y}^\#$$

$$\mathcal{Y}_{\ell \neq x}^{\#0} \stackrel{\text{def}}{=} \mathcal{X}_\ell^\#$$

$$\mathcal{Y}_\ell^{\#n+1} \stackrel{\text{def}}{=} \begin{cases} \mathcal{X}_x^\# \cap^\# \mathcal{Y}^\# & \text{if } \ell = x \\ \mathcal{X}_\ell^\# \cap^\# \bigcup_{(\ell, c, \ell') \in A} \overleftarrow{C}^\# \llbracket c \rrbracket \mathcal{Y}_{\ell'}^{\#n} & \text{if } \ell \notin \mathcal{W}, \ell \neq x \\ \mathcal{Y}_\ell^{\#n} \Delta (\mathcal{X}_\ell^\# \cap^\# \bigcup_{(\ell, c, \ell') \in A} \overleftarrow{C}^\# \llbracket c \rrbracket \mathcal{Y}_{\ell'}^{\#n}) & \text{if } \ell \in \mathcal{W}, \ell \neq x \end{cases}$$

$\Delta$  overapproximates  $\cap$  while enforcing the convergence of  
**decreasing** iterations (the definition will be given later, on intervals)

# Non-relational domains

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# Value abstract domains

Idea: start from an **abstraction of values**  $\mathcal{P}(\mathbb{I})$

Numerical value abstract domain:

$\mathcal{B}^\sharp$  abstract values, machine-representable

$\gamma_b: \mathcal{B}^\sharp \rightarrow \mathcal{P}(\mathbb{I})$  concretization

$\sqsubseteq_b$  partial order

$\perp_b^\sharp, \top_b^\sharp$  represent  $\emptyset$  and  $\mathbb{I}$

$\cup_b^\sharp, \cap_b^\sharp$  abstractions of  $\cup$  and  $\cap$

$\nabla_b$  extrapolation operator

$\alpha_b: \mathcal{P}(\mathbb{I}) \rightarrow \mathcal{B}^\sharp$  abstraction (optional)

# Derived abstract domain

$$\mathcal{D}^\# \stackrel{\text{def}}{=} (\mathbb{V} \rightarrow (\mathcal{B}^\# \setminus \{\perp_b^\#\})) \cup \{\perp^\#\}$$

- point-wise extension:  $\mathcal{X}^\# \in \mathcal{D}^\#$  is a vector of elements in  $\mathcal{B}^\#$   
(e.g. using arrays of size  $|\mathbb{V}|$ )
- smashed  $\perp^\#$  (avoids redundant representations of  $\emptyset$ )

Definitions on  $\mathcal{D}^\#$  derived from  $\mathcal{B}^\#$ :

$$\gamma(\mathcal{X}^\#) \stackrel{\text{def}}{=} \begin{cases} \emptyset & \text{if } \mathcal{X}^\# = \perp^\# \\ \{\rho \mid \forall V, \rho(V) \in \gamma_b(\mathcal{X}^\#(V))\} & \text{otherwise} \end{cases}$$

$$\alpha(\mathcal{X}) \stackrel{\text{def}}{=} \begin{cases} \perp^\# & \text{if } \mathcal{X} = \emptyset \\ \lambda V. \alpha_b(\{\rho(V) \mid \rho \in \mathcal{X}\}) & \text{otherwise} \end{cases}$$

$$\top^\# \stackrel{\text{def}}{=} \lambda V. \top_b^\#$$

# Derived abstract domain (cont.)

$$\mathcal{X}^\# \sqsubseteq \mathcal{Y}^\# \iff \mathcal{X}^\# = \perp^\# \vee (\mathcal{X}^\#, \mathcal{Y}^\# \neq \perp^\# \wedge \forall V, \mathcal{X}^\#(V) \sqsubseteq_b \mathcal{Y}^\#(V))$$

$$\begin{aligned} \mathcal{X}^\# \cup^\# \mathcal{Y}^\# &\stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \mathcal{Y}^\# & \text{if } \mathcal{X}^\# = \perp^\# \\ \mathcal{X}^\# & \text{if } \mathcal{Y}^\# = \perp^\# \\ \lambda V. \mathcal{X}^\#(V) \cup_b^\# \mathcal{Y}^\#(V) & \text{otherwise} \end{array} \right. \\ \mathcal{X}^\# \setminus \mathcal{Y}^\# &\stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \mathcal{Y}^\# & \text{if } \mathcal{X}^\# = \perp^\# \\ \mathcal{X}^\# & \text{if } \mathcal{Y}^\# = \perp^\# \\ \lambda V. \mathcal{X}^\#(V) \setminus_b \mathcal{Y}^\#(V) & \text{otherwise} \end{array} \right. \\ \mathcal{X}^\# \cap^\# \mathcal{Y}^\# &\stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \perp^\# & \text{if } \mathcal{X}^\# = \perp^\# \text{ or } \mathcal{Y}^\# = \perp^\# \\ \perp^\# & \text{if } \exists V, \mathcal{X}^\#(V) \cap_b^\# \mathcal{Y}^\#(V) = \perp_b^\# \\ \lambda V. \mathcal{X}^\#(V) \cap_b^\# \mathcal{Y}^\#(V) & \text{otherwise} \end{array} \right. \end{aligned}$$

We will see later how to derive  $C^\#[\![c]\!]$ ,  $\overleftarrow{C}^\#[\![c]\!]$  using:

- abstract operators  $+_b^\#$ , ... for  $C^\#[\![V \leftarrow e]\!]$
- backward abstract operators  $\overleftarrow{+}_b^\#$ , ...  
for  $\overleftarrow{C}^\#[\![V \leftarrow e]\!]$  and  $C^\#[\![e \bowtie 0]\!]^\#$

# Cartesian abstraction

Non-relational domains “forget” all relationships between variables.

## Cartesian abstraction:

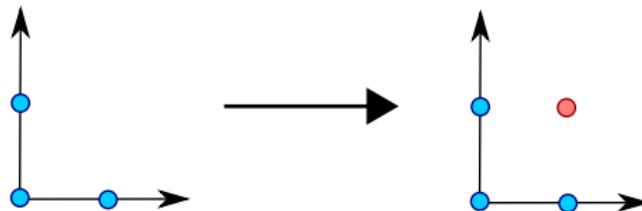
Upper closure operator  $\rho_c : \mathcal{P}(\mathcal{E}) \rightarrow \mathcal{P}(\mathcal{E})$

$$\rho_c(\mathcal{X}) \stackrel{\text{def}}{=} \{ \rho \in \mathcal{E} \mid \forall V \in \mathbb{V}, \exists \rho' \in \mathcal{X}, \rho(V) = \rho'(V) \}$$

A domain is non-relational if  $\rho \circ \gamma = \gamma$ ,

i.e. it cannot distinguish between  $\mathcal{X}$  and  $\mathcal{X}'$  if  $\rho_c(\mathcal{X}) = \rho_c(\mathcal{X}')$ .

Example:  $\rho_c(\{(X, Y) \mid X \in \{0, 2\}, Y \in \{0, 2\}, X + Y \leq 2\}) = \{0, 2\} \times \{0, 2\}$ .



# Generic non-relational abstract assignments

Given: sound abstract versions in  $\mathcal{B}^\sharp$  of all arithmetic operators:

$$\begin{aligned}
 [c, c']_b^\sharp &: \{x \mid c \leq x \leq c'\} &\subseteq \gamma_b([c, c']_b^\sharp) \\
 -_b^\sharp &: \{-x \mid x \in \gamma_b(\mathcal{X}_b^\sharp)\} &\subseteq \gamma_b(-_b^\sharp \mathcal{X}_b^\sharp) \\
 +_b^\sharp &: \{x+y \mid x \in \gamma_b(\mathcal{X}_b^\sharp), y \in \gamma_b(\mathcal{Y}_b^\sharp)\} &\subseteq \gamma_b(\mathcal{X}_b^\sharp +_b^\sharp \mathcal{Y}_b^\sharp) \\
 &\vdots
 \end{aligned}$$

We can define:

- an abstract semantics of expressions:  $E^\sharp[e] : \mathcal{D}^\sharp \rightarrow \mathcal{B}^\sharp$

$$E^\sharp[e] \perp^\sharp \stackrel{\text{def}}{=} \perp_b^\sharp$$

if  $\mathcal{X}^\sharp \neq \perp^\sharp$ :

$$E^\sharp[[c, c']] \mathcal{X}^\sharp \stackrel{\text{def}}{=} [c, c']_b^\sharp$$

$$E^\sharp[V] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \mathcal{X}^\sharp(V)$$

$$E^\sharp[-e] \mathcal{X}^\sharp \stackrel{\text{def}}{=} -_b^\sharp E^\sharp[e] \mathcal{X}^\sharp$$

$$E^\sharp[e_1 + e_2] \mathcal{X}^\sharp \stackrel{\text{def}}{=} E^\sharp[e_1] \mathcal{X}^\sharp +_b^\sharp E^\sharp[e_2] \mathcal{X}^\sharp$$

$\vdots$

# Generic non-relational abstract assignments (cont.)

We can then define:

- an abstract assignment:

$$C^\sharp[\mathcal{V} \leftarrow e] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \begin{cases} \perp^\sharp & \text{if } \mathcal{V}_b^\sharp = \perp_b^\sharp \\ \mathcal{X}^\sharp[\mathcal{V} \mapsto \mathcal{V}_b^\sharp] & \text{otherwise} \end{cases}$$

where  $\mathcal{V}_b^\sharp = E^\sharp[e] \mathcal{X}^\sharp$ .

Using a Galois connection  $(\alpha_b, \gamma_b)$ :

We can define **best** abstract arithmetic operators:

$$\begin{aligned} [c, c']_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{x \mid c \leq x \leq c'\}) \\ -_b^\sharp \mathcal{X}_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{-x \mid x \in \gamma(\mathcal{X}_b^\sharp)\}) \\ \mathcal{X}_b^\sharp +_b^\sharp \mathcal{Y}_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{x+y \mid x \in \gamma(\mathcal{X}_b^\sharp), y \in \gamma(\mathcal{Y}_b^\sharp)\}) \\ &\vdots \end{aligned}$$

Note: in general,  $E^\sharp[e]$  is less precise than  $\alpha_b \circ E[e] \circ \gamma$

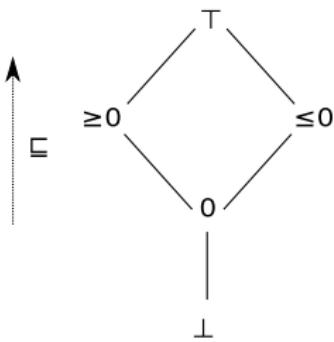
e.g.  $e = V - V$  and  $\gamma_b(\mathcal{X}^\sharp(V)) = [0, 1]$

## The sign domains

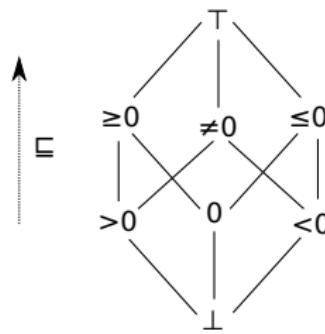
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# The sign lattices

**Hasse diagram:** for the lattice  $(\mathcal{B}^\sharp, \sqsubseteq_b, \perp_b^\sharp, T_b^\sharp)$



simple signs



extended signs

The extended sign domain is a refinement of the simple sign domain.

The diagram implicitly defines  $U^\sharp$  and  $N^\sharp$  as the least upper bound and greatest lower bound for  $\sqsubseteq$ .

# Operations on simple signs

Abstraction  $\alpha$ : there is a **Galois connection** between  $\mathcal{B}^\sharp$  and  $\mathcal{P}(\mathbb{I})$ :

$$\alpha_b(S) \stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } S = \emptyset \\ 0 & \text{if } S = \{0\} \\ \geq 0 & \text{else if } \forall s \in S, s \geq 0 \\ \leq 0 & \text{else if } \forall s \in S, s \leq 0 \\ \top_b^\sharp & \text{otherwise} \end{cases}$$

Derived abstract arithmetic operators:

$$\begin{aligned} c_b^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{c\}) = \begin{cases} 0 & \text{if } c = 0 \\ \leq 0 & \text{if } c < 0 \\ \geq 0 & \text{if } c > 0 \end{cases} \\ X^\sharp +_b^\sharp Y^\sharp &\stackrel{\text{def}}{=} \alpha_b(\{x + y \mid x \in \gamma_b(X^\sharp), y \in \gamma_b(Y^\sharp)\}) \\ &= \begin{cases} \perp_b^\sharp & \text{if } X \text{ or } Y^\sharp = \perp_b^\sharp \\ 0 & \text{if } X^\sharp = Y^\sharp = 0 \\ \leq 0 & \text{else if } X^\sharp \text{ and } Y^\sharp \in \{0, \leq 0\} \\ \geq 0 & \text{else if } X^\sharp \text{ and } Y^\sharp \in \{0, \geq 0\} \\ \top_b^\sharp & \text{otherwise} \end{cases} \end{aligned}$$

# Operations on simple signs (cont.)

Abstract test examples:

$$C^\# \llbracket X \leq 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \left( \begin{cases} \mathcal{X}^\#[X \mapsto 0] & \text{if } \mathcal{X}^\#(X) \in \{0, \geq 0\} \\ \mathcal{X}^\#[X \mapsto \leq 0] & \text{if } \mathcal{X}^\#(X) \in \{T_b^\#, \leq 0\} \\ \perp^\# & \text{otherwise} \end{cases} \right)$$

$$C^\# \llbracket X - c \leq 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \left( \begin{cases} C^\# \llbracket X \leq 0 \rrbracket \mathcal{X}^\# & \text{if } c \leq 0 \\ \mathcal{X}^\# & \text{otherwise} \end{cases} \right)$$

$$C^\# \llbracket X - Y \leq 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \left( \begin{array}{ll} \left\{ \begin{array}{ll} C^\# \llbracket X \leq 0 \rrbracket \mathcal{X}^\# & \text{if } \mathcal{X}^\#(Y) \in \{0, \leq 0\} \\ \mathcal{X}^\# & \text{otherwise} \end{array} \right. & \cap^\# \\ \left\{ \begin{array}{ll} C^\# \llbracket Y \geq 0 \rrbracket \mathcal{X}^\# & \text{if } \mathcal{X}^\#(X) \in \{0, \geq 0\} \\ \mathcal{X}^\# & \text{otherwise} \end{array} \right. & \end{array} \right)$$

Other cases:  $C^\# \llbracket \text{expr} \bowtie 0 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \mathcal{X}^\#$  is always a sound abstraction.

# Simple sign analysis example

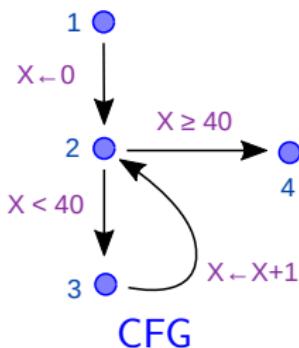
Example analysis using the simple sign domain:

```
X ← 0;
while X < 40 do
    X ← X + 1
done
```

Program

$$\left\{ \begin{array}{l} \mathcal{X}_2^{\sharp i+1} = C^\sharp[X \leftarrow 0] \mathcal{X}_1^{\sharp i} \cup \\ \qquad C^\sharp[X \leftarrow X + 1] \mathcal{X}_3^{\sharp i} \\ \mathcal{X}_3^{\sharp i+1} = C^\sharp[X < 40] \mathcal{X}_2^{\sharp i} \\ \mathcal{X}_4^{\sharp i+1} = C^\sharp[X \geq 40] \mathcal{X}_2^{\sharp i} \end{array} \right.$$

Iteration system



$\ell$	$\mathcal{X}_\ell^{\sharp 0}$	$\mathcal{X}_\ell^{\sharp 1}$	$\mathcal{X}_\ell^{\sharp 2}$	$\mathcal{X}_\ell^{\sharp 3}$	$\mathcal{X}_\ell^{\sharp 4}$	$\mathcal{X}_\ell^{\sharp 5}$
1	$T^\sharp$	$T^\sharp$	$T^\sharp$	$T^\sharp$	$T^\sharp$	$T^\sharp$
2	$\perp^\sharp$	$X = 0$	$X = 0$	$X \geq 0$	$X \geq 0$	$X \geq 0$
3	$\perp^\sharp$	$\perp^\sharp$	$X = 0$	$X = 0$	$X \geq 0$	$X \geq 0$
4	$\perp^\sharp$	$\perp^\sharp$	$X = 0$	$X = 0$	$X \geq 0$	$X \geq 0$

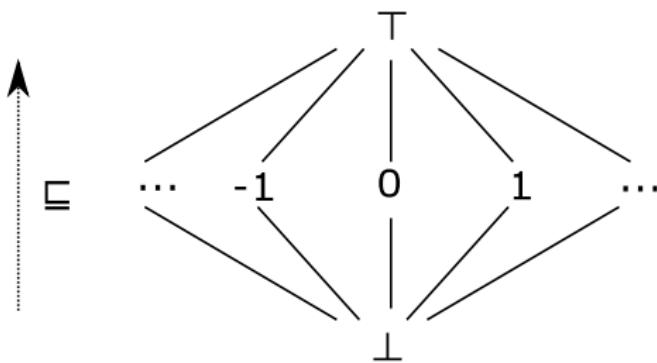
Iterations

## The constant domain

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# The constant lattice

**Hasse diagram:**



$$\mathcal{B}^\sharp = \mathbb{I} \cup \{T_b^\sharp, \perp_b^\sharp\}$$

The lattice is **flat** but **infinite**.

# Operations on constants

Abstraction  $\alpha$ : there is a Galois connection:

$$\alpha_b(S) \stackrel{\text{def}}{=} \begin{cases} \perp_b^\# & \text{if } S = \emptyset \\ c & \text{if } S = \{c\} \\ T_b^\# & \text{otherwise} \end{cases}$$

Derived abstract arithmetic operators:

$$\begin{array}{lll} c_b^\# & \stackrel{\text{def}}{=} & c \\ (X^\#) +_b^\# (Y^\#) & \stackrel{\text{def}}{=} & \begin{cases} \perp_b^\# & \text{if } X^\# \text{ or } Y^\# = \perp_b^\# \\ T_b^\# & \text{else if } X^\# \text{ or } Y^\# = T_b^\# \\ X^\# + Y^\# & \text{otherwise} \end{cases} \\ (X^\#) \times_b^\# (Y^\#) & \stackrel{\text{def}}{=} & \begin{cases} \perp_b^\# & \text{if } X^\# \text{ or } Y^\# = \perp_b^\# \\ 0 & \text{else if } X^\# \text{ or } Y^\# = 0 \\ T_b^\# & \text{else if } X^\# \text{ or } Y^\# = T_b^\# \\ X^\# \times Y^\# & \text{otherwise} \end{cases} \end{array}$$

# Operations on constants (cont.)

Abstract test examples:

$$C^\sharp[\![X - c = 0]\!] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } \mathcal{X}^\sharp(X) \notin \{c, \top_b^\sharp\} \\ \mathcal{X}^\sharp[X \mapsto c] & \text{otherwise} \end{cases}$$

$$C^\sharp[\![X - Y - c = 0]\!] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \left( \begin{cases} C^\sharp[\![X - (\mathcal{X}^\sharp(Y) + c) = 0]\!] \mathcal{X}^\sharp & \text{if } \mathcal{X}^\sharp(Y) \notin \{\perp_b^\sharp, \top_b^\sharp\} \\ \mathcal{X}^\sharp & \text{otherwise} \end{cases} \right) \cap^\sharp \left( \begin{cases} C^\sharp[\![Y - (\mathcal{X}^\sharp(X) - c) = 0]\!] \mathcal{X}^\sharp & \text{if } \mathcal{X}^\sharp(X) \notin \{\perp_b^\sharp, \top_b^\sharp\} \\ \mathcal{X}^\sharp & \text{otherwise} \end{cases} \right)$$

# Constant analysis example

$\mathcal{B}^\sharp$  has finite height, the  $(\mathcal{X}_\ell^{\sharp i})$  converge in finite time.  
 (even though  $\mathcal{B}^\sharp$  is infinite...)

## Analysis example:

```
X ← 0; Y ← 10;
while X < 100 do
    Y ← Y - 3;
    X ← X + Y; •
    Y ← Y + 3
done
```

The constant analysis finds, at •, the invariant:  $\begin{cases} X = T_b^\sharp \\ Y = 7 \end{cases}$

Note: the analysis can find constants **that do not appear syntactically** in the program.

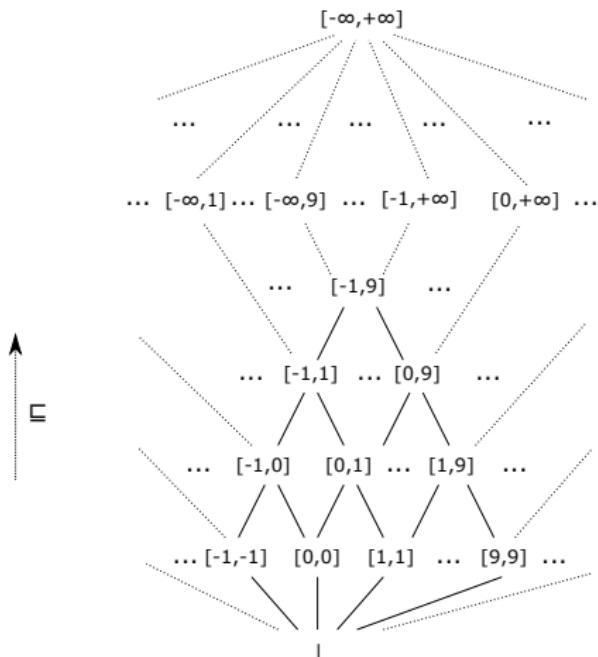
## The interval domain

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# The interval lattice

Introduced by [Cous76].

$$\mathcal{B}^\# \stackrel{\text{def}}{=} \{ [a, b] \mid a \in \mathbb{I} \cup \{-\infty\}, b \in \mathbb{I} \cup \{+\infty\}, a \leq b \} \cup \{ \perp_b^\# \}$$



Note: intervals are open at infinite bounds  $+\infty, -\infty$ .

# The interval lattice (cont.)

Galois connection ( $\alpha_b, \gamma_b$ ):

$$\begin{aligned}\gamma_b([a, b]) &\stackrel{\text{def}}{=} \{x \in \mathbb{I} \mid a \leq x \leq b\} \\ \alpha_b(\mathcal{X}) &\stackrel{\text{def}}{=} \begin{cases} \perp_b^\sharp & \text{if } \mathcal{X} = \emptyset \\ [\min \mathcal{X}, \max \mathcal{X}] & \text{otherwise} \end{cases}\end{aligned}$$

If  $\mathbb{I} = \mathbb{Q}$ ,  $\alpha_b$  is not always defined...

Partial order:

$$\begin{aligned}[a, b] \sqsubseteq_b [c, d] &\stackrel{\text{def}}{\iff} a \geq c \text{ and } b \leq d \\ T_b^\sharp &\stackrel{\text{def}}{=} [-\infty, +\infty] \\ [a, b] \sqcup_b^\sharp [c, d] &\stackrel{\text{def}}{=} [\min(a, c), \max(b, d)] \\ [a, b] \sqcap_b^\sharp [c, d] &\stackrel{\text{def}}{=} \begin{cases} [\max(a, c), \min(b, d)] & \text{if } \max \leq \min \\ \perp_b^\sharp & \text{otherwise} \end{cases}\end{aligned}$$

If  $\mathbb{I} \neq \mathbb{Q}$ , it is a **complete lattice**.

# Interval abstract arithmetic operators

$$\begin{aligned}
 [c, c']_b^\# &\stackrel{\text{def}}{=} [c, c'] \\
 -_b^\# [a, b] &\stackrel{\text{def}}{=} [-b, -a] \\
 [a, b] +_b^\# [c, d] &\stackrel{\text{def}}{=} [a + c, b + d] \\
 [a, b] -_b^\# [c, d] &\stackrel{\text{def}}{=} [a - d, b - c] \\
 [a, b] \times_b^\# [c, d] &\stackrel{\text{def}}{=} [\min(ac, ad, bc, bd), \max(ac, ad, bc, bd)] \\
 [a, b] /_b^\# [c, d] &\stackrel{\text{def}}{=} \begin{cases} \perp_b^\# & \text{if } c = d = 0 \\ [\min(a/c, a/d, b/c, b/d), \max(a/c, a/d, b/c, b/d)] & \text{else if } 0 \leq c \\ [-b, -a]/_b^\# [-d, -c] & \text{else if } d \leq 0 \\ ([a, b]/_b^\# [c, 0]) \cup_b^\# ([a, b]/_b^\# [0, d]) & \text{otherwise} \end{cases}
 \end{aligned}$$

where  $\left| \begin{array}{l} \pm\infty \times 0 = 0, \quad 0/0 = 0, \quad \forall x, x/\pm\infty = 0 \\ \forall x > 0, x/0 = +\infty, \quad \forall x < 0, x/0 = -\infty \end{array} \right.$

Operators are **strict**:  $-_b^\# \perp_b^\# = \perp_b^\#$ ,  $[a, b] +_b^\# \perp_b^\# = \perp_b^\#$ , etc.

# Exactness and optimality: Example proofs

Proof: exactness of  $+_b^\sharp$

$$\begin{aligned}
 & \{x + y \mid x \in \gamma_b([a, b]), y \in \gamma_b([c, d])\} \\
 = & \{x + y \mid a \leq x \leq b \wedge c \leq y \leq d\} \\
 = & \{z \mid a + c \leq z \leq b + d\} \\
 = & \gamma_b([a + c, b + d]) \\
 = & \gamma_b([a, b] +_b^\sharp [c, d])
 \end{aligned}$$

Proof optimality of  $\cup_b^\sharp$

$$\begin{aligned}
 & \alpha_b(\gamma_b([a, b]) \cup \gamma_b([c, d])) \\
 = & \alpha_b(\{x \mid a \leq x \leq b\} \cup \{x \mid c \leq x \leq d\}) \\
 = & \alpha_b(\{x \mid a \leq x \leq b \vee c \leq x \leq d\}) \\
 = & [\min \{x \mid a \leq x \leq b \vee c \leq x \leq d\}, \max \{x \mid a \leq x \leq b \vee c \leq x \leq d\}] \\
 = & [\min(a, c), \max(b, d)] \\
 = & [a, b] \cup_b^\sharp [c, d]
 \end{aligned}$$

but  $\cup_b^\sharp$  is not exact

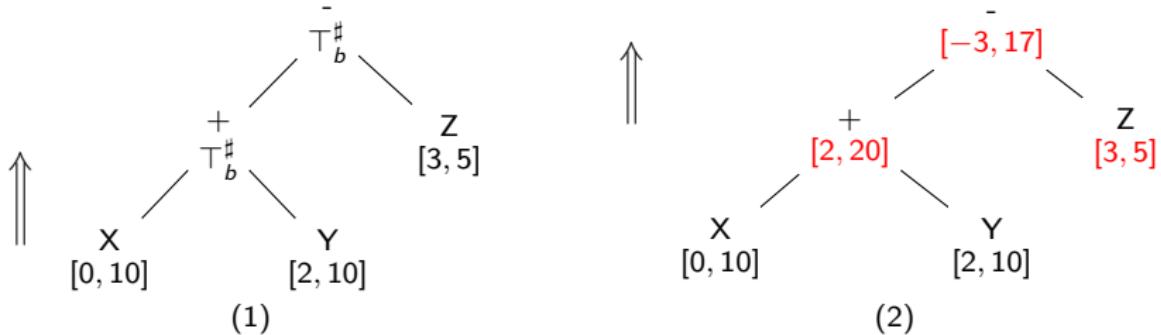
...

# Generic interval abstract tests, step 1

Example:  $C^\# \llbracket X + Y - Z \leq 0 \rrbracket \mathcal{X}^\#$

with  $\mathcal{X}^\# = \{ X \mapsto [0, 10], Y \mapsto [2, 10], Z \mapsto [3, 5] \}$

First step: **annotate the expression tree with intervals**



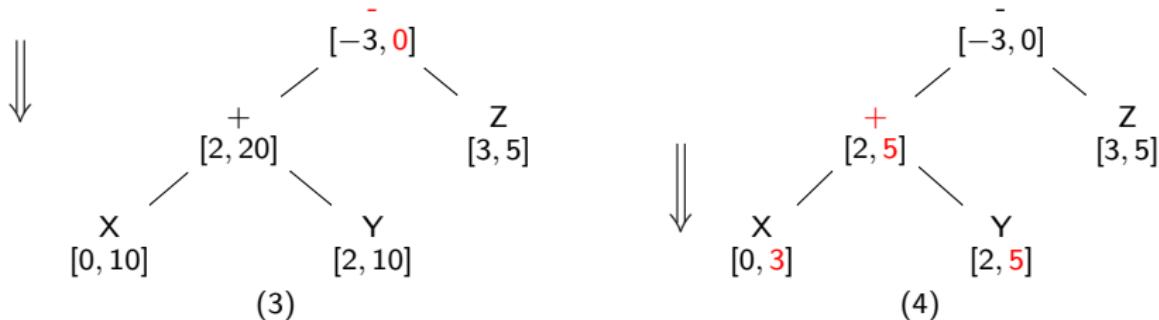
Bottom-up evaluation similar to interval expression evaluation using  $+_{b^{\#}}$ ,  $-_{b^{\#}}$ , etc. but storing intervals at each node.

## Generic interval abstract tests, step 2

Example:  $C^\# \llbracket X + Y - Z \leq 0 \rrbracket x^\#$

with  $\mathcal{X}^\# = \{ X \mapsto [0, 10], Y \mapsto [2, 10], Z \mapsto [3, 5] \}$

Second step: top-down expression refinement.



- refine the **root** interval, knowing that the result should be negative;
  - propagate** refined intervals **downwards**;
  - intervals at **leaf variables** provide new information to store into  $\mathcal{X}^\#$ .  
 $\{ X \mapsto [0, 3], Y \mapsto [2, 5], Z \mapsto [3, 5] \}$

# Backward arithmetic and comparison operators

In general, we need **sound backward** arithmetic and comparison operators that **refine** their arguments given a result.

Soundness condition: for  $\overleftarrow{\leq} \circ_b^\sharp, \overleftarrow{+}_b^\sharp, \overleftarrow{-}_b^\sharp, \dots$

$$\mathcal{X}_b^{\sharp\prime} = \overleftarrow{\leq} \circ_b^\sharp(\mathcal{X}_b^\sharp) \implies \{x \in \gamma_b(\mathcal{X}_b^\sharp) \mid x \leq 0\} \subseteq \gamma_b(\mathcal{X}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{X}_b^\sharp)$$

$$\mathcal{X}_b^{\sharp\prime} = \overleftarrow{-}_b^\sharp(\mathcal{X}_b^\sharp, \mathcal{R}_b^\sharp) \implies \{x \mid x \in \gamma_b(\mathcal{X}_b^\sharp), -x \in \gamma_b(\mathcal{R}_b^\sharp)\} \subseteq \gamma_b(\mathcal{X}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{X}_b^\sharp)$$

$$(\mathcal{X}_b^{\sharp\prime}, \mathcal{Y}_b^{\sharp\prime}) = \overleftarrow{+}_b^\sharp(\mathcal{X}_b^\sharp, \mathcal{Y}_b^\sharp, \mathcal{R}_b^\sharp) \implies \begin{aligned} \{x \in \gamma_b(\mathcal{X}_b^\sharp) \mid \exists y \in \gamma_b(\mathcal{Y}_b^\sharp), x + y \in \gamma_b(\mathcal{R}_b^\sharp)\} &\subseteq \gamma_b(\mathcal{X}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{X}_b^\sharp) \\ \{y \in \gamma_b(\mathcal{Y}_b^\sharp) \mid \exists x \in \gamma_b(\mathcal{X}_b^\sharp), x + y \in \gamma_b(\mathcal{R}_b^\sharp)\} &\subseteq \gamma_b(\mathcal{Y}_b^{\sharp\prime}) \subseteq \gamma_b(\mathcal{Y}_b^\sharp) \end{aligned}$$

⋮

Note: **best** backward operators can be designed with  $\alpha_b$ :

e.g. for  $\overleftarrow{+}_b^\sharp$ :  $\mathcal{X}_b^{\sharp\prime} = \alpha_b(\{x \in \gamma_b(\mathcal{X}_b^\sharp) \mid \exists y \in \gamma_b(\mathcal{Y}_b^\sharp), x + y \in \gamma_b(\mathcal{R}_b^\sharp)\})$

# Generic backward operator construction

Synthesizing (non optimal) **backward** arithmetic operators from **forward** arithmetic operators.

$$\overleftarrow{\leq}_b^{\#}(\mathcal{X}_b^{\#}) \stackrel{\text{def}}{=} \mathcal{X}_b^{\#} \cap_b^{\#} [-\infty, 0]_b^{\#}$$

$$\overleftarrow{-}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} \mathcal{X}_b^{\#} \cap_b^{\#} (-_b^{\#} \mathcal{R}_b^{\#})$$

$$\overleftarrow{+}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} -_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} -_b^{\#} \mathcal{X}_b^{\#}))$$

$$\overleftarrow{-}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} +_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} (\mathcal{X}_b^{\#} -_b^{\#} \mathcal{R}_b^{\#}))$$

$$\overleftarrow{\times}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} /_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} (\mathcal{R}_b^{\#} /_b^{\#} \mathcal{X}_b^{\#}))$$

$$\overleftarrow{\wedge}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) \stackrel{\text{def}}{=} (\mathcal{X}_b^{\#} \cap_b^{\#} (\mathcal{S}_b^{\#} \times_b^{\#} \mathcal{Y}_b^{\#}), \mathcal{Y}_b^{\#} \cap_b^{\#} ((\mathcal{X}_b^{\#} /_b^{\#} \mathcal{S}_b^{\#}) \cup_b^{\#} [0, 0]_b^{\#}))$$

$$\text{where } \mathcal{S}_b^{\#} = \begin{cases} \mathcal{R}_b^{\#} & \text{if } \mathbb{I} \neq \mathbb{Z} \\ \mathcal{R}_b^{\#} +_b^{\#} [-1, 1]_b^{\#} & \text{if } \mathbb{I} = \mathbb{Z} \text{ (as / rounds)} \end{cases}$$

Note:  $\overleftarrow{\diamond}_b^{\#}(\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#}, \mathcal{R}_b^{\#}) = (\mathcal{X}_b^{\#}, \mathcal{Y}_b^{\#})$  is always sound (no refinement).

# Interval backward operators

Applying the generic construction to the interval domain:

$$\overleftarrow{\leq}_b^\sharp([a, b]) \stackrel{\text{def}}{=} \begin{cases} [a, \min(b, 0)] & \text{if } a \geq 0 \\ \perp_b^\sharp & \text{otherwise} \end{cases}$$

$$\overleftarrow{-}_b^\sharp([a, b], [r, s]) \stackrel{\text{def}}{=} [a, b] \cap_b^\sharp [-s, -r]$$

$$\overleftarrow{+}_b^\sharp([a, b], [c, d], [r, s]) \stackrel{\text{def}}{=} ([a, b] \cap_b^\sharp [r - d, s - c], [c, d] \cap_b^\sharp [r - b, s - a])$$

...

# Generic non-relational backward assignment

Abstract function:  $\overleftarrow{C}^\# \llbracket V \leftarrow e \rrbracket (\mathcal{X}^\#, \mathcal{R}^\#)$

over-approximates  $\gamma(\mathcal{X}^\#) \cap \overleftarrow{C} \llbracket V \leftarrow e \rrbracket \gamma(\mathcal{R}^\#)$  given:

- an abstract pre-condition  $\mathcal{X}^\#$  to refine,
- according to a given abstract post-condition  $\mathcal{R}^\#$ .

**Algorithm:** similar to the abstract test

- annotate **variable leaves** based on  $\mathcal{X}^\# \cap^\# (\mathcal{R}^\# [V \mapsto T_b^\#])$ ;
- **evaluate** bottom-up using forward operators  $\diamond_b^\#$ ;
- **intersect** the root with  $\mathcal{R}^\#(V)$ ;
- **refine** top-down using backward operators  $\overleftarrow{\diamond}_b^\#$ ;
- **return**  $\mathcal{X}^\#$  intersected with values at variable leaves.

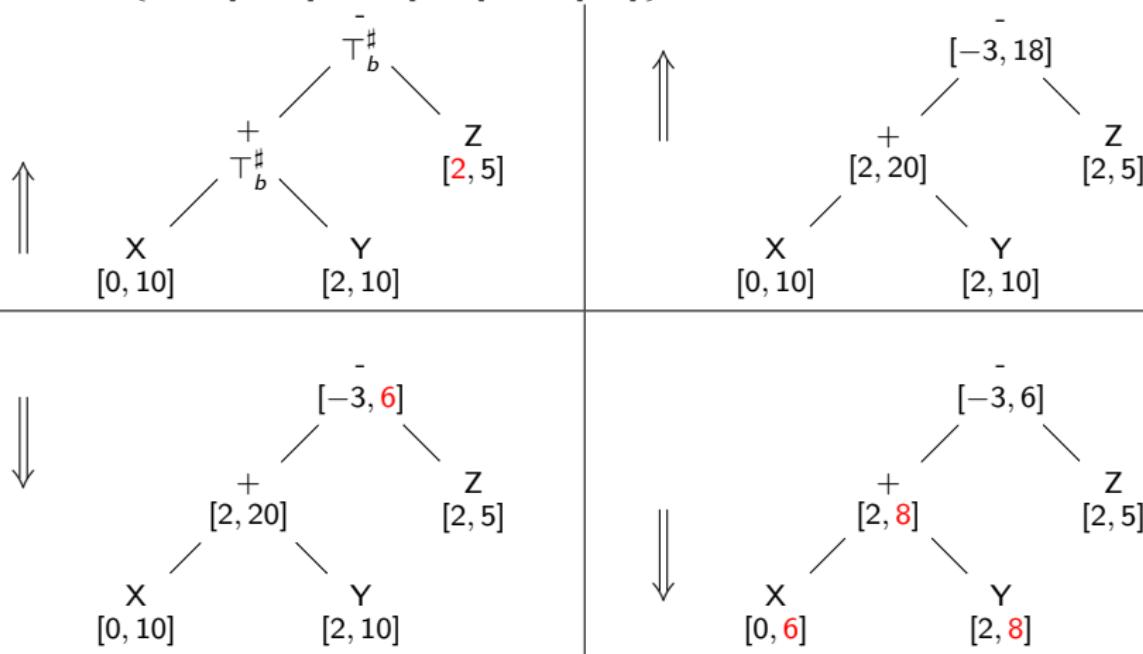
Note:

- local iterations can also be used
- fallback:  $\overleftarrow{C}^\# \llbracket V \leftarrow e \rrbracket (\mathcal{X}^\#, \mathcal{R}^\#) = \mathcal{X}^\# \cap^\# (\mathcal{R}^\# [V \mapsto T_b^\#])$

# Interval backward assignment example

Example:  $\overleftarrow{C}^\sharp \llbracket X \leftarrow X + Y - Z \rrbracket (\mathcal{X}^\sharp, \mathcal{R}^\sharp)$

with  $\mathcal{X}^\sharp = \{ X \mapsto [0, 10], Y \mapsto [2, 10], Z \mapsto [1, 5] \}$   
 and  $\mathcal{R}^\sharp = \{ X \mapsto [-6, 6], Y \mapsto [2, 10], Z \mapsto [2, 6] \}$



# Interval widening

## Widening on non-relational domains:

Given a value widening  $\nabla_b: \mathcal{B}^\# \times \mathcal{B}^\# \rightarrow \mathcal{B}^\#$ ,

we extend it point-wisely into a widening  $\nabla: \mathcal{D}^\# \times \mathcal{D}^\# \rightarrow \mathcal{D}^\#$ :

$$\mathcal{X}^\# \nabla \mathcal{Y}^\# \stackrel{\text{def}}{=} \lambda V. (\mathcal{X}^\#(V) \nabla_b \mathcal{Y}^\#(V))$$

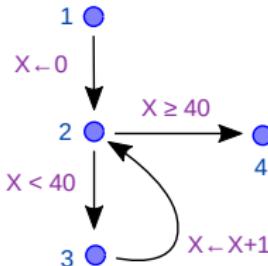
## Interval widening example:

$$\begin{array}{lllll} \perp^\# & \nabla_b & \mathcal{X}^\# & \stackrel{\text{def}}{=} & \mathcal{X}^\# \\ [a, b] & \nabla_b & [c, d] & \stackrel{\text{def}}{=} & \left[ \begin{cases} a & \text{if } a \leq c \\ -\infty & \text{otherwise} \end{cases}, \begin{cases} b & \text{if } b \geq d \\ +\infty & \text{otherwise} \end{cases} \right] \end{array}$$

Unstable bounds are set to  $\pm\infty$ .

# Analysis with widening example

Analysis example with  $\mathcal{W} = \{2\}$



$\ell$	$x_\ell^{\#0}$	$x_\ell^{\#1}$	$x_\ell^{\#2}$	$x_\ell^{\#3}$	$x_\ell^{\#4}$	$x_\ell^{\#5}$
1	T $\sharp$					
2 ▢	⊥ $\sharp$	= 0	= 0	≥ 0	≥ 0	≥ 0
3	⊥ $\sharp$	⊥ $\sharp$	= 0	= 0	∈ [0, 39]	∈ [0, 39]
4	⊥ $\sharp$	⊥ $\sharp$	⊥ $\sharp$	⊥ $\sharp$	≥ 40	≥ 40

More precisely, at the widening point:

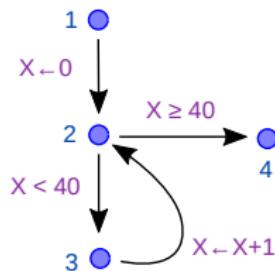
$$\begin{aligned}
 x_2^{\#1} &= \perp^\sharp & \nabla_b ([0, 0] \cup_b^\sharp \perp^\sharp) &= \perp^\sharp & \nabla_b [0, 0] &= [0, 0] \\
 x_2^{\#2} &= [0, 0] & \nabla_b ([0, 0] \cup_b^\sharp \perp^\sharp) &= [0, 0] & \nabla_b [0, 0] &= [0, 0] \\
 x_2^{\#3} &= [0, 0] & \nabla_b ([0, 0] \cup_b^\sharp [1, 1]) &= [0, 0] & \nabla_b [0, 1] &= [0, +\infty[ \\
 x_2^{\#4} &= [0, +\infty] & \nabla_b ([0, 0] \cup_b^\sharp [1, 40]) &= [0, +\infty] & \nabla_b [0, 40] &= [0, +\infty]
 \end{aligned}$$

Note that the most precise interval abstraction would be  $X \in [0, 40]$  at 2, and  $X = 40$  at 4.

# Influence of the widening point and iteration strategy

## Changing $\mathcal{W}$ changes the analysis result

Example: The analysis is less precise for  $\mathcal{W} = \{3\}$ .



$\ell$	$x_\ell^{\#1}$	$x_\ell^{\#2}$	$x_\ell^{\#3}$	$x_\ell^{\#4}$	$x_\ell^{\#5}$	$x_\ell^{\#6}$
1	$\top^\#$	$\top^\#$	$\top^\#$	$\top^\#$	$\top^\#$	$\top^\#$
2	$= 0$	$= 0$	$\in [0, 1]$	$\in [0, 1]$	$\geq 0$	$\geq 0$
3 <span style="color:red">▼</span>	$\perp^\#$	$= 0$	$= 0$	$\geq 0$	$\geq 0$	$\geq 0$
4	$\perp^\#$	$\perp^\#$	$\perp^\#$	$\perp^\#$	$\perp^\#$	$\geq 40$

Intuition: extrapolation to  $+\infty$  is no longer contained by the tests.

## Chaotic iterations

Changing the iteration order changes the analysis result in the presence of a widening.

# Narrowing

Using a widening makes the analysis less precise.

Some precision can be retrieved by using a **narrowing**  $\Delta$ .

## Definition: narrowing $\Delta$

Binary operator  $\mathcal{D}^\# \times \mathcal{D}^\# \rightarrow \mathcal{D}^\#$  such that:

- $(\mathcal{X}^\# \cap^\# \mathcal{Y}^\#) \sqsubseteq (\mathcal{X}^\# \Delta \mathcal{Y}^\#) \sqsubseteq \mathcal{X}^\#$ ,
- for all sequences  $(\mathcal{X}_i^\#)$ , the decreasing sequence  $(\mathcal{Y}_i^\#)$  defined by 
$$\begin{cases} \mathcal{Y}_0^\# & \stackrel{\text{def}}{=} \mathcal{X}_0^\# \\ \mathcal{Y}_{i+1}^\# & \stackrel{\text{def}}{=} \mathcal{Y}_i^\# \Delta \mathcal{X}_{i+1}^\# \end{cases}$$
 is **stationary**.

This is not the dual of a widening!

# Narrowing examples

## Trivial narrowing:

$\mathcal{X}^\# \Delta \mathcal{Y}^\# \stackrel{\text{def}}{=} \mathcal{X}^\#$  is a correct narrowing.

## Finite-time intersection narrowing:

$$\mathcal{X}^{\#i} \Delta \mathcal{Y}^\# \stackrel{\text{def}}{=} \begin{cases} \mathcal{X}^{\#i} \cap^\# \mathcal{Y}^\# & \text{if } i \leq N \\ \mathcal{X}^{\#i} & \text{if } i > N \end{cases}$$

## Interval narrowing:

$$[a, b] \Delta_b [c, d] \stackrel{\text{def}}{=} \left[ \begin{cases} c & \text{if } a = -\infty \\ a & \text{otherwise} \end{cases}, \begin{cases} d & \text{if } b = +\infty \\ b & \text{otherwise} \end{cases} \right]$$

(refine only infinite bounds)

Point-wise extension to  $\mathcal{D}^\#$ :  $\mathcal{X}^\# \Delta \mathcal{Y}^\# \stackrel{\text{def}}{=} \lambda V. (\mathcal{X}^\#(V) \Delta_b \mathcal{Y}^\#(V))$

# Iterations with narrowing

Let  $\mathcal{X}_\ell^{\#\delta}$  be the result after widening stabilisation, i.e.:

$$\mathcal{X}_\ell^{\#\delta} \sqsupseteq \begin{cases} T^\# & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A} C^\# [c] \mathcal{X}_{\ell'}^{\#\delta} & \text{if } \ell \neq e \end{cases}$$

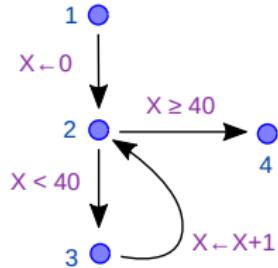
The following sequence is computed:

$$\mathcal{Y}_\ell^{\#0} \stackrel{\text{def}}{=} \mathcal{X}_\ell^{\#\delta} \quad \mathcal{Y}_\ell^{\#i+1} \stackrel{\text{def}}{=} \begin{cases} T^\# & \text{if } \ell = e \\ \bigcup_{(\ell', c, \ell) \in A} C^\# [c] \mathcal{Y}_{\ell'}^{\#i} & \text{if } \ell \notin \mathcal{W} \\ \mathcal{Y}_\ell^{\#i} \Delta \bigcup_{(\ell', c, \ell) \in A} C^\# [c] \mathcal{Y}_{\ell'}^{\#i} & \text{if } \ell \in \mathcal{W} \end{cases}$$

- the sequence  $(\mathcal{Y}_\ell^{\#i})$  is **decreasing** and **converges in finite time**,
- all  $(\mathcal{Y}_\ell^{\#i})$  are **solutions of the abstract semantic system**.

# Analysis with narrowing example

**Example** with  $\mathcal{W} = \{2\}$



$\ell$	$\mathcal{Y}_\ell^{\sharp 0}$	$\mathcal{Y}_\ell^{\sharp 1}$	$\mathcal{Y}_\ell^{\sharp 2}$	$\mathcal{Y}_\ell^{\sharp 3}$
1	$\top^\sharp$	$\top^\sharp$	$\top^\sharp$	$\top^\sharp$
2 $\Delta$	$\geq 0$	$\in [0, 40]$	$\in [0, 40]$	$\in [0, 40]$
3	$\in [0, 39]$	$\in [0, 39]$	$\in [0, 39]$	$\in [0, 39]$
4	$\geq 40$	$\geq 40$	$= 40$	$= 40$

Narrowing at 2 gives:

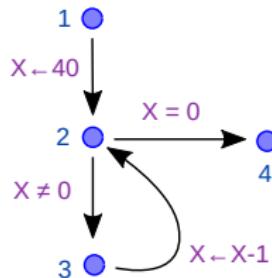
$$\begin{aligned}\mathcal{Y}_2^{\sharp 1} &= [0, +\infty] \Delta_b ([0, 0] \cup_b^{\sharp} [1, 40]) = [0, +\infty[ \Delta_b [0, 40] = [0, 40] \\ \mathcal{Y}_2^{\sharp 2} &= [0, 40] \Delta_b ([0, 0] \cup_b^{\sharp} [1, 40]) = [0, 40] \Delta_b [0, 40] = [0, 40]\end{aligned}$$

Then  $\mathcal{Y}_2^{\sharp 2} : X \in [0, 40]$  gives  $\mathcal{Y}_4^{\sharp 3} : X = 40$ .

We found the most precise invariants!

# Improving the widening

## Example of imprecise analysis



$\ell$	intervals with $\nabla_b$	extended signs	intervals with $\nabla'_b$
1	$T^\#$	$T^\#$	$T^\#$
2 $\triangleright$	$X \leq 40$	$X \geq 0$	$X \in [0, 40]$
3	$X \leq 40$	$X > 0$	$X \in [0, 40]$
4	$X = 0$	$X = 0$	$X = 0$

The interval domain cannot prove that  $X \geq 0$  at 2, while the (less powerful) sign domain can!

Solution: improve the interval widening

$$[a, b] \nabla'_b [c, d] \stackrel{\text{def}}{=} \left[ \begin{cases} a & \text{if } a \leq c \\ 0 & \text{if } 0 \leq c < a \\ -\infty & \text{otherwise} \end{cases}, \begin{cases} b & \text{if } b \geq d \\ 0 & \text{if } 0 \geq b > d \\ +\infty & \text{otherwise} \end{cases} \right]$$

( $\nabla'_b$  checks the stability of 0)

# Widening with thresholds

## Analysis problem:

```

 $X \leftarrow 0;$ 
while  $\bullet$   $1 = 1$  do
    if  $[0,1] = 0$  then
         $X \leftarrow X + 1;$ 
        if  $X > 40$  then  $X \leftarrow 0$  fi
    fi
done

```

We wish to prove that  $X \in [0, 40]$  at  $\bullet$ .

- Widening at  $\bullet$  finds the loop invariant  $X \in [0, +\infty]$ .

$$\mathcal{X}_\bullet^\# = [0, 0] \nabla_b ([0, 0] \cup^\# [0, 1]) = [0, 0] \nabla_b [0, 1] = [0, +\infty[$$

- Narrowing is unable to refine the invariant:

$$\mathcal{Y}_\bullet^\# = [0, +\infty] \Delta_b ([0, 0] \cup^\# [0, +\infty]) = [0, +\infty[$$

(the code that limits  $X$  is not executed at every loop iteration)

# Widening with thresholds (cont.)

## Solution:

Choose a **finite set  $T$  of thresholds** containing  $+\infty$  and  $-\infty$ .

**Definition:** widening with thresholds  $\nabla_b^T$

$$[a, b] \nabla_b^T [c, d] \stackrel{\text{def}}{=} \left[ \begin{cases} a & \text{if } a \leq c \\ \max \{x \in T \mid x \leq c\} & \text{otherwise} \end{cases} \right] ,$$

$$\left[ \begin{cases} b & \text{if } b \geq d \\ \min \{x \in T \mid x \geq d\} & \text{otherwise} \end{cases} \right]$$

The widening tests and stops at the first stable bound in  $T$ .

# Widening with thresholds (cont.)

## Applications:

- On the previous example, we find:  
 $X \in [0, \min \{x \in T \mid x \geq 40\}].$
- Useful when it is **easy to find a 'good' set  $T$ .**  
*Example:* array bound-checking
- Useful if an **over-approximation of the bound is sufficient.**  
*Example:* arithmetic overflow checking

Limitations: only works if some non- $\infty$  bound in  $T$  is stable.

*Example:* with  $T = \{5, 15\}$

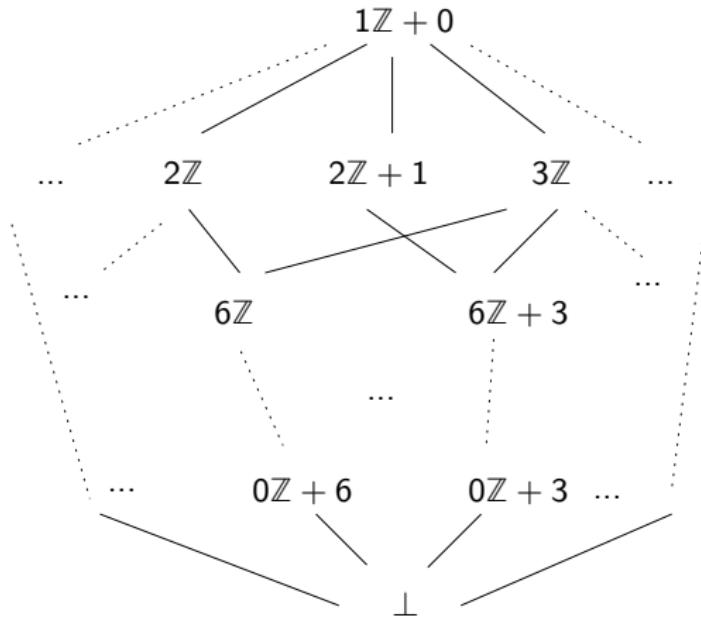
<pre> while 1 = 1 do   X ← X + 1;   if X &gt; 10 then X ← 0 fi done </pre>	<pre> while 1 = 1 do   X ← X + 1;   if X ≠ 10 then X ← 0 fi done </pre>
15 is stable	no stable bound

## The congruence domain

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# The congruence lattice

$$\mathcal{B}^\sharp \stackrel{\text{def}}{=} \{ (a\mathbb{Z} + b) \mid a \in \mathbb{N}, b \in \mathbb{Z} \} \cup \{ \perp_b^\sharp \}$$



Introduced by Granger [Gran89].  
We take  $\mathbb{I} = \mathbb{Z}$ .

# The congruence lattice (cont.)

## Concretization:

$$\gamma_b(\mathcal{X}_b^\sharp) \stackrel{\text{def}}{=} \begin{cases} \{ak + b \mid k \in \mathbb{Z}\} & \text{if } \mathcal{X}_b^\sharp = (a\mathbb{Z} + b) \\ \emptyset & \text{if } \mathcal{X}_b^\sharp = \perp_b^\sharp \end{cases}$$

Note that  $\gamma(0\mathbb{Z} + b) = \{b\}$ .

$\gamma_b$  is **not injective**:  $\gamma_b(2\mathbb{Z} + 1) = \gamma_b(2\mathbb{Z} + 3)$ .

## Definitions:

Given  $x, x' \in \mathbb{Z}$ ,  $y, y' \in \mathbb{N}$ , we define:

- $y/y'$   $\stackrel{\text{def}}{\iff}$   $y$  divides  $y'$  ( $\exists k \in \mathbb{N}, y' = ky$ ) (note that  $\forall y: y/0$ )
- $x \equiv x' [y]$   $\stackrel{\text{def}}{\iff}$   $y/|x - x'|$  (in particular,  $x \equiv x' [0] \iff x = x'$ )
- $\vee$  is the LCM, extended with  $y \vee 0 \stackrel{\text{def}}{=} 0 \vee y \stackrel{\text{def}}{=} y$
- $\wedge$  is the GCD, extended with  $y \wedge 0 \stackrel{\text{def}}{=} 0 \wedge y \stackrel{\text{def}}{=} y$

$(\mathbb{N}, /, \vee, \wedge, 1, 0)$  is a **complete distributive lattice**.

# Abstract congruence operators

## Complete lattice structure on $\mathcal{B}^\sharp$ :

- $(a\mathbb{Z} + b) \sqsubseteq_b (a'\mathbb{Z} + b') \stackrel{\text{def}}{\iff} a'/a \text{ and } b \equiv b' [a']$
- $T_b^\sharp \stackrel{\text{def}}{=} (1\mathbb{Z} + 0)$
- $(a\mathbb{Z} + b) \sqcup_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (a \wedge a' \wedge |b - b'|)\mathbb{Z} + b$
- $(a\mathbb{Z} + b) \sqcap_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} \begin{cases} (a \vee a')\mathbb{Z} + b'' & \text{if } b \equiv b' [a \wedge a'] \\ \perp_b^\sharp & \text{otherwise} \end{cases}$   
 $b''$  such that  $b'' \equiv b [a \vee a'] \equiv b' [a \vee a']$  is given  
by Bezout's Theorem.

Galois connection:  $\alpha_b(\mathcal{X}) = \bigcup_{c \in \mathcal{X}}^{\sharp} (0\mathbb{Z} + c)$

(up to equivalence  $a\mathbb{Z} + b \equiv a'\mathbb{Z} + b' \stackrel{\text{def}}{\iff} a = a' \wedge b \equiv b' [a]$ )

# Abstract congruence operators (cont.)

## Arithmetic operators:

$$[c, c']_b^\sharp \stackrel{\text{def}}{=} \begin{cases} 0\mathbb{Z} + c & \text{if } c = c' \\ T_b^\sharp & \text{otherwise} \end{cases}$$

$$-_b^\sharp (a\mathbb{Z} + b) \stackrel{\text{def}}{=} a\mathbb{Z} + (-b)$$

$$(a\mathbb{Z} + b) +_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (a \wedge a')\mathbb{Z} + (b + b')$$

$$(a\mathbb{Z} + b) -_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (a \wedge a')\mathbb{Z} + (b - b')$$

$$(a\mathbb{Z} + b) \times_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} (aa' \wedge ab' \wedge a'b)\mathbb{Z} + bb'$$

$$(a\mathbb{Z} + b) /_b^\sharp (a'\mathbb{Z} + b') \stackrel{\text{def}}{=}$$

$$\begin{cases} \perp_b^\sharp & \text{if } a'\mathbb{Z} + b' = 0\mathbb{Z} + 0 \\ (a/|b'|)\mathbb{Z} + (b/b') & \text{if } a' = 0, b' \neq 0, b'|a, \text{ and } b'|b \\ T_b^\sharp & \text{otherwise (not optimal)} \end{cases}$$

# Abstract congruence operators (cont.)

## Test operators:

$$\overleftarrow{\leq}^{\#}_b (a\mathbb{Z} + b) \stackrel{\text{def}}{=} \begin{cases} \perp^{\#}_b & \text{if } a = 0, b > 0 \\ a\mathbb{Z} + b & \text{otherwise} \end{cases}$$

⋮

Note: better than the generic  $\overleftarrow{\leq}^{\#}_b (\mathcal{X}_b^{\#}) \stackrel{\text{def}}{=} \mathcal{X}_b^{\#} \cap_b^{\#} [-\infty, 0]_b^{\#} = \mathcal{X}_b^{\#}$

## Extrapolation operators:

- no infinite increasing chain  $\implies$  no need for  $\nabla$
- infinite decreasing chains  $\implies \Delta$  needed

$$(a\mathbb{Z} + b) \Delta_b (a'\mathbb{Z} + b') \stackrel{\text{def}}{=} \begin{cases} a'\mathbb{Z} + b' & \text{if } a = 1 \\ a\mathbb{Z} + b & \text{otherwise} \end{cases}$$

Note:  $\mathcal{X}^{\#} \Delta \mathcal{Y}^{\#} \stackrel{\text{def}}{=} \mathcal{X}^{\#}$  is always a narrowing.

# Congruence analysis example

```
X ← 0; Y ← 2;  
while • X < 40 do  
    X ← X + 2;  
    if X < 5 then Y ← Y+18 fi;  
    if X > 8 then Y ← Y-30 fi  
done
```

We find, at •, the loop invariant

$$\begin{cases} X \in 2\mathbb{Z} \\ Y \in 6\mathbb{Z} + 2 \end{cases}$$

# Reduced products

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# Non-reduced product of domains

## Product representation:

Cartesian product  $\mathcal{D}_{1 \times 2}^\sharp$  of  $\mathcal{D}_1^\sharp$  and  $\mathcal{D}_2^\sharp$ :

- $\mathcal{D}_{1 \times 2}^\sharp \stackrel{\text{def}}{=} \mathcal{D}_1^\sharp \times \mathcal{D}_2^\sharp$
- $\gamma_{1 \times 2}(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \stackrel{\text{def}}{=} \gamma_1(\mathcal{X}_1^\sharp) \cap \gamma_2(\mathcal{X}_2^\sharp)$
- $\alpha_{1 \times 2}(\mathcal{X}) \stackrel{\text{def}}{=} (\alpha_1(\mathcal{X}), \alpha_2(\mathcal{X}))$
- $(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \sqsubseteq_{1 \times 2} (\mathcal{Y}_1^\sharp, \mathcal{Y}_2^\sharp) \iff \mathcal{X}_1^\sharp \sqsubseteq_1 \mathcal{Y}_1^\sharp \text{ and } \mathcal{X}_2^\sharp \sqsubseteq_2 \mathcal{Y}_2^\sharp$

Abstract operators: performed in parallel on both components:

- $(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \cup_{1 \times 2}^\sharp (\mathcal{Y}_1^\sharp, \mathcal{Y}_2^\sharp) \stackrel{\text{def}}{=} (\mathcal{X}_1^\sharp \cup_1^\sharp \mathcal{Y}_1^\sharp, \mathcal{X}_2^\sharp \cup_2^\sharp \mathcal{Y}_2^\sharp)$
- $(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \triangledown_{1 \times 2} (\mathcal{Y}_1^\sharp, \mathcal{Y}_2^\sharp) \stackrel{\text{def}}{=} (\mathcal{X}_1^\sharp \triangledown_1 \mathcal{Y}_1^\sharp, \mathcal{X}_2^\sharp \triangledown_2 \mathcal{Y}_2^\sharp)$
- $\mathbf{C}^\sharp[\![c]\!]_{1 \times 2}(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \stackrel{\text{def}}{=} (\mathbf{C}^\sharp[\![c]\!]_1(\mathcal{X}_1^\sharp), \mathbf{C}^\sharp[\![c]\!]_2(\mathcal{X}_2^\sharp))$

# Non-reduced product example

The product analysis is no more precise than two separate analyses.

Example: interval-congruence product:

```

X ← 1;
while X - 10 ≤ 0 do
    X ← X + 2
done;
• if X - 12 ≥ 0 then♦ X ← 0★ fi

```

	interval	congruence	product
●	$X \in [11, 12]$	$X \equiv 1 [2]$	$X = 11$
♦	$X = 12$	$X \equiv 1 [2]$	$\emptyset$
★	$X = 0$	$X = 0$	$X = 0$

We **cannot** prove that the **if** branch is never taken!

# Fully-reduced product

## Definition:

Given the Galois connections  $(\alpha_1, \gamma_1)$  and  $(\alpha_2, \gamma_2)$  on  $\mathcal{D}_1^\sharp$  and  $\mathcal{D}_2^\sharp$  we define the **reduction operator  $\rho$**  as:

$$\rho : \mathcal{D}_{1 \times 2}^\sharp \rightarrow \mathcal{D}_{1 \times 2}^\sharp$$

$$\rho(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \stackrel{\text{def}}{=} (\alpha_1(\gamma_1(\mathcal{X}_1^\sharp) \cap \gamma_2(\mathcal{X}_2^\sharp)), \alpha_2(\gamma_1(\mathcal{X}_1^\sharp) \cap \gamma_2(\mathcal{X}_2^\sharp)))$$

$\rho$  propagates information between domains.

## Application:

We can reduce the result of each abstract operator, except  $\nabla$ :

- $(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \cup_{1 \times 2}^\sharp (\mathcal{Y}_1^\sharp, \mathcal{Y}_2^\sharp) \stackrel{\text{def}}{=} \rho(\mathcal{X}_1^\sharp \cup_1^\sharp \mathcal{Y}_1^\sharp, \mathcal{X}_2^\sharp \cup_2^\sharp \mathcal{Y}_2^\sharp),$
- $\mathbf{C}^\sharp[\![c]\!]_{1 \times 2}(\mathcal{X}_1^\sharp, \mathcal{X}_2^\sharp) \stackrel{\text{def}}{=} \rho(\mathbf{C}^\sharp[\![c]\!]_1(\mathcal{X}_1^\sharp), \mathbf{C}^\sharp[\![c]\!]_2(\mathcal{X}_2^\sharp)).$

We refrain from reducing after a widening  $\nabla$ ,  
this may jeopardize the convergence (octagon domain example).

# Fully-reduced product example

Reduction example: between the interval and congruence domains:

$$\text{Noting: } \begin{aligned} a' &\stackrel{\text{def}}{=} \min \{x \geq a \mid x \equiv d [c]\} \\ b' &\stackrel{\text{def}}{=} \max \{x \leq b \mid x \equiv d [c]\} \end{aligned}$$

We get:

$$\rho_b([a, b], c\mathbb{Z} + d) \stackrel{\text{def}}{=} \begin{cases} (\perp_b^\sharp, \perp_b^\sharp) & \text{if } a' > b' \\ ([a', a'], 0\mathbb{Z} + a') & \text{if } a' = b' \\ ([a', b'], c\mathbb{Z} + d) & \text{if } a' < b' \end{cases}$$

extended point-wisely to  $\rho$  on  $\mathcal{D}^\sharp$ .

## Application:

- $\rho_b([10, 11], 2\mathbb{Z} + 1) = ([11, 11], 0\mathbb{Z} + 11)$   
(proves that the branch is never taken on our example)
- $\rho_b([1, 3], 4\mathbb{Z}) = (\perp_b^\sharp, \perp_b^\sharp)$

# Partially-reduced product

Definition: of a **partial** reduction:

any function  $\rho : \mathcal{D}_{1 \times 2}^\# \rightarrow \mathcal{D}_{1 \times 2}^\#$  such that:

$$(\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) = \rho(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \Rightarrow \begin{cases} \gamma_{1 \times 2}(\mathcal{Y}_1^\#, \mathcal{Y}_2^\#) = \gamma_{1 \times 2}(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \\ \gamma_1(\mathcal{Y}_1^\#) \subseteq \gamma_1(\mathcal{X}_1^\#) \\ \gamma_2(\mathcal{Y}_2^\#) \subseteq \gamma_2(\mathcal{X}_2^\#) \end{cases}$$

Useful when:

- there is no Galois connection, or
- a full reduction exists but is expensive to compute.

## Partial reduction example:

$$\rho(\mathcal{X}_1^\#, \mathcal{X}_2^\#) \stackrel{\text{def}}{=} \begin{cases} (\perp^\#, \perp^\#) & \text{if } \mathcal{X}_1^\# = \perp^\# \text{ or } \mathcal{X}_2^\# = \perp^\# \\ (\mathcal{X}_1^\#, \mathcal{X}_2^\#) & \text{otherwise} \end{cases}$$

(works on all domains)

For more complex examples, see [Blan03].

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