

Shape analysis based on separation logic

MPRI — Cours 2.6 “Interprétation abstraite :
application à la vérification et à l’analyse statique”

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Overview of the lecture

How to reason about memory properties

Last lecture:

- concrete and abstract memory models
- abstractions for pointers and arrays
- issues specific to the precise analysis of updates
- an introduction to shape analysis with TVLA

Today: **systematically avoid weak updates**

- a logic to describe properties of memory states
- abstract domain
- static analysis algorithms
- combination with numerical domains
- widening operators...

Weak update problems

```
    x ∈ [-10, -5]; y ∈ [5, 10]
1:  int * p;
2:  if(?) {
3:    p = &x;
4:  } else {
5:    p = &y;
6:  }
7:  *p = 0;
8:  ...
```

	&x	&y	&p
1	[-10, -5]	[5, 10]	⊥
2	[-10, -5]	[5, 10]	⊥
3	[-10, -5]	[5, 10]	⊥
4	[-10, -5]	[5, 10]	{&x}
5	[-10, -5]	[5, 10]	⊥
6	[-10, -5]	[5, 10]	{&y}
7	[-10, -5]	[5, 10]	{&x, &y}
8	[-10, 0]	[0, 10]	{&x, &y}

- What is the final range for x ?
- What is the final range for y ?

Abstract locations: {&x, &y, &p}

Imprecise results

- The abstract information about both x and y are weakened
- The fact that $x \neq y$ is lost

Outline

- 1 An introduction to separation logic
- 2 A shape abstract domain relying on separation
- 3 Combination with a numerical domain
- 4 Standard static analysis algorithms
- 5 Conclusion

Our model

Not all memory cell corresponds to a variable

- a variable may correspond to **several cells** (structures...)
- **dynamically allocated cells** correspond to no variable at all...

Environment + Heap

- **Addresses** are values: $\mathbb{V}_{\text{addr}} \subseteq \mathbb{V}$
- **Environments** $e \in \mathbb{E}$ map variables into their addresses
- **Heaps** ($h \in \mathbb{H}$) map addresses into values

$$\begin{aligned}\mathbb{E} &= \mathbb{X} \rightarrow \mathbb{V}_{\text{addr}} \\ \mathbb{H} &= \mathbb{V}_{\text{addr}} \rightarrow \mathbb{V}\end{aligned}$$

h is actually only a partial function

- **Memory states** (or **memories**): $\mathbb{M} = \mathbb{E} \times \mathbb{H}$

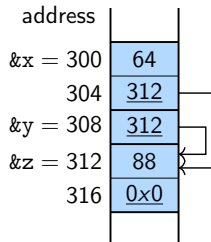
Avoid confusion between heap (function from addresses to values) and dynamic allocation space (often referred to as “heap”)

Example of a concrete memory state (variables)

- x and z are two list elements containing values 64 and 88, and where the former points to the latter
- y stores a pointer to z

Memory layout

(pointer values underlined)



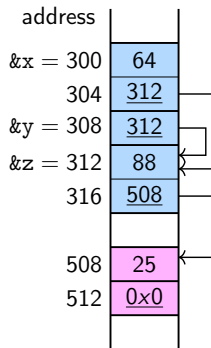
$e :$	x	\mapsto	300
	y	\mapsto	308
	z	\mapsto	312

$h :$	300	\mapsto	64
	304	\mapsto	312
	308	\mapsto	312
	312	\mapsto	88
	316	\mapsto	0

Example of a concrete memory state (variables + dyn. cell)

- same configuration
- + z points to a heap allocated list element (in purple)

Memory layout



```

e :  x   ↦ 300
     y   ↦ 308
     z   ↦ 312
  
```

```

hi : 300 ↦ 64
      304 ↦ 312
      308 ↦ 312
      312 ↦ 88
      316 ↦ 508
      508 ↦ 25
      512 ↦ 0
  
```

Separation logic principle: avoid weak updates

How to deal with weak updates ?

Avoid them !

- Always materialize exactly the cell that needs be modified
- Can be very costly to achieve, and not always feasible
- Notion of property that holds **over a memory region**:
special separating conjunction operator *
- **Local reasoning**:
powerful principle, which allows to consider only part of the memory
- Separation logic has been used in **many contexts**, including **manual verification**, **static analysis**, etc...

Separation logic

Several kinds of **formulas**:

- **pure formulas** behave like formulas in first-order logic *i.e.*, are not attached to a memory region
- **spatial formulas** describe properties attached to a memory region

Pure formulas denote value properties

$e ::= n$	$(n \in \mathbb{N})$	constants	
	l	l-value	
	$e_0 + e_1$	binary operations	
	\dots		
$P ::= e_0 = e_1$	$ P' \vee P''$	$ P' \wedge P'' \dots$	pure predicates

Pure formulas semantics: $\gamma(P) \subseteq \mathbb{E} \times \mathbb{M}$

Separation logic: points-to predicates

The next slides introduce the main **separation logic formulas** $F ::= \dots$

We start with the most basic predicate, that **describes a single cell**:

Points-to predicate

- **Predicate:**

$$F ::= \dots \mid \mathbf{1} \mapsto v$$

- **Concretization:**

$$(e, h) \in \gamma(\mathbf{1} \mapsto v) \quad \text{if and only if} \quad h = \llbracket \mathbf{1} \rrbracket(e, h) \mapsto v$$

- **Example:**

$$F = x \mapsto 18$$



- We also note $\mathbf{1} \mapsto e$

Separation logic: separating conjunction

Merge of concrete heaps: let $h_0, h_1 \in (\mathbb{V}_{\text{addr}} \rightarrow \mathbb{V})$, such that $\text{dom}(h_0) \cap \text{dom}(h_1) = \emptyset$; then, we let $h_0 \circledast h_1$ be defined by:

$$\begin{array}{lcl}
 h_0 \circledast h_1 : & \text{dom}(h_0) \cup \text{dom}(h_1) & \longrightarrow \mathbb{V} \\
 & x \in \text{dom}(h_0) & \longmapsto h_0(x) \\
 & x \in \text{dom}(h_1) & \longmapsto h_1(x)
 \end{array}$$

Separating conjunction

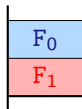
- Predicate:**

$$F ::= \dots \mid F_0 * F_1$$

- Concretization:**

$$\gamma(F_0 * F_1) = \{(e, h_0 \circledast h_1) \mid (e, h_0) \in \gamma(F_0) \wedge (e, h_1) \in \gamma(F_1)\}$$

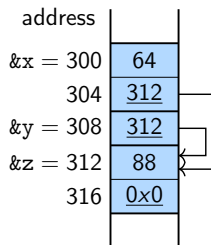
$F_0 * F_1$



An example

Concrete memory layout

(pointer values underlined)



$$\begin{array}{lcl}
 e : & x & \mapsto 300 \\
 & y & \mapsto 308 \\
 & z & \mapsto 312
 \end{array}$$

$$\begin{array}{lcl}
 h : & 300 & \mapsto 64 \\
 & 304 & \mapsto 312 \\
 & 308 & \mapsto 312 \\
 & 312 & \mapsto 88 \\
 & 316 & \mapsto 0
 \end{array}$$

A formula that abstracts away the addresses:

$$x \mapsto \langle 64, \&z \rangle * y \mapsto \&z * z \mapsto \langle 88, 0 \rangle$$

Separation logic: non separating conjunction

We can also add the **conventional conjunction operator**, with its **usual concretization**:

Non separating conjunction

- **Predicate:**

$$F ::= \dots \mid F_0 \wedge F_1$$

- **Concretization:**

$$\gamma(F_0 \wedge F_1) = \gamma(F_0) \cap \gamma(F_1)$$

Exercise: **describe** and **compare** the concretizations of

- $a \mapsto \&b \wedge b \mapsto \&a$
- $a \mapsto \&b * b \mapsto \&a$

Separating conjunction vs non separating conjunction

- **Classical conjunction**: properties for the same memory region
- **Separating conjunction**: properties for **disjoint** memory regions

$$a \mapsto \&b \wedge b \mapsto \&a$$

- the same heap verifies $a \mapsto \&b$ and $b \mapsto \&a$
- there can be only **one cell**
- thus $a = b$

$$a \mapsto \&b * b \mapsto \&a$$

- two **separate** sub-heaps respectively satisfy $a \mapsto \&b$ and $b \mapsto \&a$
- thus $a \neq b$

- Separating conjunction and non-separating conjunction have **very different properties**
- Both **express very different properties**
e.g., no ambiguity on weak / strong updates

Separating and non separating conjunction

Logic rules of the two conjunction operators of SL:

- **Separating conjunction:**

$$\frac{(e, h_0) \in \gamma(F_0) \quad (e, h_1) \in \gamma(F_1)}{(e, h_0 \circledast h_1) \in \gamma(F_0 * F_1)}$$

- **Non separating conjunction:**

$$\frac{(e, h) \in \gamma(F_0) \quad (e, h) \in \gamma(F_1)}{(e, h) \in \gamma(F_0 \wedge F_1)}$$

**Reminiscent of Linear Logic [Girard87]:
resource aware / non resource aware conjunction operators**

Separation logic: empty store

Empty store

- **Predicate:**

$$F ::= \dots \mid \mathbf{emp}$$

- **Concretization:**

$$\gamma(\mathbf{emp}) = \{(e, []) \mid e \in \mathbb{E}\} = \mathbb{E} \times \{[]\}$$

where $[]$ denotes the empty store

- **emp** is the **neutral element for** $*$
- by contrast the **neutral element for** \wedge is **TRUE**, with concretization:

$$\gamma(\mathbf{TRUE}) = \mathbb{E} \times \mathbb{H}$$

Separation logic: other connectors

Disjunction:

- $F ::= \dots \mid F_0 \vee F_1$
- concretization:

$$\gamma(F_0 \vee F_1) = \gamma(F_0) \cup \gamma(F_1)$$

Spatial implication (*aka, magic wand*):

- $F ::= \dots \mid F_0 \multimap F_1$
- concretization:

$$\gamma(F_0 \multimap F_1) = \{(e, h) \mid \forall h_0 \in \mathbb{H}, (e, h_0) \in \gamma(F_0) \implies (e, h \circledast h_0) \in \gamma(F_1)\}$$

- very powerful connector to describe **structure segments**, used in complex SL proofs

Separation logic

Summary of the main separation logic constructions seen so far:

Separation logic main connectors

$$\begin{aligned}
 \gamma(\mathbf{emp}) &= \mathbb{E} \times \{\emptyset\} \\
 \gamma(\mathbf{TRUE}) &= \mathbb{E} \times \mathbb{H} \\
 \gamma(\mathbf{1} \mapsto \mathbf{v}) &= \{(e, [\![\mathbf{1}]\!] (e, h) \mapsto \mathbf{v}) \mid e \in \mathbb{E}\} \\
 \gamma(\mathbf{F}_0 * \mathbf{F}_1) &= \{(e, h_0 \otimes h_1 \mid (e, h_0) \in \gamma(\mathbf{F}_0) \wedge (e, h_1) \in \gamma(\mathbf{F}_1)\} \\
 \gamma(\mathbf{F}_0 \wedge \mathbf{F}_1) &= \gamma(\mathbf{F}_0) \cap \gamma(\mathbf{F}_1)
 \end{aligned}$$

Concretization of pure formulas is standard

How does this help for program reasoning ?

Programs with pointers: syntax

Syntax extension: quite a few additional constructions

l	::=	l-values	
		x	($x \in \mathbb{X}$)
		...	
		*e	pointer dereference
		l · f	field read
e	::=	expressions	
		l	
		...	
		&l	"address of" operator
s	::=	statements	
		...	
		x = malloc(c)	allocation of c bytes
		free(x)	deallocation of the block pointed to by x

We do not consider **pointer arithmetics here**

Programs with pointers: semantics

Case of l-values:

$$\begin{aligned}
 \llbracket \mathbf{x} \rrbracket(e, h) &= e(\mathbf{x}) \\
 \llbracket *e \rrbracket(e, h) &= \begin{cases} h(\llbracket e \rrbracket(e, h)) & \text{if } \llbracket e \rrbracket(e, h) \neq 0 \wedge \llbracket e \rrbracket(e, h) \in \mathbf{Dom}(h) \\ \Omega & \text{otherwise} \end{cases} \\
 \llbracket \mathbf{l} \cdot \mathbf{f} \rrbracket(e, h) &= \llbracket \mathbf{l} \rrbracket(e, h) + \mathbf{offset}(\mathbf{f}) \text{ (numeric offset)}
 \end{aligned}$$

Case of expressions:

$$\llbracket \mathbf{l} \rrbracket(e, h) = h(\llbracket \mathbf{l} \rrbracket(e, h)) \qquad \llbracket \&\mathbf{l} \rrbracket(e, h) = \llbracket \mathbf{l} \rrbracket(e, h)$$

Case of statements:

- memory allocation** $\mathbf{x} = \mathbf{malloc}(c)$: $(e, h) \rightarrow (e, h')$ where $h' = h[e(\mathbf{x}) \leftarrow k] \uplus \{k \mapsto v_k, k+1 \mapsto v_{k+1}, \dots, k+c-1 \mapsto v_{k+c-1}\}$ and $k, \dots, k+c-1$ are fresh in h
- memory deallocation** $\mathbf{free}(\mathbf{x})$: $(e, h) \rightarrow (e, h')$ where $k = e(\mathbf{x})$ and $h = h' \uplus \{k \mapsto v_k, k+1 \mapsto v_{k+1}, \dots, k+c-1 \mapsto v_{k+c-1}\}$

Separation logic triple

Program proofs based on triples

- **Notation:** $\{F\}p\{F'\}$ if and only if:

$$\forall s, s' \in \mathbb{S}, s \in \gamma(F) \wedge s' \in \llbracket p \rrbracket(s) \implies s' \in \gamma(F')$$

Hoare triples

- Application: **formalize proofs of programs**

A few rules (straightforward proofs):

$$\frac{F_0 \implies F'_0 \quad \{F'_0\}b\{F'_1\} \quad F'_1 \implies F_1}{\{F_0\}b\{F_1\}} \textit{consequence}$$

$$\frac{}{\{x \mapsto ?\}x := e\{x \mapsto e\}} \textit{mutation}$$

$$\frac{x \text{ does not appear in } F}{\{x \mapsto ? * F\}x := e\{x \mapsto e * F\}} \textit{mutation} - 2$$

(we assume that e does not allocate memory)

The frame rule

What about the resemblance between rules “mutation” and “mutation-2” ?

Theorem: the frame rule

$$\frac{\{F_0\}b\{F_1\} \quad \text{freevar}(F) \cap \text{write}(b)}{\{F_0 * F\}b\{F_1 * F\}} \text{ frame}$$

- Proof by induction on the logical rules on program statements, *i.e.*, essentially a large case analysis (see biblio for a more complete set of rules)
- Rules are proved by case analysis on the program syntax

The frame rule allows to reason locally about programs

Application of the frame rule

A program with **intermittent invariants**, derived using **the frame rule**, since each step **impacts a disjoint region**:

```

int i;
int * x;
int * y;
{i ↦? * x ↦? * y ↦?}
  x = &i;
{i ↦? * x ↦ &i * y ↦?}
  y = &i;
{i ↦? * x ↦ &i * y ↦ &i}
  *x = 42;
{i ↦ 42 * x ↦ &i * y ↦ &i}

```

Many other program proofs done using separation logic
 e.g., verification of the Deutsch-Shorr-Waite algorithm (biblio)

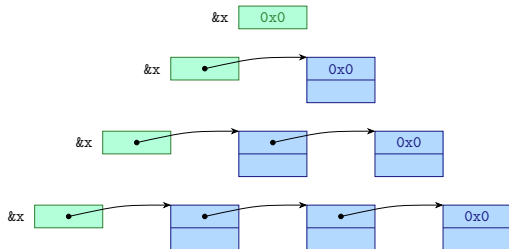
Summarization and inductive definitions

What do we still miss ?

So far, formulas denote **fixed sets of cells**

Thus, no summarization of unbounded regions...

- **Example** all lists pointed to by x , such as:



- How to precisely abstract these stores with **a single formula** *i.e.*, no infinite disjunction ?

Inductive definitions in separation logic

List definition

$$\alpha \cdot \mathbf{list} := \alpha = 0 \wedge \mathbf{emp} \vee \alpha \neq 0 \wedge \alpha \cdot \mathbf{next} \mapsto \delta * \alpha \cdot \mathbf{data} \mapsto \beta * \delta \cdot \mathbf{list}$$

- Formula abstracting our set of structures:

$$\&x \mapsto \alpha * \alpha \cdot \mathbf{list}$$

- **Summarization:**

this formula is finite and describe infinitely many heaps

- **Concretization:** next slide...

Practical implementation in verification/analysis tools

- **Verification:** hand-written definitions
- **Analysis:** either built-in or user-supplied, or partly inferred

Concretization by unfolding

Intuitive semantics of inductive predicates

- Inductive predicates can be **unfolded**, by **unrolling their definitions**
Syntactic unfolding is noted $\xrightarrow{\mathcal{U}}$
- A formula F with inductive predicates describes all stores described by all formulas F' such that $F \xrightarrow{\mathcal{U}} F'$

Example:

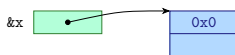
- Let us start with $x \mapsto \alpha_0 * \alpha_0 \cdot \mathbf{list}$; we can unfold it as follows:

$\&x \mapsto \alpha_0 * \alpha_0 \cdot \mathbf{list}$

$\xrightarrow{\mathcal{U}} \quad \&x \mapsto \alpha_0 * \alpha_0 \cdot \mathbf{next} \mapsto \alpha_1 * \alpha_0 \cdot \mathbf{data} \mapsto \beta_1 * \alpha_1 \cdot \mathbf{list}$

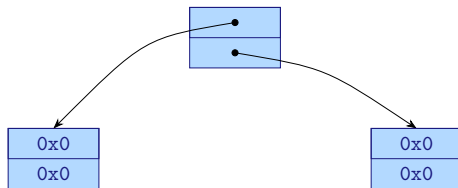
$\xrightarrow{\mathcal{U}} \quad \&x \mapsto \alpha_0 * \alpha_0 \cdot \mathbf{next} \mapsto \alpha_1 * \alpha_0 \cdot \mathbf{data} \mapsto \beta_1 * \mathbf{emp} \wedge \alpha_1 = \mathbf{0x0}$

- We get the concrete state below:



Example: tree

- **Example:**



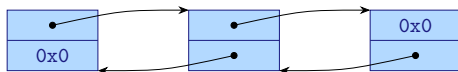
Inductive definition

- **Two recursive calls** instead of one:

$$\begin{aligned}
 \alpha \cdot \mathbf{tree} &:= && \alpha = 0 \wedge \mathbf{emp} \\
 &\vee && \alpha \neq 0 \wedge \alpha \cdot \mathbf{left} \mapsto \beta * \alpha \cdot \mathbf{right} \mapsto \delta \\
 &&& * \beta \cdot \mathbf{tree} * \delta \cdot \mathbf{tree}
 \end{aligned}$$

Example: doubly linked list

- **Example:**



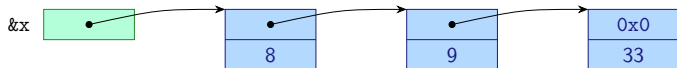
Inductive definition

- We need to propagate the prev pointer as an additional parameter:

$$\begin{aligned}
 \alpha \cdot \mathbf{dll}(\delta) &::= && \alpha = 0 \wedge \mathbf{emp} \\
 &\vee && \alpha \neq 0 \wedge \alpha \cdot \mathbf{next} \mapsto \beta * \alpha \cdot \mathbf{prev} \mapsto \delta \\
 &&& * \beta \cdot \mathbf{dll}(\alpha)
 \end{aligned}$$

Example: sortedness

- **Example:** sorted list



Inductive definition

- Each element should be greater than the previous one
- The first element simply needs be greater than $-\infty$...
- We need to propagate **the lower bound**, using a scalar parameter

$$\alpha \cdot \mathbf{lsort}_{\text{aux}}(n) \quad := \quad \begin{aligned} & \alpha = 0 \wedge \mathbf{emp} \\ \vee & \alpha \neq 0 \wedge n \leq \beta \wedge \alpha \cdot \mathbf{next} \mapsto \delta \\ & * \alpha \cdot \mathbf{data} \mapsto \beta * \delta \cdot \mathbf{lsort}_{\text{aux}}(\beta) \end{aligned}$$

$$\alpha \cdot \mathbf{lsort}() \quad := \quad \alpha \cdot \mathbf{lsort}_{\text{aux}}(-\infty)$$

A new overview of the remaining part of the lecture

How to apply separation logic to static analysis and design abstract interpretation algorithms based on it ?

In remainder of this lecture, we will:

- choose a **small but expressive set of separation logic formulas**
- combine it **with a numerical abstract domain**
- study algorithms for **local concretization** (equivalent to focus) and **global abstraction** (widening...)

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Design of an abstract domain

A lot of things are missing to turn SL into an abstract domain

Set of logical predicates:

- separation logic formulas are **very expressive**
e.g., arbitrary **alternations** of \wedge and $*$
- such expressiveness is not necessarily required in static analysis

Representation:

- unstructured formulas can be represented as **ASTs**,
but this representation is **not easy to manipulate efficiently**
- intuition over memory states typically involves **graphs**

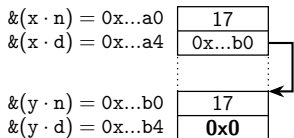
Analysis algorithms:

- inference of “optimal” invariants in SL obviously **not computable**

Basic abstraction: structures and their contents (1/2)

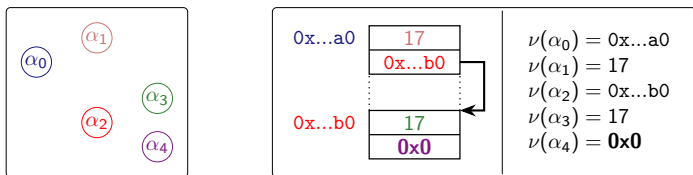
- Concrete memory states

- ▶ very **low level** description
- ▶ pointers, numeric values:
raw sequences of bits



Basic abstraction: structures and their contents (1/2)

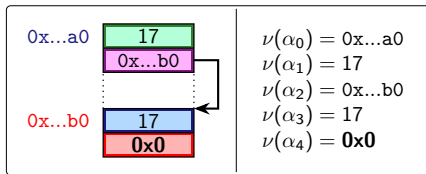
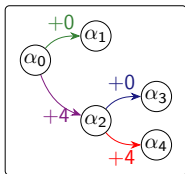
- Concrete memory states
- Abstraction of values into symbolic variables (nodes)



- ▶ characterized by **valuation** ν
- ▶ ν maps **symbolic variables** into **concrete addresses**

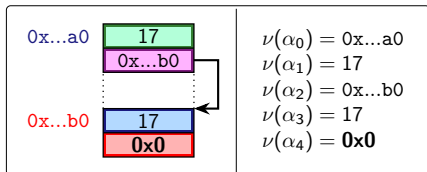
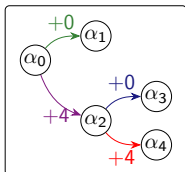
Basic abstraction: structures and their contents (1/2)

- Concrete memory states
- Abstraction of values into symbolic variables / nodes
- Abstraction of regions into points-to edges



Basic abstraction: structures and their contents (1/2)

- Concrete memory states
- Abstraction of values into symbolic variables / nodes
- Abstraction of regions into points-to edges



- Shape graph concretization

$$\gamma_{\text{sh}}(G) = \{(h, \nu) \mid \dots\}$$

valuation ν plays an important role to combine abstraction...

Structure of shape graphs

Valuations bridge the gap between nodes and values

Symbolic variables / **nodes** and intuitively abstract concrete values:

Symbolic variables

We let $\mathbb{V}^\#$ denote a countable set of **symbolic variables**; we usually let them be denoted by Greek letters in the following: $\mathbb{V}^\# = \{\alpha, \beta, \delta, \dots\}$

When concretizing a shape graph, we need to **characterize how the concrete instance evaluates each symbolic variable**, which is the purpose of the **valuation functions**:

Valuations

A **valuation** is a function from **symbolic variables** into **concrete values** (and is often denoted by ν): $\mathbf{Val} = \mathbb{V}^\# \longrightarrow \mathbb{V}$

Note that valuations treat **in the same way addresses** and **raw values**

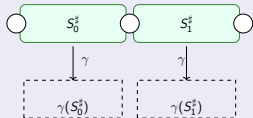
Structure of shape graphs

Distinct edges describe separate regions

In particular, if we **split** a graph into **two parts**:

Separating conjunction

$$\gamma_{\text{sh}}(S_0^\# * S_1^\#) = \{(h_0 \otimes h_1, \nu) \mid (h_0, \nu) \in \gamma_{\text{sh}}(S_0^\#) \wedge (h_1, \nu) \in \gamma_{\text{sh}}(S_1^\#)\}$$



Similarly, when considering the **empty set of edges**, we get the empty heap (where $\mathbb{V}^\#$ is the set of nodes):

$$\gamma_{\text{sh}}(\mathbf{emp}) = \{(\emptyset, \nu) \mid \nu : \mathbb{V}^\# \rightarrow \mathbb{V}\}$$

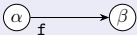
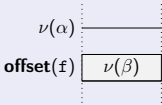
Abstraction of contiguous regions

A single points-to edge represents one heap cell

A **points-to edge** encodes **basic points to predicate** in separation logic:

Points-to edges

- Syntax

Graph edge	Separation logic formula	Concrete view
	$\alpha \cdot \mathbf{f} \mapsto \beta$	

- Concretization:

$$\gamma_{\text{sh}}(\alpha \cdot \mathbf{f} \mapsto \beta) = \{([\nu(\alpha) + \text{offset}(\mathbf{f}) \mapsto \nu(\beta)], \nu) \mid \nu : \{\alpha, \beta, \dots\} \rightarrow \mathbb{N}\}$$

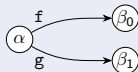
Abstraction of contiguous regions

Contiguous regions are described by adjacent points-to edges

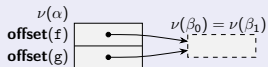
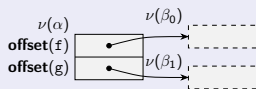
To describe **blocks** containing series of **cells** (e.g., in a **C structure**), shape graphs utilize several outgoing edges from the node representing the base address of the block

Field splitting model

- Separation impacts edges / fields, *not pointers*
- Shape graph



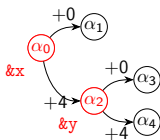
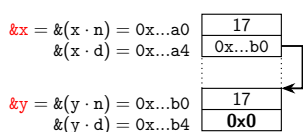
accounts for both abstract states below:



In other words, separation

Abstraction of the environment

Environments bind variables to their (concrete / abstract) address



$\nu : \alpha_0 \mapsto 0x\dots a0$
 $\alpha_2 \mapsto 0x\dots b0$
 $\dots \mapsto \dots$

$e^\# : x \mapsto \alpha_0 \quad (\mapsto^\nu 0x\dots a0)$
 $y \mapsto \alpha_2 \quad (\mapsto^\nu 0x\dots b0)$

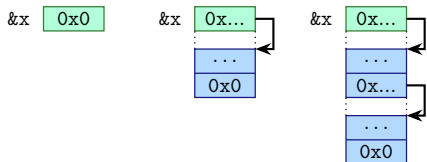
Abstract environments

- An **abstract environment** is a function $e^\#$ from **variables** to **symbolic nodes**
- The **concretization** extends as follows:

$$\gamma_{\text{mem}}(e^\#, S^\#) = \{(e, h, \nu) \mid (h, \nu) \in \gamma_{\text{sh}}(S^\#) \wedge e = \nu \circ e^\#\}$$

Basic abstraction: summarization

Set of all lists of any length:



Well-founded list inductive def.

$$\alpha \cdot \mathbf{list} :=$$

$$(\mathbf{emp} \wedge \alpha = \mathbf{0x0})$$

$$\vee (\alpha \cdot \mathbf{d} \mapsto \beta_0 * \alpha \cdot \mathbf{n} \mapsto \beta_1$$

$$* \beta_1 \cdot \mathbf{list} \wedge \alpha \neq \mathbf{0x0})$$

well-founded predicate

Inductive summary predicates

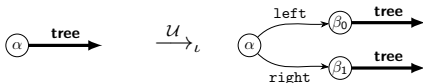
Concretization based on **unfolding** and **least-fixpoint**:

- $\xrightarrow{\mathcal{U}}$ replaces **an $\alpha \cdot \mathbf{list}$ predicate** with **one of its premises**
- $\gamma(S^\#, F) = \bigcup \{ \gamma(S_u^\#, F_u) \mid (S^\#, F) \xrightarrow{\mathcal{U}} (S_u^\#, F_u) \}$

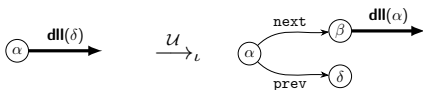
Inductive structures: a few instances

As before, **many interesting inductive predicates** encode nicely into graph inductive definitions:

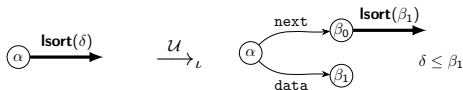
- **More complex shapes: trees**



- **Relations among pointers: doubly-linked lists**

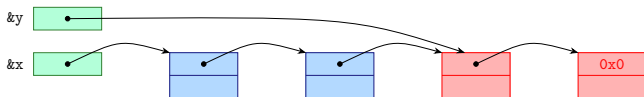


- **Relations between pointers and numerical: sorted lists**



Inductive segments

- **A frequent pattern:**



- Could be **expressed directly** as an inductive with a parameter:

$$\alpha \cdot \text{list_endp}(\pi) ::= (\text{emp}, \alpha = \pi) \\ \mid (\alpha \cdot \text{next} \mapsto \beta_0 * \alpha \cdot \text{data} \mapsto \beta_1 \\ * \beta_0 \cdot \text{list_endp}(\pi), \alpha \neq 0)$$

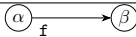
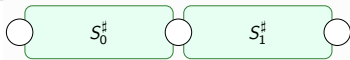
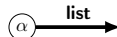
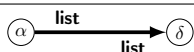
- This definition **straightforwardly derives** from **list**
Thus, we make **segments** part of the **fundamental predicates of the domain**



- **Multi-segments:** possible, but harder for analysis

Shape graphs and separation logic

Semantic preserving translation Π of graphs into separation logic formulas:

Graph $S^\sharp \in \mathbb{D}_{sh}^\sharp$	Translated formula $\Pi(S^\sharp)$
	$\alpha \cdot f \mapsto \beta$
	$\Pi(S_0^\sharp) * \Pi(S_1^\sharp)$
	$\alpha \cdot \text{list}$
	$\alpha \cdot \text{list_endp}(\delta)$
other inductives and segments	similar

Note that:

- **shape graphs can be encoded into separation logic formula**
- **the opposite is usually not true**

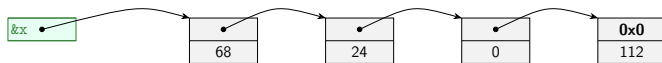
Outline

- 1 An introduction to separation logic
- 2 A shape abstract domain relying on separation
- 3 Combination with a numerical domain**
- 4 Standard static analysis algorithms
- 5 Conclusion

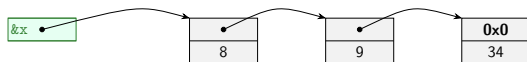
Example

How to express both shape and numerical properties ?

- Hybrid stores: data stored next to structures
- List of even elements:



- Sorted list:

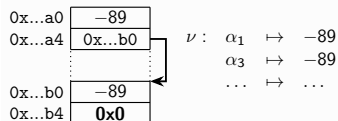
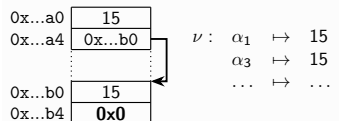
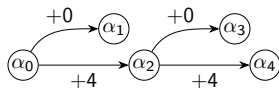


- Many other examples:
 - list of open filed descriptors
 - tries
 - balanced trees: red-black, AVL...
- Note: inductive definitions also talk about data

Adding value information (here, numeric)

**Concrete numeric values appear in the valuation
thus the abstracting contents boils down to abstracting ν !**

Example: all lists of length 2, with equal data fields **Memory abstraction:**



Abstraction of valuations: $\nu(\alpha_1) = \nu(\alpha_3)$, (**constraint** $\alpha_1 = \alpha_3$)

A first approach to domain combination

Assumptions:

- Graphs form a **shape domain** $\mathbb{D}_{\text{sh}}^{\#}$
abstract stores together with a **physical mapping** of nodes

$$\gamma_{\text{sh}} : \mathbb{D}_{\text{sh}}^{\#} \rightarrow \mathcal{P}((\mathbb{D}_{\text{sh}}^{\#} \rightarrow \mathbb{M}) \times (\mathbb{V}^{\#} \rightarrow \mathbb{V}))$$

- Numerical values are taken in a **numerical domain** $\mathbb{D}_{\text{num}}^{\#}$
abstracts physical mapping of nodes

$$\gamma_{\text{num}} : \mathbb{D}_{\text{num}}^{\#} \rightarrow \mathcal{P}((\mathbb{V}^{\#} \rightarrow \mathbb{V}))$$

Combined domain [CR]

- Set of abstract values:** $\mathbb{D}^{\#} = \mathbb{D}_{\text{sh}}^{\#} \times \mathbb{D}_{\text{num}}^{\#}$
- Concretization:**

$$\gamma(S^{\#}, N^{\#}) = \{(\hat{h}, \nu) \in \mathbb{M} \mid \nu \in \gamma_{\text{num}}(N^{\#}) \wedge (\hat{h}, \nu) \in \gamma_{\text{sh}}(S^{\#})\}$$

Formalizing the product domain

Can it be described as a reduced product ?

- **Product abstraction:** $\mathbb{D}^\# = \mathbb{D}_0^\# \times \mathbb{D}_1^\#$
- **Concretization:** $\gamma(x_0, x_1) = \gamma(x_0) \cap \gamma(x_1)$
- **Reduction:** $\mathbb{D}_r^\#$ is the quotient of $\mathbb{D}^\#$ by the equivalence relation \equiv defined by $(x_0, x_1) \equiv (x'_0, x'_1) \iff \gamma(x_0, x_1) = \gamma(x'_0, x'_1)$
- **Abstract order:** pairwise on reduced elements

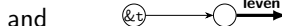
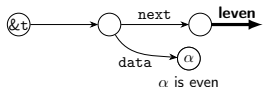
Several issues:

Shape + octagons:



... what is α_3 ?

How to compare the two elements below ?



Towards a more adapted combination operator

Why does this fail here ?

- The set of nodes / symbolic variables **is not fixed**
 - Variables represented in the numerical domain depend on the shape abstraction
- ⇒ Thus the product is **not** symmetric

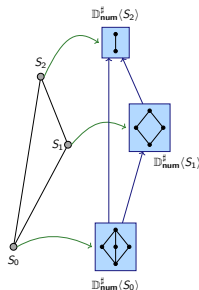
Intuitions

- Graphs form a **shape domain** $\mathbb{D}_{\text{sh}}^{\#}$
- For **each** graph $S^{\#} \in \mathbb{D}_{\text{sh}}^{\#}$, we have a **numerical lattice** $\mathbb{D}_{\text{num}\langle S^{\#} \rangle}^{\#}$
 - ▶ example: if graph $S^{\#}$ contains nodes $\alpha_0, \alpha_1, \alpha_2$, $\mathbb{D}_{\text{num}\langle S^{\#} \rangle}^{\#}$ should abstract $\{\alpha_0, \alpha_1, \alpha_2\} \rightarrow \mathbb{V}$
- **An abstract value is a pair $(S^{\#}, N^{\#})$, such that $N^{\#} \in \mathbb{D}_{\text{num}\langle N^{\#} \rangle}^{\#}$**

Cofibered domain

Definition [AV]

- **Basis:** abstract domain $(\mathbb{D}_0^\#, \sqsubseteq^\#_0)$, with concretization $\gamma_0 : \mathbb{D}_0^\# \rightarrow \mathbb{D}$
- **Function:** $\phi : \mathbb{D}_0^\# \rightarrow \mathcal{D}_1$, where each element of \mathcal{D}_1 is an abstract domain $(\mathbb{D}_1^\#, \sqsubseteq^\#_1)$, with a concretization $\gamma_{\mathbb{D}_1^\#} : \mathbb{D}_1^\# \rightarrow \mathbb{D}$
- **Domain:** $\mathbb{D}^\#$ is the set of **pairs $(x_0^\#, x_1^\#)$ where $x_1^\# \in \phi(x_0^\#)$**
- **Lift functions:** $\forall x^\#, y^\# \in \mathbb{D}_0^\#$, such that $x^\# \sqsubseteq^\#_0 y^\#$, there exists a function $\Pi_{x^\#, y^\#} : \phi(x^\#) \rightarrow \phi(y^\#)$, that is monotone for $\gamma_{x^\#}$ and $\gamma_{y^\#}$



- **Generic product**, where the second lattice depends on the first
- Provides a generic scheme for **widening**, **comparison**

Domain operations

- **Lift functions** allow to **switch domain when needed**

Comparison of (x_0^\sharp, x_1^\sharp) and (y_0^\sharp, y_1^\sharp)

- 1 First, **compare** x_0^\sharp and y_0^\sharp in \mathbb{D}_0^\sharp
- 2 If $x_0^\sharp \sqsubseteq_0^\sharp y_0^\sharp$, **compare** $\Pi_{x_0^\sharp, y_0^\sharp}(x_1^\sharp)$ and y_1^\sharp

Widening of (x_0^\sharp, x_1^\sharp) and (y_0^\sharp, y_1^\sharp)

- 1 First, compute the **widening in the basis** $z_0^\sharp = x_0^\sharp \nabla y_0^\sharp$
- 2 Then **move to** $\phi(z_0^\sharp)$, by computing $x_2^\sharp = \Pi_{x_0^\sharp, z_0^\sharp}(x_1^\sharp)$ and $y_2^\sharp = \Pi_{y_0^\sharp, z_0^\sharp}(y_1^\sharp)$
- 3 Last **widen in** $\phi(z_0^\sharp)$: $z_1^\sharp = x_2^\sharp \nabla_{z_0^\sharp} y_2^\sharp$

$$(x_0^\sharp, x_1^\sharp) \nabla (y_0^\sharp, y_1^\sharp) = (z_0^\sharp, z_1^\sharp)$$

Domain operations

Transfer functions, e.g., assignment

- Require memory location be **materialized** in the graph
 - ▶ *i.e.*, the graph may have to be modified
 - ▶ the numerical component should be updated with lift functions
- Require **update** in the graph and the numerical domain
 - ▶ *i.e.*, the numerical component should be kept coherent with the graph

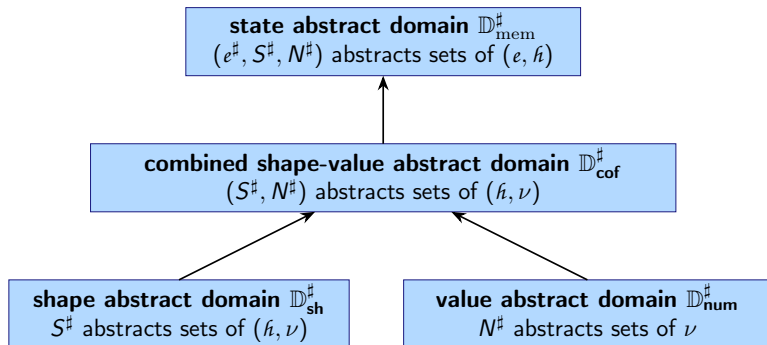
Proofs of soundness of transfer functions rely on:

- the soundness of the lift functions
- the soundness of both domain transfer functions

Overall abstract domain structure

Modular structure

- **Each layer** accounts for one **aspect of the concrete states**
- **Each layer** boils down to a **module or functor in ML**



Outline

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- 4 Standard static analysis algorithms**
 - Overview of the analysis
 - Post-conditions and unfolding
 - Folding: widening and inclusion checking
 - Abstract interpretation framework: assumptions and results
- 5 Conclusion

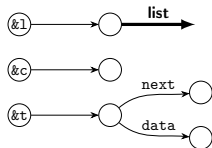
Static analysis overview

A list insertion function:

```

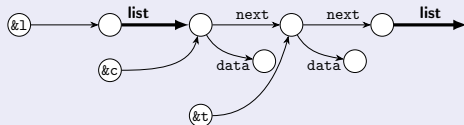
list * l assumed to point to a list
list * t assumed to point to a list element
list * c = l;
while(c != NULL && c -> next != NULL && (...)){
    c = c -> next;
}
t -> next = c -> next;
c -> next = t;
  
```

- **list** inductive structure def.
- Abstract precondition:



Result of the (interprocedural) analysis

- **Over-approximations** of reachable concrete states
e.g., after the insertion:



Transfer functions

Abstract interpreter design

- **Follows the semantics** of the language under consideration
- The abstract domain should provide **sound transfer functions**

Transfer functions:

- **Assignment:** $x \rightarrow f = y \rightarrow g$ or $x \rightarrow f = e_{\text{arith}}$
- **Test:** analysis of conditions (if, while)
- Variable **creation** and **removal**
- **Memory management:** **malloc**, **free**

Abstract operators:

- **Join** and **widening:** over-approximation
- **Inclusion checking:** check stabilization of abstract iterates

Should be **sound** *i.e.*, not forget any concrete behavior

Abstract operations

Denotational style abstract interpreter

- Concrete **denotational semantics** $\llbracket b \rrbracket : \mathbb{S} \rightarrow \mathcal{P}(\mathbb{S})$
- Abstract post-condition** $\llbracket b \rrbracket^\#(\mathbf{S})$, computed by the analysis:

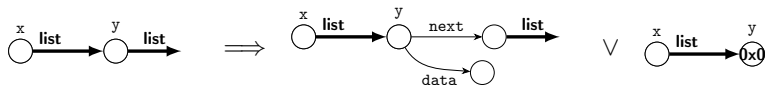
$$s \in \gamma(\mathbf{S}) \implies \llbracket b \rrbracket(s) \subseteq \gamma(\llbracket b \rrbracket^\#(\mathbf{S}))$$

Analysis by induction on the syntax using **domain operators**

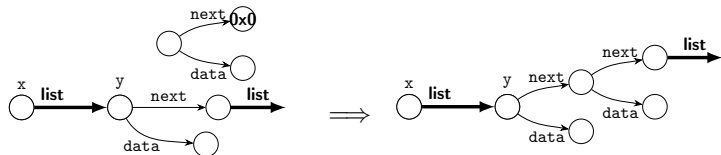
$$\begin{aligned}
 \llbracket b_0; b_1 \rrbracket^\#(\mathbf{S}) &= \llbracket b_1 \rrbracket^\# \circ \llbracket b_0 \rrbracket^\#(\mathbf{S}) \\
 \llbracket l = e \rrbracket^\#(\mathbf{S}) &= \mathit{assign}(l, e, \mathbf{S}) \\
 \llbracket l = \mathit{malloc}(n) \rrbracket^\#(\mathbf{S}) &= \mathit{alloc}(l, n, \mathbf{S}) \\
 \llbracket \mathit{free}(l) \rrbracket^\#(\mathbf{S}) &= \mathit{free}(l, n, \mathbf{S}) \\
 \llbracket \mathit{if}(e) b_t \mathit{else} b_f \rrbracket^\#(\mathbf{S}) &= \begin{cases} \mathit{join}(\llbracket b_t \rrbracket^\#(\mathit{test}(e, \mathbf{S})), \\ \llbracket b_f \rrbracket^\#(\mathit{test}(e = \mathit{false}, \mathbf{S}))) \end{cases} \\
 \llbracket \mathit{while}(e)b \rrbracket^\#(\mathbf{S}) &= \mathit{test}(e = \mathit{false}, \mathit{lfp}_s^\# F^\#) \\
 &\text{where, } F^\# : \mathbf{S}_0 \mapsto \llbracket b \rrbracket^\#(\mathit{test}(e, \mathbf{S}_0))
 \end{aligned}$$

The algorithms underlying the transfer functions

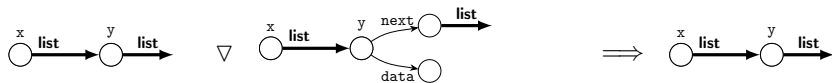
- **Unfolding:** cases analysis on summaries



- **Abstract postconditions,** on “exact” regions, e.g. insertion



- **Widening:** builds summaries and ensures termination



Outline

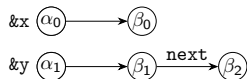
- 1 An introduction to separation logic
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Analysis of an assignment in the graph domain

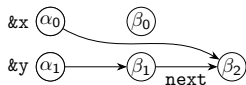
Steps for analyzing $x = y \rightarrow \text{next}$ (local reasoning)

- 1 Evaluate **l-value** x into **points-to edge** $\alpha \mapsto \beta$
- 2 Evaluate **r-value** $y \rightarrow \text{next}$ into **node** β'
- 3 Replace points-to edge $\alpha \mapsto \beta$ with **points-to edge** $\alpha \mapsto \beta'$

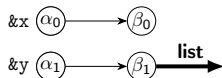
With pre-condition:



- Step 1 produces $\alpha_0 \mapsto \beta_0$
- Step 2 produces β_2
- End result:



With pre-condition:



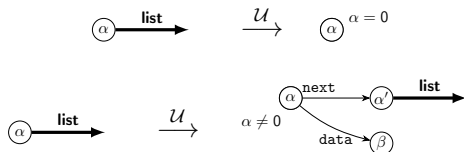
- Step 1 produces $\alpha_0 \mapsto \beta_0$
- **Step 2 fails**

- Abstract state **too abstract**
- We need to **refine it**

Unfolding as a local case analysis

Unfolding principle

- **Case analysis**, based on the inductive definition
- Generates **symbolic disjunctions** (analysis performed in a **disjunction domain**, e.g., trace partitioning)
- Example, for lists:



- **Numeric predicates: approximated in the numerical domain**

Soundness: by definition of the concretization of inductive structures

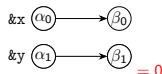
$$\gamma_{\text{sh}}(S^\#) \subseteq \bigcup \{ \gamma_{\text{sh}}(S_0^\#) \mid S^\# \xrightarrow{\mathcal{U}} S_0^\# \}$$

Analysis of an assignment, with unfolding

Principle

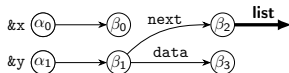
- We have $\gamma_{\text{sh}}(\alpha \cdot \iota) = \bigcup \{ \gamma_{\text{sh}}(S^\#) \mid \alpha \cdot \iota \xrightarrow{\mathcal{U}} S^\# \}$
- Replace $\alpha \cdot \iota$ with a finite number of disjuncts and continue

Disjunct 1:

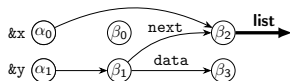


- Step 1 produces $\alpha_0 \mapsto \beta_0$
- **Step 2 fails: Null pointer !**
- In a **correct** program, would be ruled out by a **condition** $y \neq 0$ i.e., $\beta_1 \neq 0$ in $\mathbb{D}_{\text{num}}^\#$

Disjunct 2:



- Step 1 produces $\alpha_0 \mapsto \beta_0$
- Step 2 produces β_2
- **End result:**



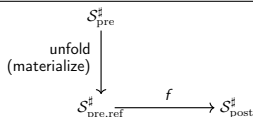
Unfold, compute abstract post, and...

Evaluation of a transfer functions (assignment, test...)

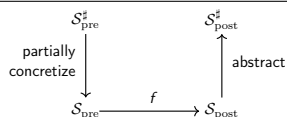
- 1 evaluate all **expressions and l-values** that are required
unfold inductive definitions if needed
- 2 compute the effect of the concrete operation on **fully materialized graph chunks**

Comparison with the previous lecture:

Using separation logic shape graphs



In TVLA

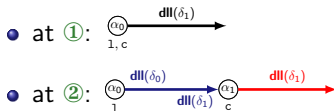


When does the abstraction takes place ? More on this a bit later

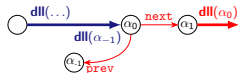
Unfolding and degenerated cases

```

assume(l points to a dll)
c = l;
① while(c ≠ NULL && condition)
  c = c -> next;
② if(c ≠ 0 && c -> prev ≠ 0)
  c = c -> prev -> prev;
  
```

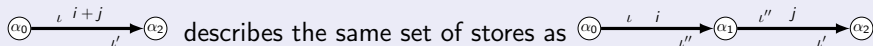


⇒ **non trivial unfolding**

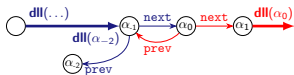


- Materialization of $c \rightarrow \text{prev}$:

Segment splitting lemma: basis for segment unfolding

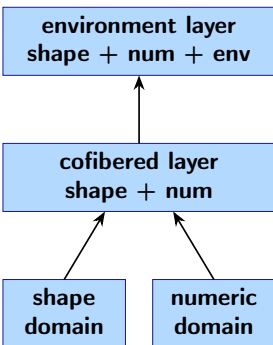


- Materialization of $c \rightarrow \text{prev} \rightarrow \text{prev}$:



- Implementation issue: discover **which inductive edge** to unfold **very hard !**

Analysis of an assignment in the combined domain



$$\&x \quad \alpha_0 \longrightarrow \alpha_1$$

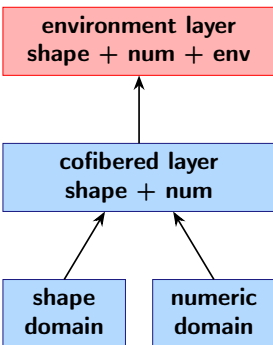
$$\&y \quad \alpha_2 \longrightarrow \alpha_3 \xrightarrow{\text{lpos}}$$

$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0}$$

$$y \rightarrow d = x + 1$$

Abstract post-condition ?

Analysis of an assignment in the combined domain



$$\&x \quad (\alpha_0) \longrightarrow (\alpha_1)$$

$$\&y \quad (\alpha_2) \longrightarrow (\alpha_3) \xrightarrow{\text{lpos}}$$

$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0}$$

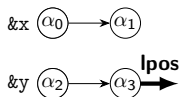
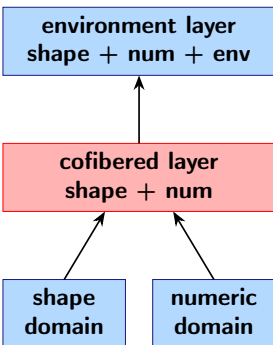
$$y \rightarrow d = x + 1 \quad \Rightarrow \quad (*\alpha_2) \cdot d = (*\alpha_0) + 1$$

Abstract post-condition ?

Stage 1: environment resolution

- replaces x with $*e^\#(x)$

Analysis of an assignment in the combined domain



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0}$$

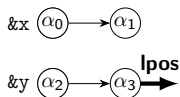
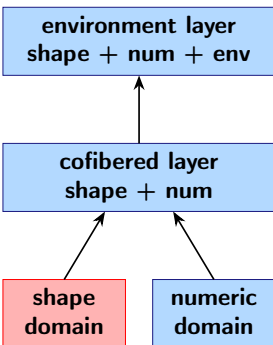
$$(*\alpha_2) \cdot \mathbf{d} = (*\alpha_0) + 1$$

Abstract post-condition ?

Stage 2: propagate into the shape + numerics domain

- only symbolic nodes appear

Analysis of an assignment in the combined domain



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0}$$

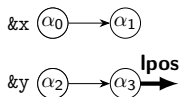
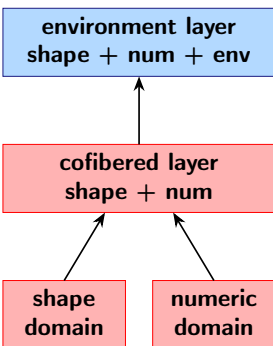
$$(*\alpha_2) \cdot \mathbf{d} = (*\alpha_0) + 1$$

Abstract post-condition ?

Stage 3: resolve cells in the shape graph abstract domain

- $*\alpha_0$ evaluates to α_1 ; $*\alpha_2$ evaluates to α_3
- $(*\alpha_2) \cdot \mathbf{d}$ **fails to evaluate: no points-to out of α_3**

Analysis of an assignment in the combined domain



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0}$$

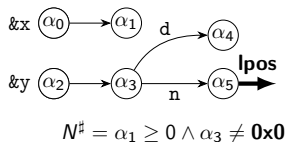
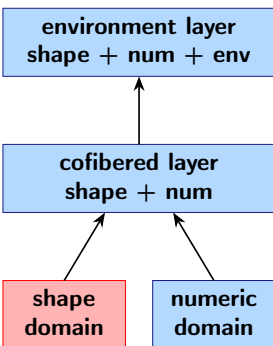
$$(*\alpha_2) \cdot \mathbf{d} = (*\alpha_0) + 1$$

Abstract post-condition ?

Stage 4 (a): unfolding triggered

- the analysis needs to locally materialize $\alpha_3 \cdot \mathbf{lpos}$...
- thus, unfolding starts at symbolic variable α_3

Analysis of an assignment in the combined domain



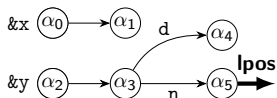
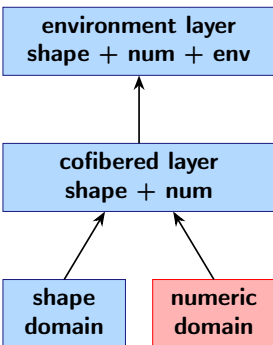
$$(*\alpha_2) \cdot d = (*\alpha_0) + 1$$

Abstract post-condition ?

Stage 4 (b): unfolding, shape part

- unfolding of the memory predicate part
- numerical predicates still need be taken into account

Analysis of an assignment in the combined domain



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0} \wedge \alpha_4 \geq 0$$

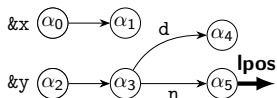
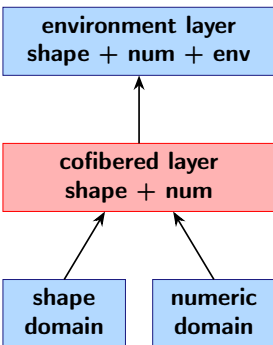
$$(*\alpha_2) \cdot d = (*\alpha_0) + 1$$

Abstract post-condition ?

Stage 4 (c): unfolding, numeric part

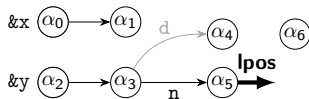
- numerical predicates taken into account
- l-value $\alpha_3 \cdot d$ **now evaluates into edge** $\alpha_3 \cdot d \mapsto \alpha_4$

Analysis of an assignment in the combined domain



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0} \wedge \alpha_4 \geq 0$$

create node α_6

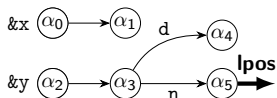
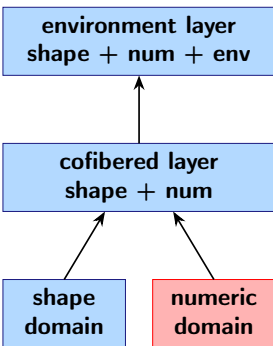


$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0} \wedge \alpha_4 \geq 0$$

Stage 5: create a new node

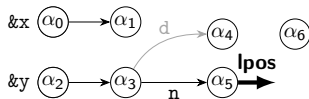
- new node α_6 denotes a new value
will store the new value

Analysis of an assignment in the combined domain



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0} \wedge \alpha_4 \geq 0$$

$$\alpha_6 \leftarrow \alpha_1 + 1 \text{ in numerics}$$

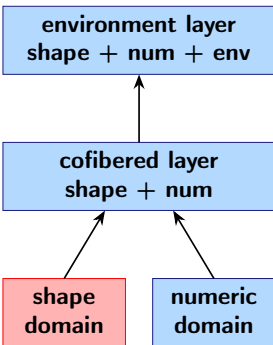


$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0} \wedge \alpha_4 \geq 0 \wedge \alpha_6 \geq 1$$

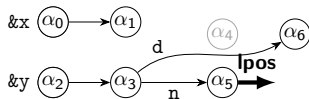
Stage 6: perform numeric assignment

- numeric assignment **completely ignores pointer structures** to the new node

Analysis of an assignment in the combined domain



mutate $(\alpha_3 \cdot d) \mapsto \alpha_4$ into α_6



$$N^\# = \alpha_1 \geq 0 \wedge \alpha_3 \neq \mathbf{0x0} \wedge \alpha_4 \geq 0 \wedge \alpha_6 \geq 1$$

Stage 7: perform the update in the graph

- classic **strong update** in a pointer aware domain
- symbolic node α_4 becomes redundant and can be removed

Outline

- 1 An introduction to separation logic
- 2 A shape abstract domain relying on separation
- 3 Combination with a numerical domain
- 4 Standard static analysis algorithms**
 - Overview of the analysis
 - Post-conditions and unfolding
 - Folding: widening and inclusion checking
 - Abstract interpretation framework: assumptions and results
- 5 Conclusion

Need for a folding operation

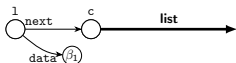
Back to the **list traversal** example:

First iterates in the loop:

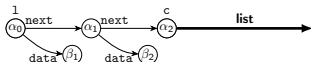
- at **iteration 0** (before entering the loop):



- at **iteration 1**:

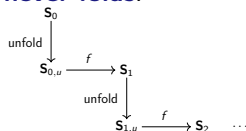


- at **iteration 2**:



```
assume(l points to a list)
c = l;
while(c ≠ NULL){
  c = c → next;
}
```

The analysis **unfolds**, but **never folds**:



- How to guarantee **termination** of the analysis ?
- How to **introduce segment edges** / perform **abstraction** ?

Widening

- The lattice of shape abstract values has **infinite height**
- Thus iteration sequences **may not terminate**

Definition of a widening operator ∇

- **Over-approximates join:**

$$\begin{cases} \gamma(X^\#) \subseteq \gamma(X^\# \nabla Y^\#) \\ \gamma(Y^\#) \subseteq \gamma(X^\# \nabla Y^\#) \end{cases}$$

- **Enforces termination:** for all sequence $(X_n^\#)_{n \in \mathbb{N}}$, the **sequence $(Y_n^\#)_{n \in \mathbb{N}}$ defined below is ultimately stationary**

$$\begin{cases} Y_0^\# = X_0^\# \\ \forall n \in \mathbb{N}, Y_{n+1}^\# = Y_n^\# \nabla X_{n+1}^\# \end{cases}$$

Canonicalization

Upper closure operator

$\rho : \mathbb{D}^\# \longrightarrow \mathbb{D}_{\text{can}}^\# \subseteq \mathbb{D}^\#$ is an **upper closure operator** (uco) iff it is monotone, extensive and idempotent.

Canonicalization

- **Disjunctive completion:** $\mathbb{D}_\vee^\# =$ finite disjunctions over $\mathbb{D}^\#$
- **Canonicalization operator** ρ_\vee defined by $\rho_\vee : \mathbb{D}_\vee^\# \longrightarrow \mathbb{D}_{\text{can}\vee}^\#$ and $\rho_\vee(X^\#) = \{\rho(x^\#) \mid x^\# \in X^\#\}$ where ρ is an uco and $\mathbb{D}_{\text{can}}^\#$ has finite height
- Canonicalization is used in **many shape analysis tools:**
TVLA (truth blurring), most **separation logic** based analysis tools
- **Easier to compute** but **less powerful** than widening: does not exploit history of computation

Weakening: definition

To design **inclusion test**, **join** and **widening** algorithms, we first study a more general notion of **weakening**:

Weakening

We say that S_0^\sharp **can be weakened into** S_1^\sharp if and only if

$$\forall (h, \nu) \in \gamma_{\text{sh}}(S_0^\sharp), \exists \nu' \in \mathbf{Val}, (h, \nu') \in \gamma_{\text{sh}}(S_1^\sharp)$$

We then note $S_0^\sharp \preceq S_1^\sharp$

Applications:

- **inclusion test** (comparison) inputs S_0^\sharp, S_1^\sharp ; if returns true $S_0^\sharp \preceq S_1^\sharp$
- **canonicalization** (unary weakening) inputs S_0^\sharp and returns $\rho(S_0^\sharp)$ such that $S_0^\sharp \preceq \rho(S_0^\sharp)$
- **widening / join** (binary weakening ensuring termination or not) inputs S_0^\sharp, S_1^\sharp and returns S_{up}^\sharp such that $S_i^\sharp \preceq S_{\text{up}}^\sharp$

Local weakening: separating conjunction rule

We can apply the local reasoning principle to weakening

If $S_0^\sharp \Vdash S_{0,\text{weak}}^\sharp$ and $S_1^\sharp \Vdash S_{1,\text{weak}}^\sharp$ then:

Separating conjunction rule (\Vdash^*)

Let us assume that

- S_0^\sharp and S_1^\sharp have distinct set of **source nodes**
- we can weaken S_0^\sharp into $S_{0,\text{weak}}^\sharp$
- we can weaken S_1^\sharp into $S_{1,\text{weak}}^\sharp$

then: we can weaken $S_0^\sharp * S_1^\sharp$ into $S_{0,\text{weak}}^\sharp * S_{1,\text{weak}}^\sharp$

Local weakening: unfolding rule

Weakening unfolded region (\preceq_U)

Let us assume that $S_0^\# \xrightarrow{U} S_1^\#$. Then, by definition of the concretization of unfolding

we can weaken $S_1^\#$ into $S_0^\#$

- the proof follows from the definition of unfolding
- it can be applied locally, on graph regions that differ due to unfolding of inductive definitions

Local weakening: identity rule

Identity weakening (\preceq_{Id})

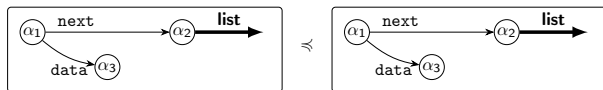
we can weaken $S^\#$ into $S^\#$

- the proof is trivial:

$$\gamma_{\text{sh}}(S^\#) \subseteq \gamma_{\text{sh}}(S^\#)$$

- on itself, this principle is not very useful, but it can be applied locally, and combined with (\preceq_u) on graph regions that are not equal

Local weakening: example

By **rule** (\approx_{Id}):Thus, by **rule** (\approx_{U}):Additionally, by **rule** (\approx_{Id}):Thus, by **rule** (\approx_{*}):

Inclusion checking rules in the shape domain

Graphs to compare have distinct sets of nodes, thus inclusion check should carry out a **valuation transformer** $\Psi : \mathbb{V}^\sharp(S_1^\sharp) \longrightarrow \mathbb{V}^\sharp(S_0^\sharp)$

Using (and extending) the weakening principles, we obtain the following rules (considering only inductive definition **list**, though these rules would extend to other definitions straightforwardly):

- **Identity rules:**

$$\begin{aligned} \forall i, \Psi(\beta_i) = \alpha_i &\implies \alpha_0 \cdot f \mapsto \alpha_1 \sqsubseteq_{\Psi}^{\sharp} \beta_0 \cdot f \mapsto \beta_1 \\ \Psi(\beta) = \alpha &\implies \alpha \cdot \mathbf{list} \sqsubseteq_{\Psi}^{\sharp} \beta \cdot \mathbf{list} \\ \forall i, \Psi(\beta_i) = \alpha_i &\implies \alpha_0 \cdot \mathbf{list_endp}(\alpha_1) \sqsubseteq_{\Psi}^{\sharp} \beta_0 \cdot \mathbf{list_endp}(\beta_1) \end{aligned}$$

- **Rules on inductives:**

$$\begin{aligned} \forall i, \Psi(\beta_i) = \alpha &\implies \mathbf{emp} \sqsubseteq_{\Psi}^{\sharp} \beta_0 \cdot \mathbf{list_endp}(\beta_1) \\ S_0^\sharp \sqsubseteq_{\Psi}^{\sharp} S_1^\sharp \wedge \beta \cdot \iota \xrightarrow{u} S_1^\sharp &\implies S_0^\sharp \sqsubseteq_{\Psi}^{\sharp} \beta \cdot \iota \\ \text{if } \beta_1 \text{ fresh, } \Psi' = \Psi[\beta_1 \mapsto \alpha_1] \text{ and } \Psi(\beta_0) = \alpha_0 \text{ then,} & \\ S_0^\sharp \sqsubseteq_{\Psi'}^{\sharp} \beta_1 \cdot \mathbf{list} &\implies \alpha_0 \cdot \mathbf{list_endp}(\alpha_1) * S_0^\sharp \sqsubseteq_{\Psi}^{\sharp} \beta_0 \cdot \iota \end{aligned}$$

Inclusion checking algorithm

Comparison of $(e_0^\sharp, S_0^\sharp, N_0^\sharp)$ and $(e_1^\sharp, S_1^\sharp, N_1^\sharp)$

- 1 start with Ψ defined by $\Psi(\beta) = \alpha$ if and only if there exists a variable x such that $e_0^\sharp(x) = \alpha \wedge e_1^\sharp(x) = \beta$
- 2 iteratively **apply local rules**, and extend Ψ when needed
- 3 if the algorithm establishes $S_0^\sharp \preccurlyeq S_1^\sharp$, **compare** $N_0^\sharp \circ \Psi$ and N_1^\sharp in $\mathbb{D}_{\text{num}}^\sharp$
 - the first step ensures both environments are consistent
 - in the last step, composing with Ψ ensures we are comparing consistent numerical values (note that N_0^\sharp and N_1^\sharp may have distinct sets of nodes)

This algorithm is sound:

Soundness

$$(e_0^\sharp, S_0^\sharp, N_0^\sharp) \sqsubseteq^\sharp (e_1^\sharp, S_1^\sharp, N_1^\sharp) \implies \gamma(e_0^\sharp, S_0^\sharp, N_0^\sharp) \subseteq \gamma(e_1^\sharp, S_1^\sharp, N_1^\sharp)$$

Over-approximation of union

The principle of join and widening algorithm **is similar to that of $\sqsubseteq^\#$** :

- It can be computed **region by region**, as for weakening in general :
If $\forall i \in \{0, 1\}, \forall s \in \{\text{left}, \text{right}\}, S_{i,s}^\# \preceq S_s^\#$,



The partitioning of inputs / different nodes sets requires a **node correspondence function**

$$\Psi : \mathbb{V}^\#(S_{\text{left}}^\#) \times \mathbb{V}^\#(S_{\text{right}}^\#) \longrightarrow \mathbb{V}^\#(S^\#)$$

- The computation of the shape join progresses by the application of **local join rules**, that produce a **new (output) shape graph, that weakens both inputs**

Over-approximation of union: syntactic identity rules

In the next few slides, we focus on ∇
though the abstract union would be defined similarly in the shape domain

Several rules derive **from** (\preceq_{id}):

- If $S_{\text{ift}}^{\#} = \alpha_0 \cdot \mathbf{f} \mapsto \alpha_1$
and $S_{\text{ift}}^{\#} = \beta_0 \cdot \mathbf{f} \mapsto \beta_1$
and $\Psi(\alpha_0, \beta_0) = \delta_0$, $\Psi(\alpha_1, \beta_1) = \delta_1$, then:

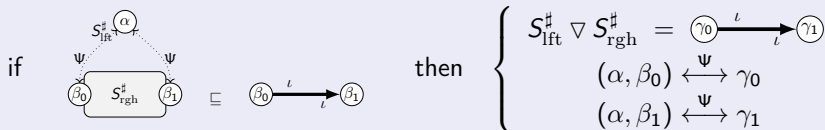
$$S_{\text{ift}}^{\#} \nabla S_{\text{rgh}}^{\#} = \delta_0 \cdot \mathbf{f} \mapsto \delta_1$$

- If $S_{\text{ift}}^{\#} = \alpha_0 \cdot \mathbf{list}$
and $S_{\text{ift}}^{\#} = \beta_0 \cdot \mathbf{list}_1$
and $\Psi(\alpha_0, \beta_0) = \delta_0$, then:

$$S_{\text{ift}}^{\#} \nabla S_{\text{rgh}}^{\#} = \delta_0 \cdot \mathbf{list}$$

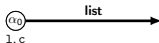
Over-approximation of union: segment introduction rule

Rule

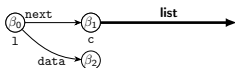


Application to list traversal, at the end of iteration 1:

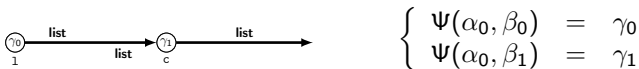
- before iteration 0:



- end of iteration 0:

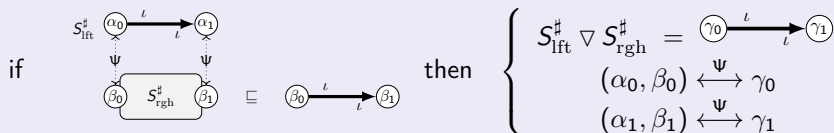


- join, before iteration 1:



Over-approximation of union: segment extension rule

Rule

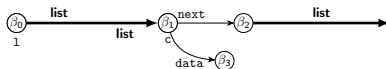


Application to list traversal, at the end of iteration 1:

- previous invariant before iteration 1:



- end of iteration 1:



- join, before iteration 1:



Over-approximation of union: rewrite system properties

- Comparison, canonicalization and widening algorithms can be considered **rewriting systems over tuples of graphs**
- **Success configuration**: weakening applies on all components, *i.e.*, the inputs are fully **“consumed”** in the weakening process
- **Failure configuration**: some components **cannot be weakened** *i.e.*, the algorithm should return the conservative answer (*i.e.*, \top)

Termination

- The systems are **terminating**
- This ensures comparison, canonicalization, widening are **computable**

Non confluence !

- The results depends on the order of application of the rules
- Implementation requires the choice of an **adequate strategy**

Over-approximation of union in the combined domain

Widening of $(e_0^\#, S_0^\#, N_0^\#)$ and $(e_1^\#, S_1^\#, N_1^\#)$

- 1 define Ψ, e by $\Psi(\alpha, \beta) = e(\mathbf{x}) = \delta$ (where δ is a fresh node) if and only if $e_0^\#(\mathbf{x}) = \alpha \wedge e_1^\#(\mathbf{x}) = \beta$
- 2 iteratively **apply join local rules**, and extend Ψ when new relations are inferred (for instance for points-to edges)
- 3 if the algorithm computes $S_0^\# \nabla S_1^\# = S^\#$, compute the widening in the numeric domain: $N^\# = N_0^\# \circ \Psi_{\text{lft}} \nabla N_1^\# \circ \Psi_{\text{rgh}}$

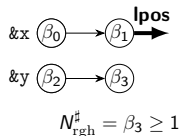
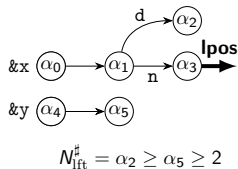
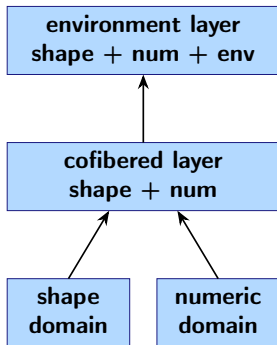
This algorithm is sound:

Soundness

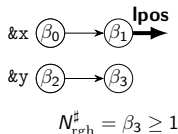
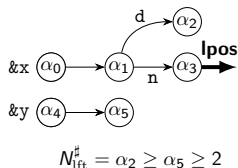
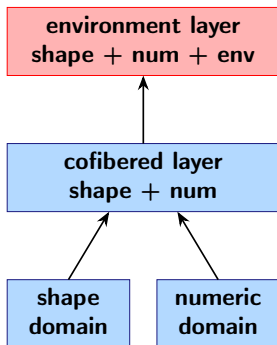
$$\gamma(e_0^\#, S_0^\#, N_0^\#) \cup \gamma(e_1^\#, S_1^\#, N_1^\#) \subseteq \gamma(e^\#, S^\#, N^\#)$$

Widening also enforces **termination** (it only introduces segments, and the growth induced by the introduction of segments is bounded)

Widening / join in the combined domain



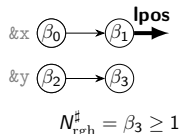
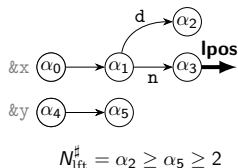
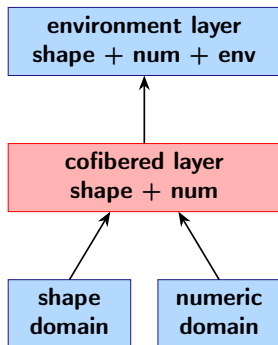
Widening / join in the combined domain

 $\&x \ (\delta_0)$ $\&y \ (\delta_1)$ $\delta_0 \equiv (\alpha_0, \beta_0)$ $\delta_1 \equiv (\alpha_4, \beta_2)$

Stage 1: abstract environment

- compute new abstract environment and initial node relation
e.g., α_0, β_0 both denote $\&x$

Widening / join in the combined domain

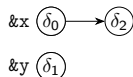
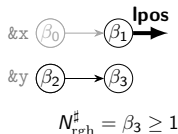
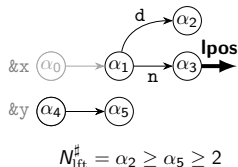
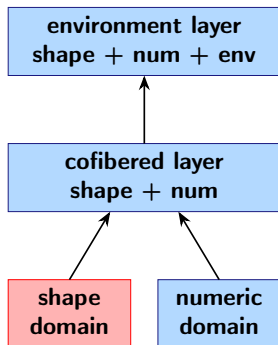
 $\&x \ \delta_0$ $\&y \ \delta_1$ $\delta_0 \equiv (\alpha_0, \beta_0)$ $\delta_1 \equiv (\alpha_4, \beta_2)$

Stage 2: join in the “cofibered” layer

operations to perform:

- 1 compute the join in the graph
- 2 convert value abstractions, and join the resulting lattice

Widening / join in the combined domain

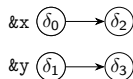
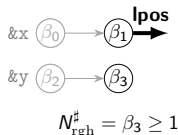
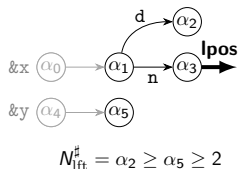
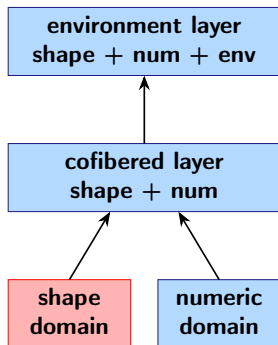


$$\begin{aligned} \delta_0 &\equiv (\alpha_0, \beta_0) \\ \delta_1 &\equiv (\alpha_4, \beta_2) \\ \delta_2 &\equiv (\alpha_1, \beta_1) \end{aligned}$$

Stage 2: graph join

- apply local join rules
ex: **points-to matching, weakening to inductive...**
- incremental algorithm

Widening / join in the combined domain

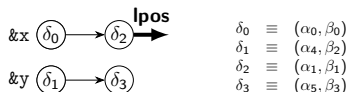
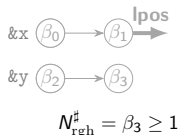
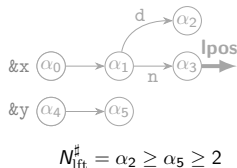
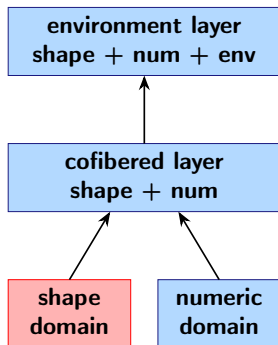


$$\begin{aligned} \delta_0 &\equiv (\alpha_0, \beta_0) \\ \delta_1 &\equiv (\alpha_4, \beta_2) \\ \delta_2 &\equiv (\alpha_1, \beta_1) \\ \delta_3 &\equiv (\alpha_5, \beta_3) \end{aligned}$$

Stage 2: graph join

- apply local join rules
ex: **points-to matching, weakening to inductive...**
- incremental algorithm

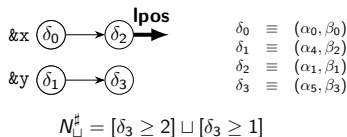
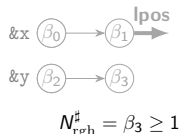
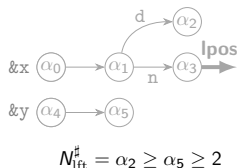
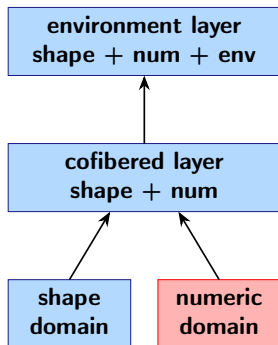
Widening / join in the combined domain



Stage 2: graph join

- apply local join rules
ex: **points-to matching, weakening to inductive...**
- incremental algorithm

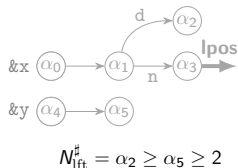
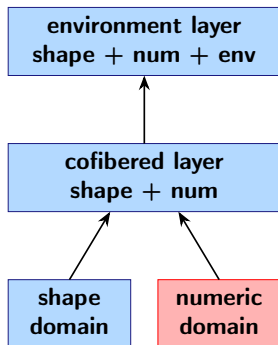
Widening / join in the combined domain



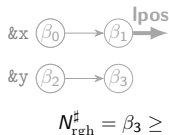
Stage 3: conversion function application in numerics

- remove nodes that were abstracted away
- rename other nodes

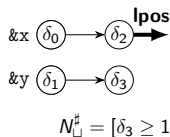
Widening / join in the combined domain



$$N_{\text{left}}^{\#} = \alpha_2 \geq \alpha_5 \geq 2$$



$$N_{\text{right}}^{\#} = \beta_3 \geq 1$$



$$N_{\sqcup}^{\#} = [\delta_3 \geq 1]$$

$$\begin{aligned} \delta_0 &\equiv (\alpha_0, \beta_0) \\ \delta_1 &\equiv (\alpha_4, \beta_2) \\ \delta_2 &\equiv (\alpha_1, \beta_1) \\ \delta_3 &\equiv (\alpha_5, \beta_3) \end{aligned}$$

Stage 4: join in the numeric domain

- apply \sqcup for regular join, ∇ for a widening

Outline

- 1 An introduction to separation logic
- 2 A shape abstract domain relying on separation
- 3 Combination with a numerical domain
- 4 Standard static analysis algorithms**
 - Overview of the analysis
 - Post-conditions and unfolding
 - Folding: widening and inclusion checking
 - Abstract interpretation framework: assumptions and results
- 5 Conclusion

Assumptions

What assumptions do we make ?
How do we prove soundness of the analysis of a loop ?

- **Assumptions in the concrete level**, and for block b :

$(\mathcal{P}(\mathbb{M}), \subseteq)$ is a complete lattice, hence a CPO

$F : \mathcal{P}(\mathbb{M}) \rightarrow \mathcal{P}(\mathbb{M})$ is the concrete semantic (“post”) function of b

thus, the concrete semantics writes down as $\llbracket b \rrbracket = \text{lf}_{\emptyset} F$

- **Assumptions in the abstract level:**

$\mathbb{M}^{\#}$ set of abstract elements, no order a priori
 $\gamma_{\text{mem}} : \mathbb{M}^{\#} \rightarrow \mathcal{P}(\mathbb{M})$ concretization

$F^{\#} : \mathbb{M}^{\#} \rightarrow \mathbb{M}^{\#}$ sound abstract semantic function

i.e., such that $F \circ \gamma_{\text{mem}} \subseteq \gamma_{\text{mem}} \circ F^{\#}$

$\nabla : \mathbb{M}^{\#} \times \mathbb{M}^{\#} \rightarrow \mathbb{M}^{\#}$ widening operator, terminates, and such that

$\gamma_{\text{mem}}(m_0^{\#}) \cup \gamma_{\text{mem}}(m_1^{\#}) \subseteq \gamma_{\text{mem}}(m_0^{\#} \nabla m_1^{\#})$

Computing a loop abstract post-condition

Loop abstract semantics

The abstract semantics of loop **while**(**rand**())**{b}** is calculated as the limit of the sequence of abstract iterates below:

$$\begin{cases} m_0^\# &= \perp \\ m_{n+1}^\# &= m_n^\# \nabla F^\#(m_n^\#) \end{cases}$$

Soundness proof:

- by induction over n , $\bigcup_{k \leq n} F^k(\emptyset) \subseteq \gamma_{\text{mem}}(m_n^\#)$
- by the property of widening, the abstract sequence converges at a rank N :
 $\forall k \geq N, m_k^\# = m_N^\#$, thus

$$\text{Ifp}_\emptyset F = \bigcup_k F^k(\emptyset) \subseteq \gamma_{\text{mem}}(m_N^\#)$$

Discussion on the abstract ordering

How about the abstract ordering ? We assumed *NONE* so far...

- **Logical ordering**, induced by concretization, used for **proofs**

$$m_0^\sharp \sqsubseteq m_1^\sharp \quad ::= \quad " \gamma_{\text{mem}}(m_0^\sharp) \subseteq \gamma_{\text{mem}}(m_1^\sharp) "$$

- **Approximation of the logical ordering**, **implemented** as a function $\text{is_le} : \mathbb{M}^\sharp \times \mathbb{M}^\sharp \rightarrow \{\mathbf{true}, \top\}$, used to **test the convergence of abstract iterates**

$$\text{is_le}(m_0^\sharp, m_1^\sharp) = \mathbf{true} \quad \implies \quad \gamma_{\text{mem}}(m_0^\sharp) \subseteq \gamma_{\text{mem}}(m_1^\sharp)$$

Abstract semantics is not assumed (and is actually most likely NOT) monotone with respect to either of these orders...

- Also, **computational ordering** would be used for **proving widening termination**

Outline

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Updates and summarization

**Weak updates cause significant precision loss...
Separation logic makes updates strong**

Separation logic

Separating conjunction combines properties on disjoint stores

- Fundamental idea: * **forces to identify what is modified**
- Before an **update** (or a **read**) takes place, memory cells need to be **materialized**
- **Local reasoning**: properties on unmodified cells pertain

Summaries

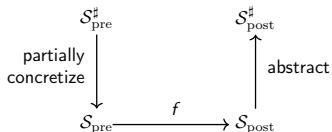
Inductive predicates describe unbounded memory regions

- Last lecture: **array segments** and **transitive closure** (TVLA)

Partial concretization, Global abstraction

Separation and summaries should be maintained by the analysis

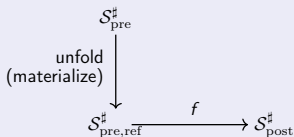
Last lecture:



Today, two separate processes:

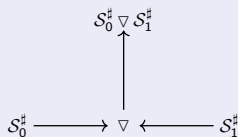
Local (partial) concretization

For **materialization**:



Global abstraction

Widening on loop heads:



Bibliography

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John C. Reynolds. In LICS'02, pages 55–74, 2002.
- **[DHY]: A Local Shape Analysis Based on Separation Logic.**
Dino Distefano, Peter W. O'Hearn et Hongseok Yang.
In TACAS'06, pages 287–302.
- **[CR]: Relational inductive shape analysis.**
Bor-Yuh Evan Chang et Xavier Rival.
In POPL'08, pages 247–260, 2008.
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Arnaud Venet. In SAS'96, pages 366–382.

Internship on memory abstraction

Reduced product of TVLA and separation logic abstract domains:

- **reduced product** allows to express conjunctive properties often used in numeric abstract domains, but not for heap abstraction
- **TVLA** (previous course) uses low level local predicates
- **separation logic** is based on region predicates
- how to **combine** them ? what information would we gain ?

Summarization based on universal quantification:

- memory abstractions use **summarization** for arrays, arrays segments, linked structures...
- **another form of summarization** based on an **unbounded set E**

$$* \{P(x) \mid x \in E\}$$

definition of fold / unfold, analysis operations...

- analysis of new kinds of structures, e.g., **union finds**